

DNA Molecular Modeling

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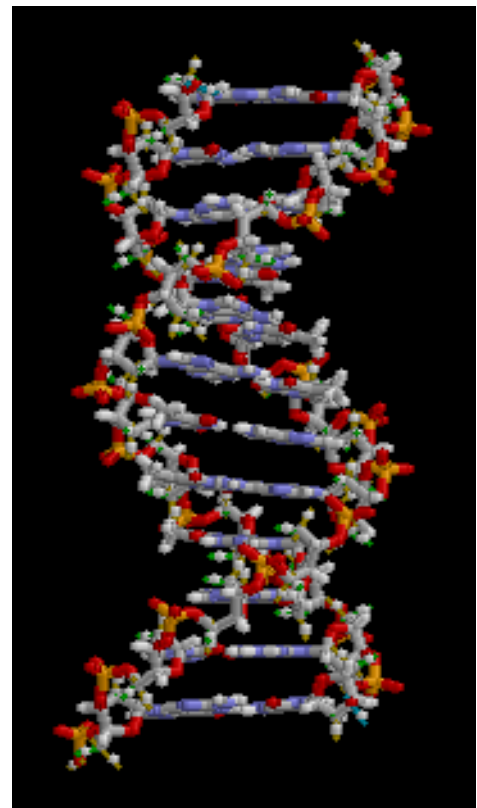
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DNA

Deoxyribonucleic acid (DNA) is a nucleic acid that contains the genetic instructions used in the development and functioning of all known living organisms and some viruses. The main role of DNA molecules is the long-term storage of information. DNA is often compared to a set of blueprints or a recipe, or a code, since it contains the instructions needed to construct other components of cells, such as proteins and RNA molecules. The DNA segments that carry this genetic information are called genes, but other DNA sequences have structural purposes, or are involved in regulating the use of this genetic information.

Chemically, DNA consists of two long polymers of simple units called nucleotides, with backbones made of sugars and phosphate groups joined by ester bonds. These two strands run in opposite directions to each other and are therefore anti-parallel. Attached to each sugar is one of four types of molecules called bases. It is the sequence of these four bases along the backbone that encodes information. This information is read using the genetic code, which specifies the sequence of the amino acids within proteins. The code is read by copying stretches of DNA into the related nucleic acid RNA, in a process called transcription.

Within cells, DNA is organized into X-shaped structures called chromosomes. These chromosomes are duplicated before cells divide, in a process called DNA replication. Eukaryotic organisms (animals, plants, fungi, and protists) store most of their DNA inside the cell nucleus and some of their DNA in the mitochondria (animals and plants) and chloroplasts (plants only)^[1]. Prokaryotes (bacteria and archaea) however, store their DNA in the cell's cytoplasm. Within the chromosomes, chromatin proteins such as histones compact and organize DNA. These compact structures guide the interactions between DNA and other proteins, helping control which parts of the DNA are transcribed.



The structure of part of a DNA double helix

Properties



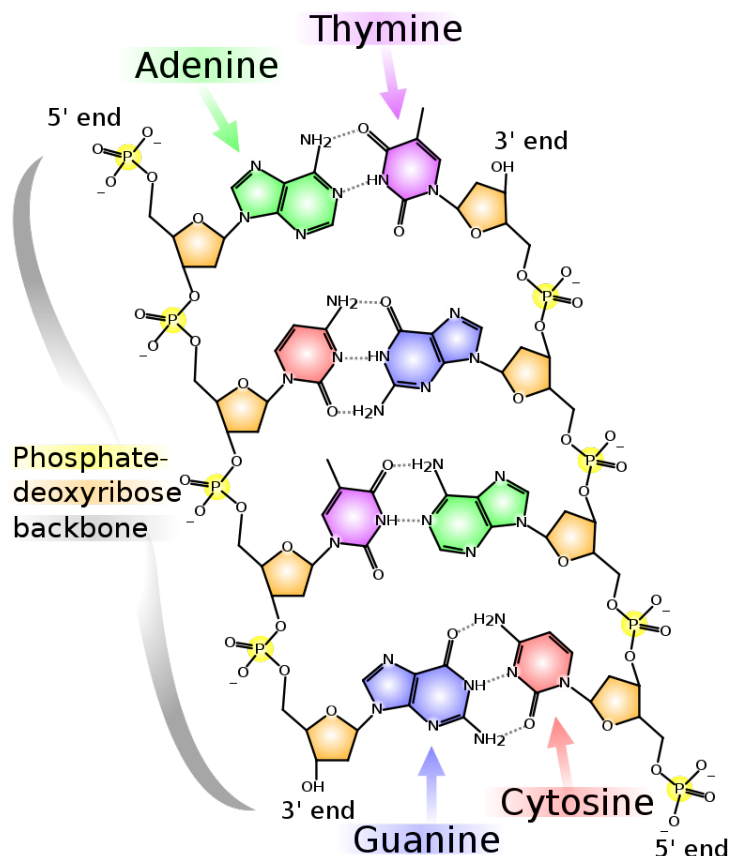
Strands of purified DNA precipitated from solutions of cell components are visible as viscous white substance.

DNA is a long polymer made from repeating units called nucleotides.^{[2] [3] [4]} These nucleotides are adenine (A), guanine (G), cytosine (C) and thymine (T). In the related nucleic acid RNA, thymine is replaced by uracil (U). These nucleotides can be classified into two groups: purines (adenine and guanine) and pyrimidines (thymine and cytosine).

The DNA chain is 22 to 26 Ångströms wide (2.2 to 2.6 nanometres), and one nucleotide unit is 3.3 Å (0.33 nm) long.^[5] Although each individual repeating unit is very small, DNA polymers can be very large molecules containing millions of nucleotides. For instance, the largest human chromosome, chromosome number 1, is approximately 220 million base pairs long.^[6]

In living organisms, DNA does not usually exist as a single molecule, but instead as a pair of molecules that are held tightly together.^{[7] [8]} These two long strands entwine like vines, in the shape of a double helix. The nucleotide repeats contain both the segment of the backbone of the molecule, which holds the chain together, and a base, which interacts with the other DNA strand in the helix. In general, a base linked to a sugar is called a nucleoside and a base linked to a sugar and one or more phosphate groups is called a nucleotide. If multiple nucleotides are linked together, as in DNA, this polymer is called a polynucleotide.^[9]

The backbone of the DNA strand is made from alternating phosphate and sugar residues.^[10] The sugar in DNA is 2-deoxyribose, which is a pentose (five-carbon) sugar. The sugars are joined together by phosphate groups that form phosphodiester bonds between



The chemical structure of DNA. Hydrogen bonds are shown as dotted lines.

the third and fifth carbon atoms of adjacent sugar rings. These asymmetric bonds mean a strand of DNA has a direction. In a double helix the direction of the nucleotides in one strand is opposite to their direction in the other strand. This arrangement of DNA strands is called antiparallel. The asymmetric ends of DNA strands are referred to as the 5' (*five prime*) and 3' (*three prime*) ends, with the 5' end being that with a terminal phosphate group and the 3' end that with a terminal hydroxyl group. One of the major differences between DNA and RNA is the sugar, with 2-deoxyribose being replaced by the alternative pentose sugar ribose in RNA.^[8]

The DNA double helix is stabilized by hydrogen bonds between the bases attached to the two strands. The four bases found in DNA are adenine (abbreviated A), cytosine (C), guanine (G) and thymine (T). These four bases are attached to the sugar/phosphate to form the complete nucleotide, as shown for adenosine monophosphate.

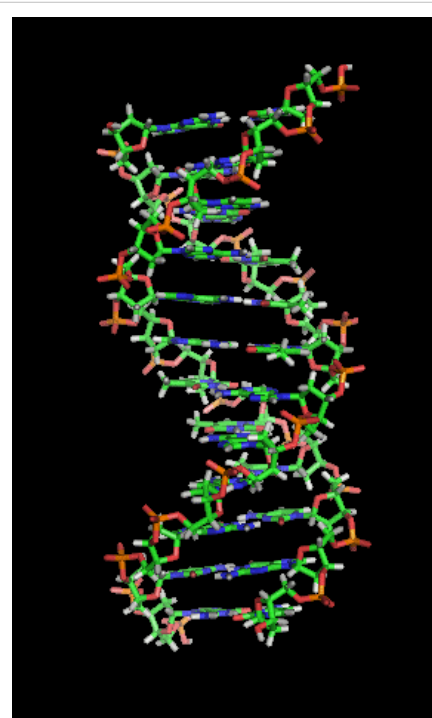
These bases are classified into two types; adenine and guanine are fused five- and six-membered heterocyclic compounds called purines, while cytosine and thymine are six-membered rings called pyrimidines.^[8] A fifth pyrimidine base, called uracil (U), usually takes the place of thymine in RNA and differs from thymine by lacking a methyl group on its ring. Uracil is not usually found in DNA, occurring only as a breakdown product of cytosine.

Grooves

Twin helical strands form the DNA backbone. Another double helix may be found by tracing the spaces, or grooves, between the strands. These voids are adjacent to the base pairs and may provide a binding site. As the strands are not directly opposite each other, the grooves are unequally sized. One groove, the major groove, is 22 Å wide and the other, the minor groove, is 12 Å wide.^[12] The narrowness of the minor groove means that the edges of the bases are more accessible in the major groove. As a result, proteins like transcription factors that can bind to specific sequences in double-stranded DNA usually make contacts to the sides of the bases exposed in the major groove.^[13] This situation varies in unusual conformations of DNA within the cell (*see below*), but the major and minor grooves are always named to reflect the differences in size that would be seen if the DNA is twisted back into the ordinary B form.

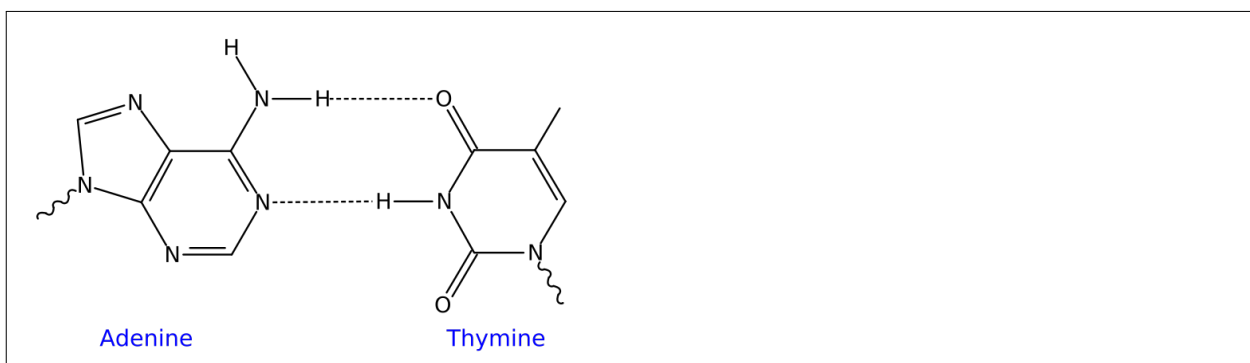
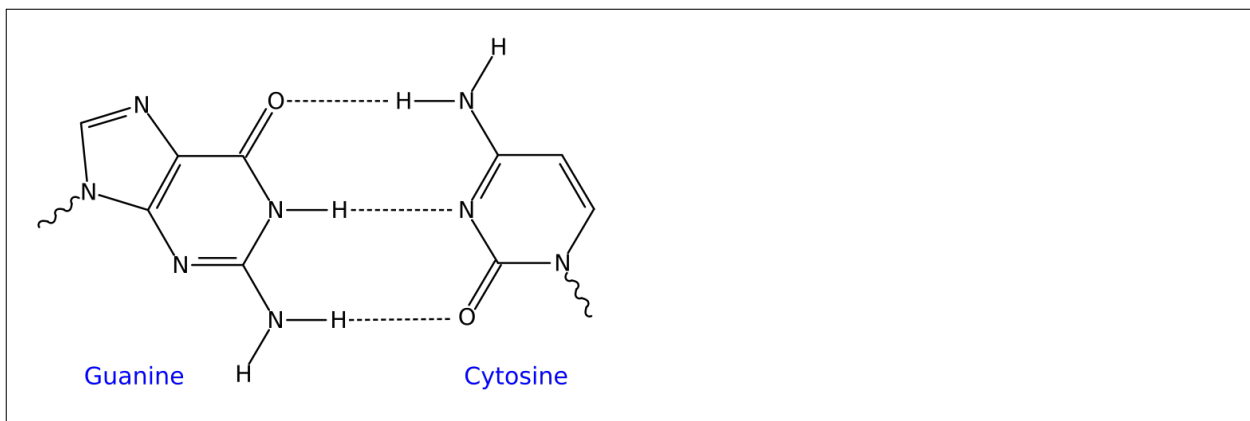
Base pairing

Each type of base on one strand forms a bond with just one type of base on the other strand. This is called complementary base pairing. Here, purines form hydrogen bonds to pyrimidines, with A bonding only to T, and C bonding only to G. This arrangement of two nucleotides binding together across the double helix is called a base pair. As hydrogen bonds are not covalent, they can be broken and rejoined relatively easily.



Structure of a section of DNA. The bases lie horizontally between the two spiraling strands.^[11] Animated version at File:DNA orbit animated.gif - over 3 megabytes.

The two strands of DNA in a double helix can therefore be pulled apart like a zipper, either by a mechanical force or high temperature.^[14] As a result of this complementarity, all the information in the double-stranded sequence of a DNA helix is duplicated on each strand, which is vital in DNA replication. Indeed, this reversible and specific interaction between complementary base pairs is critical for all the functions of DNA in living organisms.^[3]



Top, a **GC** base pair with three hydrogen bonds. Bottom, an **AT** base pair with two hydrogen bonds. Non-covalent hydrogen bonds between the pairs are shown as dashed lines.

The two types of base pairs form different numbers of hydrogen bonds, AT forming two hydrogen bonds, and GC forming three hydrogen bonds (see figures, left). DNA with high GC-content is more stable than DNA with low GC-content, but contrary to popular belief, this is not due to the extra hydrogen bond of a GC basepair but rather the contribution of stacking interactions (hydrogen bonding merely provides specificity of the pairing, not stability).^[15] As a result, it is both the percentage of GC base pairs and the overall length of a DNA double helix that determine the strength of the association between the two strands of DNA. Long DNA helices with a high GC content have stronger-interacting strands, while short helices with high AT content have weaker-interacting strands.^[16] In biology, parts of the DNA double helix that need to separate easily, such as the TATAAT Pribnow box in some promoters, tend to have a high AT content, making the strands easier to pull apart.^[17] In the laboratory, the strength of this interaction can be measured by finding the temperature required to break the hydrogen bonds, their melting temperature (also called T_m value). When all the base pairs in a DNA double helix melt, the strands separate and exist in solution as two entirely independent molecules. These single-stranded DNA molecules have no single common shape, but some conformations are more stable than others.^[18]

Sense and antisense

A DNA sequence is called "sense" if its sequence is the same as that of a messenger RNA copy that is translated into protein.^[19] The sequence on the opposite strand is called the "antisense" sequence. Both sense and antisense sequences can exist on different parts of the same strand of DNA (i.e. both strands contain both sense and antisense sequences). In both prokaryotes and eukaryotes, antisense RNA sequences are produced, but the functions of these RNAs are not entirely clear.^[20] One proposal is that antisense RNAs are involved in regulating gene expression through RNA-RNA base pairing.^[21]

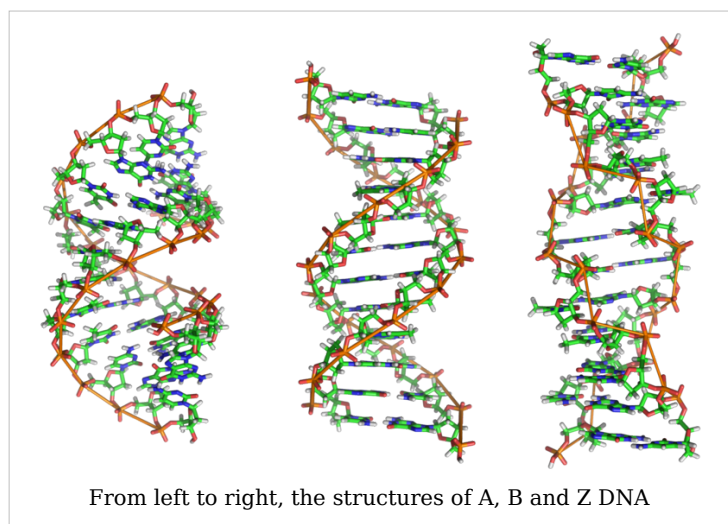
A few DNA sequences in prokaryotes and eukaryotes, and more in plasmids and viruses, blur the distinction between sense and antisense strands by having overlapping genes.^[22] In these cases, some DNA sequences do double duty, encoding one protein when read along one strand, and a second protein when read in the opposite direction along the other strand. In bacteria, this overlap may be involved in the regulation of gene transcription,^[23] while in viruses, overlapping genes increase the amount of information that can be encoded within the small viral genome.^[24]

Supercoiling

DNA can be twisted like a rope in a process called DNA supercoiling. With DNA in its "relaxed" state, a strand usually circles the axis of the double helix once every 10.4 base pairs, but if the DNA is twisted the strands become more tightly or more loosely wound.^[25] If the DNA is twisted in the direction of the helix, this is positive supercoiling, and the bases are held more tightly together. If they are twisted in the opposite direction, this is negative supercoiling, and the bases come apart more easily. In nature, most DNA has slight negative supercoiling that is introduced by enzymes called topoisomerases.^[26] These enzymes are also needed to relieve the twisting stresses introduced into DNA strands during processes such as transcription and DNA replication.^[27]

Alternate DNA structures

DNA exists in many possible conformations that include A-DNA, B-DNA, and Z-DNA forms, although, only B-DNA and Z-DNA have been directly observed in functional organisms.^[10] The conformation that DNA adopts depends on the hydration level, DNA sequence, the amount and direction of supercoiling, chemical modifications of the bases, the type and concentration of metal ions, as well as the presence of polyamines in solution.^[28]



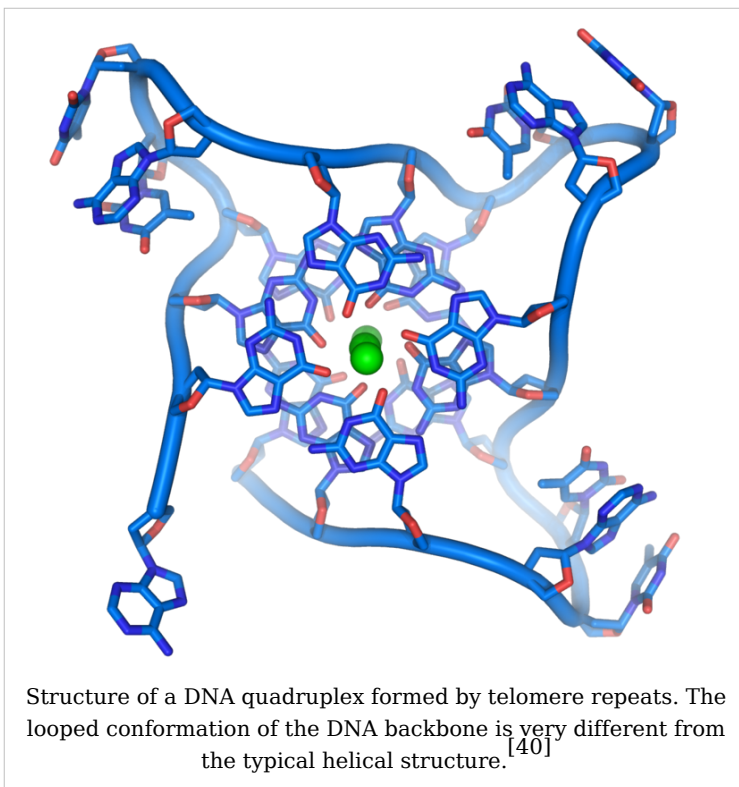
The first published reports of A-DNA X-ray diffraction patterns— and also B-DNA used analyses based on Patterson transforms that provided only a limited amount of structural information for oriented fibers of DNA.^[29] ^[30] An alternate analysis was then proposed by Wilkins *et al.*, in 1953, for the *in vivo* B-DNA X-ray diffraction/scattering patterns of highly

hydrated DNA fibers in terms of squares of Bessel functions.^[31] In the same journal, Watson and Crick presented their molecular modeling analysis of the DNA X-ray diffraction patterns to suggest that the structure was a double-helix.^[7]

Although the 'B-DNA form' is most common under the conditions found in cells,^[32] it is not a well-defined conformation but a family of related DNA conformations^[33] that occur at the high hydration levels present in living cells. Their corresponding X-ray diffraction and scattering patterns are characteristic of molecular paracrystals with a significant degree of disorder.^{[34] [35]}

Compared to B-DNA, the A-DNA form is a wider right-handed spiral, with a shallow, wide minor groove and a narrower, deeper major groove. The A form occurs under non-physiological conditions in partially dehydrated samples of DNA, while in the cell it may be produced in hybrid pairings of DNA and RNA strands, as well as in enzyme-DNA complexes.^{[36] [37]} Segments of DNA where the bases have been chemically modified by methylation may undergo a larger change in conformation and adopt the Z form. Here, the strands turn about the helical axis in a left-handed spiral, the opposite of the more common B form.^[38] These unusual structures can be recognized by specific Z-DNA binding proteins and may be involved in the regulation of transcription.^[39]

Quadruplex structures



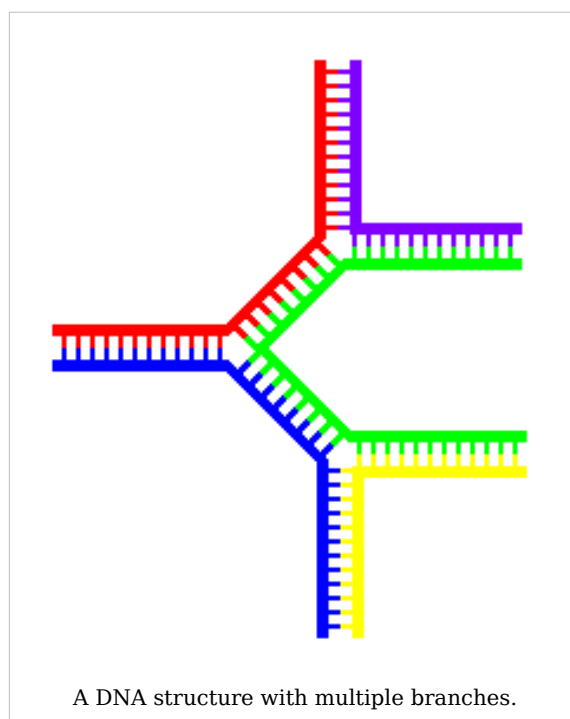
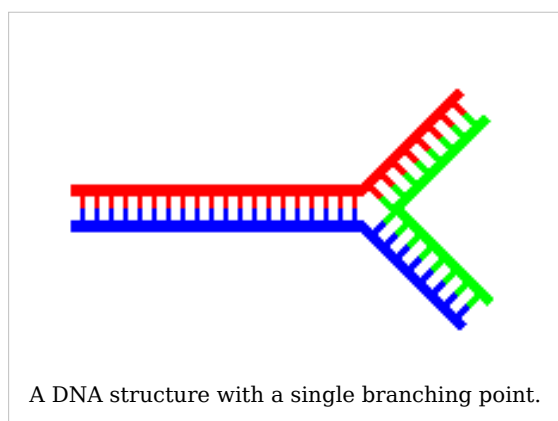
At the ends of the linear chromosomes are specialized regions of DNA called telomeres. The main function of these regions is to allow the cell to replicate chromosome ends using the enzyme telomerase, as the enzymes that normally replicate DNA cannot copy the extreme 3' ends of chromosomes.^[41] These specialized chromosome caps also help protect the DNA ends, and stop the DNA repair systems in the cell from treating them as damage to be corrected.^[42] In human cells, telomeres are usually lengths of single-stranded DNA containing several thousand repeats of a simple TTAGGG sequence.^[43]

These guanine-rich sequences may stabilize chromosome ends by forming structures of stacked sets of four-base units, rather than the usual base pairs found in other DNA molecules. Here, four guanine bases form a flat plate and these flat four-base units then stack on top of each other, to form a stable *G-quadruplex* structure.^[44] These structures are stabilized by hydrogen bonding between the edges of the bases and chelation of a metal ion in the centre of each four-base unit.^[45]

Other structures can also be formed, with the central set of four bases coming from either a single strand folded around the bases, or several different parallel strands, each contributing one base to the central structure.

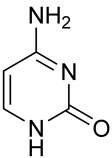
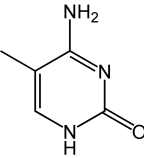
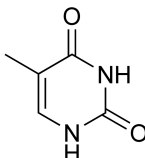
In addition to these stacked structures, telomeres also form large loop structures called telomere loops, or T-loops. Here, the single-stranded DNA curls around in a long circle stabilized by telomere-binding proteins.^[46] At the very end of the T-loop, the single-stranded telomere DNA is held onto a region of double-stranded DNA by the telomere strand disrupting the double-helical DNA and base pairing to one of the two strands. This triple-stranded structure is called a displacement loop or D-loop.^[44]

Branched DNA



In DNA fraying occurs when non-complementary regions exist at the end of an otherwise complementary double-strand of DNA. However, branched DNA can occur if a third strand of DNA is introduced and contains adjoining regions able to hybridize with the frayed regions of the pre-existing double-strand. Although the simplest example of branched DNA involves only three strands of DNA, complexes involving additional strands and multiple branches are also possible.^[47]

Chemical modifications

 <chem>NC1=NC(=O)NC=C1</chem>	 <chem>CC1=CNC(=O)NC=C1</chem>	 <chem>CC1=CNC(=O)NC1=O</chem>
cytosine	5-methylcytosine	thymine

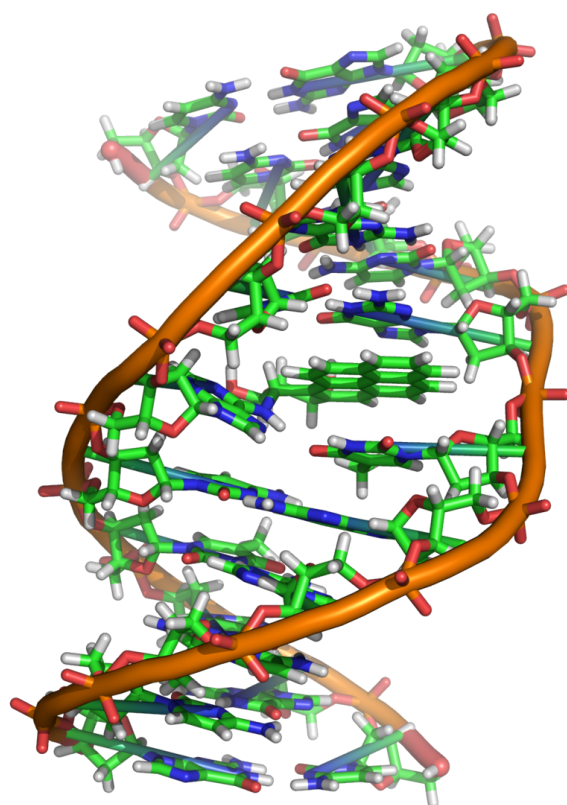
Structure of cytosine with and without the 5-methyl group. After deamination the 5-methylcytosine has the same structure as thymine

Base modifications

The expression of genes is influenced by how the DNA is packaged in chromosomes, in a structure called chromatin. Base modifications can be involved in packaging, with regions that have low or no gene expression usually containing high levels of methylation of cytosine bases. For example, cytosine methylation, produces 5-methylcytosine, which is important for X-chromosome inactivation.^[48] The average level of methylation varies between organisms - the worm *Caenorhabditis elegans* lacks cytosine methylation, while vertebrates have higher levels, with up to 1% of their DNA containing 5-methylcytosine.^[49] Despite the importance of 5-methylcytosine, it can deaminate to leave a thymine base, methylated cytosines are therefore particularly prone to mutations.^[50] Other base modifications include adenine methylation in bacteria, the presence of 5-hydroxymethylcytosine in the brain,^[51] and the glycosylation of uracil to produce the "J-base" in kinetoplastids.^[52] [53]

Damage

DNA can be damaged by many different sorts of mutagens, which change the DNA sequence. Mutagens include oxidizing agents, alkylating agents and also high-energy electromagnetic radiation such as ultraviolet light and X-rays. The type of DNA damage produced depends on the type of mutagen. For example, UV light can damage DNA by producing thymine dimers, which are cross-links between pyrimidine bases.^[55] On the other hand, oxidants such as free radicals or hydrogen peroxide produce multiple forms of damage, including base modifications, particularly of guanosine, and double-strand breaks.^[56] A typical human cell contains about 150,000 bases that have suffered oxidative damage.^[57] Of these oxidative lesions, the most dangerous are double-strand breaks, as these are difficult to repair and can produce point mutations, insertions and deletions from the DNA sequence, as well as chromosomal translocations.^[58]



A covalent adduct between benzo[a]pyrene, the major mutagen in tobacco smoke, and DNA^[54]

Many mutagens fit into the space between two adjacent base pairs, this is called *intercalating*. Most intercalators are aromatic and planar molecules, and include Ethidium bromide, daunomycin, and doxorubicin. In order for an intercalator to fit between base

pairs, the bases must separate, distorting the DNA strands by unwinding of the double helix. This inhibits both transcription and DNA replication, causing toxicity and mutations. As a result, DNA intercalators are often carcinogens, and Benzo[*a*]pyrene diol epoxide, acridines, aflatoxin and ethidium bromide are well-known examples.^{[59] [60] [61]} Nevertheless, due to their ability to inhibit DNA transcription and replication, other similar toxins are also used in chemotherapy to inhibit rapidly growing cancer cells.^[62]

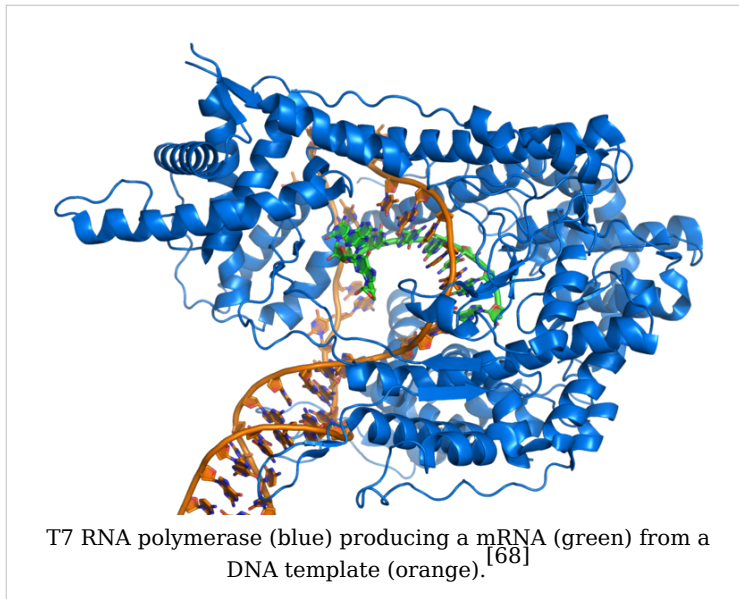
Biological functions

DNA usually occurs as linear chromosomes in eukaryotes, and circular chromosomes in prokaryotes. The set of chromosomes in a cell makes up its genome; the human genome has approximately 3 billion base pairs of DNA arranged into 46 chromosomes.^[63] The information carried by DNA is held in the sequence of pieces of DNA called genes. Transmission of genetic information in genes is achieved via complementary base pairing. For example, in transcription, when a cell uses the information in a gene, the DNA sequence is copied into a complementary RNA sequence through the attraction between the DNA and the correct RNA nucleotides. Usually, this RNA copy is then used to make a matching protein sequence in a process called translation which depends on the same interaction between RNA nucleotides. Alternatively, a cell may simply copy its genetic information in a process called DNA replication. The details of these functions are covered in other articles; here we focus on the interactions between DNA and other molecules that mediate the function of the genome.

Genes and genomes

Genomic DNA is located in the cell nucleus of eukaryotes, as well as small amounts in mitochondria and chloroplasts. In prokaryotes, the DNA is held within an irregularly shaped body in the cytoplasm called the nucleoid.^[64] The genetic information in a genome is held within genes, and the complete set of this information in an organism is called its genotype. A gene is a unit of heredity and is a region of DNA that influences a particular characteristic in an organism. Genes contain an open reading frame that can be transcribed, as well as regulatory sequences such as promoters and enhancers, which control the transcription of the open reading frame.

In many species, only a small fraction of the total sequence of the genome encodes protein. For example, only about 1.5% of the human genome consists of protein-coding exons, with over 50% of human DNA consisting of non-coding repetitive sequences.^[65] The reasons for the presence of so much non-coding DNA in eukaryotic genomes and the extraordinary differences in genome size, or *C-value*, among species represent a long-standing puzzle known as the "C-value enigma."^[66] However, DNA sequences that do not code protein may still encode functional non-coding RNA molecules, which are involved in the regulation of gene expression.^[67]



Some non-coding DNA sequences play structural roles in chromosomes. Telomeres and centromeres typically contain few genes, but are important for the function and stability of chromosomes.^{[42] [69]} An abundant form of non-coding DNA in humans are pseudogenes, which are copies of genes that have been disabled by mutation.^[70] These sequences are usually just molecular fossils, although they can occasionally serve as raw genetic material for the creation of new genes through the process of gene duplication

and divergence.^[71]

Transcription and translation

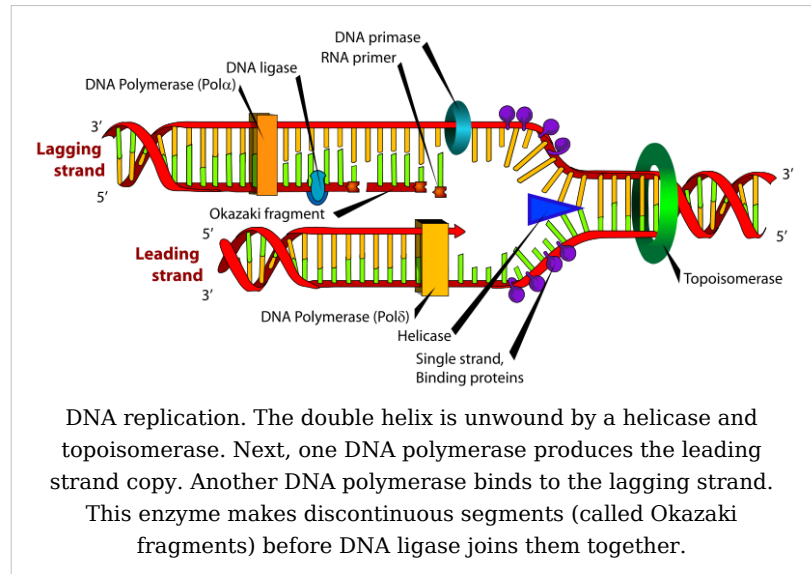
A gene is a sequence of DNA that contains genetic information and can influence the phenotype of an organism. Within a gene, the sequence of bases along a DNA strand defines a messenger RNA sequence, which then defines one or more protein sequences. The relationship between the nucleotide sequences of genes and the amino-acid sequences of proteins is determined by the rules of translation, known collectively as the genetic code. The genetic code consists of three-letter 'words' called *codons* formed from a sequence of three nucleotides (e.g. ACT, CAG, TTT).

In transcription, the codons of a gene are copied into messenger RNA by RNA polymerase. This RNA copy is then decoded by a ribosome that reads the RNA sequence by base-pairing the messenger RNA to transfer RNA, which carries amino acids. Since there are 4 bases in 3-letter combinations, there are 64 possible codons (4^3 combinations). These encode the twenty standard amino acids, giving most amino acids more than one possible codon. There are also three 'stop' or 'nonsense' codons signifying the end of the coding region; these are the TAA, TGA and TAG codons.

Replication

Cell division is essential for an organism to grow, but when a cell divides it must replicate the DNA in its genome so that the two daughter cells have the same genetic information as their parent. The double-stranded structure of DNA provides a simple mechanism for DNA replication. Here, the two strands are separated and then each strand's complementary DNA sequence

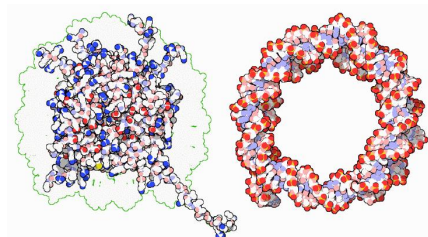
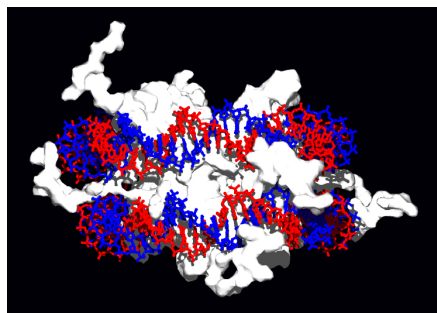
is recreated by an enzyme called DNA polymerase. This enzyme makes the complementary strand by finding the correct base through complementary base pairing, and bonding it onto the original strand. As DNA polymerases can only extend a DNA strand in a 5' to 3' direction, different mechanisms are used to copy the antiparallel strands of the double helix.^[72] In this way, the base on the old strand dictates which base appears on the new strand, and the cell ends up with a perfect copy of its DNA.



Interactions with proteins

All the functions of DNA depend on interactions with proteins. These protein interactions can be non-specific, or the protein can bind specifically to a single DNA sequence. Enzymes can also bind to DNA and of these, the polymerases that copy the DNA base sequence in transcription and DNA replication are particularly important.

DNA-binding proteins



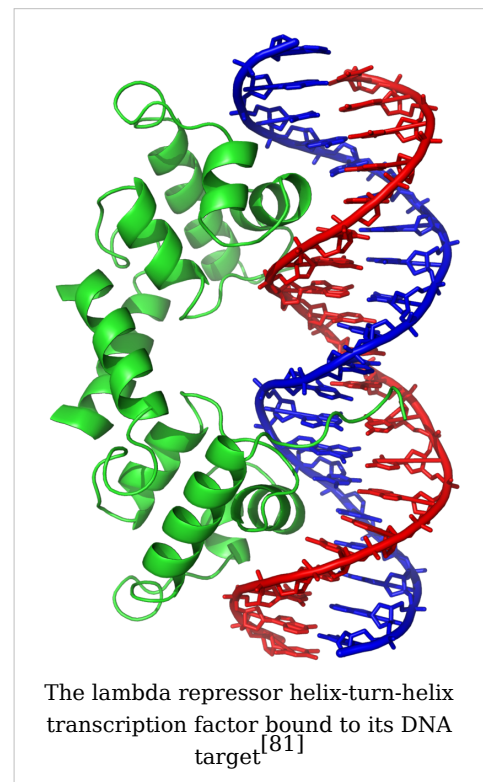
Interaction of DNA with histones (shown in white, top). These proteins' basic amino acids (below left, blue) bind to the acidic phosphate groups on DNA (below right, red).

Structural proteins that bind DNA are well-understood examples of non-specific DNA-protein interactions. Within chromosomes, DNA is held in complexes with structural proteins. These proteins organize the DNA into a compact structure called chromatin. In eukaryotes this structure involves DNA binding to a complex of small basic proteins called histones, while in prokaryotes multiple types of proteins are involved.^{[73] [74]} The histones form a disk-shaped complex called a nucleosome, which contains two complete turns of double-stranded DNA wrapped around its surface. These non-specific interactions are formed through basic residues in the histones making ionic bonds to the acidic sugar-phosphate backbone of the DNA, and are therefore largely independent of the base sequence.^[75] Chemical modifications of these basic amino acid residues include methylation, phosphorylation and acetylation.^[76] These chemical changes alter the strength of the interaction between the DNA and the histones, making the DNA more or less accessible to transcription factors and changing the rate of transcription.^[77] Other non-specific DNA-binding proteins in chromatin include the high-mobility group proteins, which bind to bent or distorted DNA.^[78] These proteins are important in bending arrays of nucleosomes and arranging them into the larger structures that make up chromosomes.^[79]

A distinct group of DNA-binding proteins are the DNA-binding proteins that specifically bind single-stranded DNA. In humans, replication protein A is the best-understood member of this family and is used in processes where the double helix is separated, including DNA replication, recombination and DNA repair.^[80] These binding proteins seem to stabilize single-stranded DNA and protect it from forming stem-loops or being degraded by nucleases.

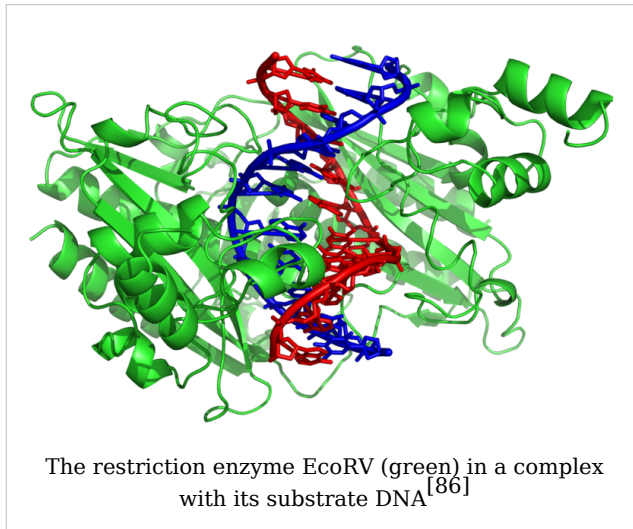
In contrast, other proteins have evolved to bind to particular DNA sequences. The most intensively studied of these are the various transcription factors, which are proteins that regulate transcription. Each transcription factor binds to one particular set of DNA sequences and activates or inhibits the transcription of genes that have these sequences close to their promoters. The transcription factors do this in two ways. Firstly, they can bind the RNA polymerase responsible for transcription, either directly or through other mediator proteins; this locates the polymerase at the promoter and allows it to begin transcription.^[82] Alternatively, transcription factors can bind enzymes that modify the histones at the promoter; this will change the accessibility of the DNA template to the polymerase.^[83]

As these DNA targets can occur throughout an organism's genome, changes in the activity of one type of transcription factor can affect thousands of genes.^[84] Consequently, these proteins are often the



targets of the signal transduction processes that control responses to environmental changes or cellular differentiation and development. The specificity of these transcription

factors' interactions with DNA come from the proteins making multiple contacts to the edges of the DNA bases, allowing them to "read" the DNA sequence. Most of these base-interactions are made in the major groove, where the bases are most accessible.^[85]



DNA-modifying enzymes

Nucleases and ligases

Nucleases are enzymes that cut DNA strands by catalyzing the hydrolysis of the phosphodiester bonds. Nucleases that hydrolyse nucleotides from the ends of DNA strands are called exonucleases, while endonucleases cut within strands. The most frequently used nucleases in molecular biology are the restriction endonucleases, which cut DNA at specific sequences. For instance, the EcoRV enzyme shown to the left recognizes the

6-base sequence 5'-GAT|ATC-3' and makes a cut at the vertical line. In nature, these enzymes protect bacteria against phage infection by digesting the phage DNA when it enters the bacterial cell, acting as part of the restriction modification system.^[87] In technology, these sequence-specific nucleases are used in molecular cloning and DNA fingerprinting.

Enzymes called DNA ligases can rejoin cut or broken DNA strands.^[88] Ligases are particularly important in lagging strand DNA replication, as they join together the short segments of DNA produced at the replication fork into a complete copy of the DNA template. They are also used in DNA repair and genetic recombination.^[88]

Topoisomerases and helicases

Topoisomerases are enzymes with both nuclease and ligase activity. These proteins change the amount of supercoiling in DNA. Some of these enzyme work by cutting the DNA helix and allowing one section to rotate, thereby reducing its level of supercoiling; the enzyme then seals the DNA break.^[26] Other types of these enzymes are capable of cutting one DNA helix and then passing a second strand of DNA through this break, before rejoining the helix.^[89] Topoisomerases are required for many processes involving DNA, such as DNA replication and transcription.^[27]

Helicases are proteins that are a type of molecular motor. They use the chemical energy in nucleoside triphosphates, predominantly ATP, to break hydrogen bonds between bases and unwind the DNA double helix into single strands.^[90] These enzymes are essential for most processes where enzymes need to access the DNA bases.

Polymerases

Polymerases are enzymes that synthesize polynucleotide chains from nucleoside triphosphates. The sequence of their products are copies of existing polynucleotide chains - which are called *templates*. These enzymes function by adding nucleotides onto the 3' hydroxyl group of the previous nucleotide in a DNA strand. Consequently, all polymerases work in a 5' to 3' direction.^[91] In the active site of these enzymes, the incoming nucleoside triphosphate base-pairs to the template: this allows polymerases to accurately synthesize the complementary strand of their template. Polymerases are classified according to the type of template that they use.

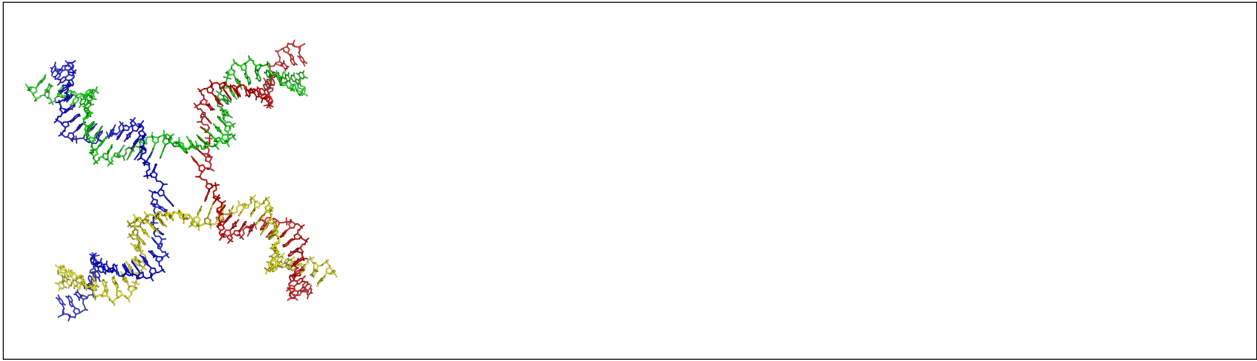
In DNA replication, a DNA-dependent DNA polymerase makes a copy of a DNA sequence. Accuracy is vital in this process, so many of these polymerases have a proofreading activity. Here, the polymerase recognizes the occasional mistakes in the synthesis reaction by the lack of base pairing between the mismatched nucleotides. If a mismatch is detected, a 3' to 5' exonuclease activity is activated and the incorrect base removed.^[92] In most organisms DNA polymerases function in a large complex called the replisome that contains multiple accessory subunits, such as the DNA clamp or helicases.^[93]

RNA-dependent DNA polymerases are a specialized class of polymerases that copy the sequence of an RNA strand into DNA. They include reverse transcriptase, which is a viral enzyme involved in the infection of cells by retroviruses, and telomerase, which is required for the replication of telomeres.^{[41] [94]} Telomerase is an unusual polymerase because it contains its own RNA template as part of its structure.^[42]

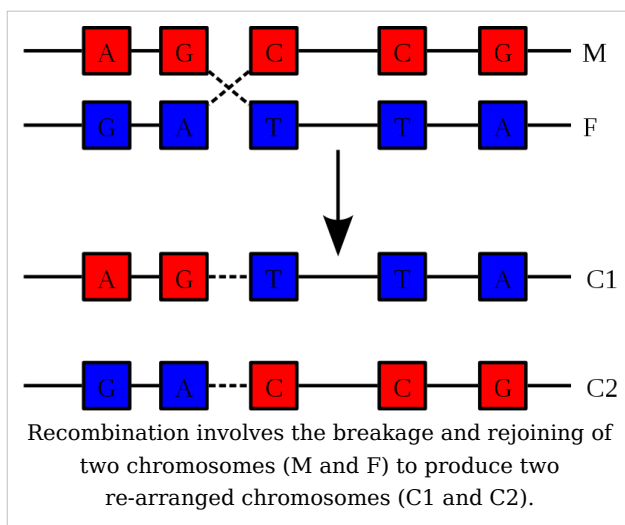
Transcription is carried out by a DNA-dependent RNA polymerase that copies the sequence of a DNA strand into RNA. To begin transcribing a gene, the RNA polymerase binds to a sequence of DNA called a promoter and separates the DNA strands. It then copies the gene sequence into a messenger RNA transcript until it reaches a region of DNA called the terminator, where it halts and detaches from the DNA. As with human DNA-dependent DNA polymerases, RNA polymerase II, the enzyme that transcribes most of the genes in the human genome, operates as part of a large protein complex with multiple regulatory and accessory subunits.^[95]

Genetic recombination





Structure of the Holliday junction intermediate in genetic recombination. The four separate DNA strands are coloured red, blue, green and yellow.^[96]



A DNA helix usually does not interact with other segments of DNA, and in human cells the different chromosomes even occupy separate areas in the nucleus called "chromosome territories".^[97] This physical separation of different chromosomes is important for the ability of DNA to function as a stable repository for information, as one of the few times chromosomes interact is during chromosomal crossover when they recombine. Chromosomal crossover is when two DNA helices break, swap a section and then rejoin.

Recombination allows chromosomes to exchange genetic information and produces new combinations of genes, which increases the efficiency of natural selection and can be important in the rapid evolution of new proteins.^[98] Genetic recombination can also be involved in DNA repair, particularly in the cell's response to double-strand breaks.^[99]

The most common form of chromosomal crossover is homologous recombination, where the two chromosomes involved share very similar sequences. Non-homologous recombination can be damaging to cells, as it can produce chromosomal translocations and genetic abnormalities. The recombination reaction is catalyzed by enzymes known as *recombinases*, such as RAD51.^[100] The first step in recombination is a double-stranded break either caused by an endonuclease or damage to the DNA.^[101] A series of steps catalyzed in part by the recombinase then leads to joining of the two helices by at least one Holliday junction, in which a segment of a single strand in each helix is annealed to the complementary strand in the other helix. The Holliday junction is a tetrahedral junction structure that can be moved along the pair of chromosomes, swapping one strand for another. The recombination reaction is then halted by cleavage of the junction and re-ligation of the released DNA.^[102]

Evolution

DNA contains the genetic information that allows all modern living things to function, grow and reproduce. However, it is unclear how long in the 4-billion-year history of life DNA has performed this function, as it has been proposed that the earliest forms of life may have used RNA as their genetic material.^{[91] [103]} RNA may have acted as the central part of early cell metabolism as it can both transmit genetic information and carry out catalysis as part of ribozymes.^[104] This ancient RNA world where nucleic acid would have been used for both catalysis and genetics may have influenced the evolution of the current genetic code based on four nucleotide bases. This would occur since the number of unique bases in such an organism is a trade-off between a small number of bases increasing replication accuracy and a large number of bases increasing the catalytic efficiency of ribozymes.^[105]

Unfortunately, there is no direct evidence of ancient genetic systems, as recovery of DNA from most fossils is impossible. This is because DNA will survive in the environment for less than one million years and slowly degrades into short fragments in solution.^[106] Claims for older DNA have been made, most notably a report of the isolation of a viable bacterium from a salt crystal 250-million years old,^[107] but these claims are controversial.^{[108] [109]}

Uses in technology

Genetic engineering

Methods have been developed to purify DNA from organisms, such as phenol-chloroform extraction and manipulate it in the laboratory, such as restriction digests and the polymerase chain reaction. Modern biology and biochemistry make intensive use of these techniques in recombinant DNA technology. Recombinant DNA is a man-made DNA sequence that has been assembled from other DNA sequences. They can be transformed into organisms in the form of plasmids or in the appropriate format, by using a viral vector.^[110] The genetically modified organisms produced can be used to produce products such as recombinant proteins, used in medical research,^[111] or be grown in agriculture.^{[112] [113]}

Forensics

Forensic scientists can use DNA in blood, semen, skin, saliva or hair found at a crime scene to identify a matching DNA of an individual, such as a perpetrator. This process is called genetic fingerprinting, or more accurately, DNA profiling. In DNA profiling, the lengths of variable sections of repetitive DNA, such as short tandem repeats and minisatellites, are compared between people. This method is usually an extremely reliable technique for identifying a matching DNA.^[114] However, identification can be complicated if the scene is contaminated with DNA from several people.^[115] DNA profiling was developed in 1984 by British geneticist Sir Alec Jeffreys,^[116] and first used in forensic science to convict Colin Pitchfork in the 1988 Enderby murders case.^[117]

People convicted of certain types of crimes may be required to provide a sample of DNA for a database. This has helped investigators solve old cases where only a DNA sample was obtained from the scene. DNA profiling can also be used to identify victims of mass casualty incidents.^[118] On the other hand, many convicted people have been released from prison on the basis of DNA techniques, which were not available when a crime had originally been committed.

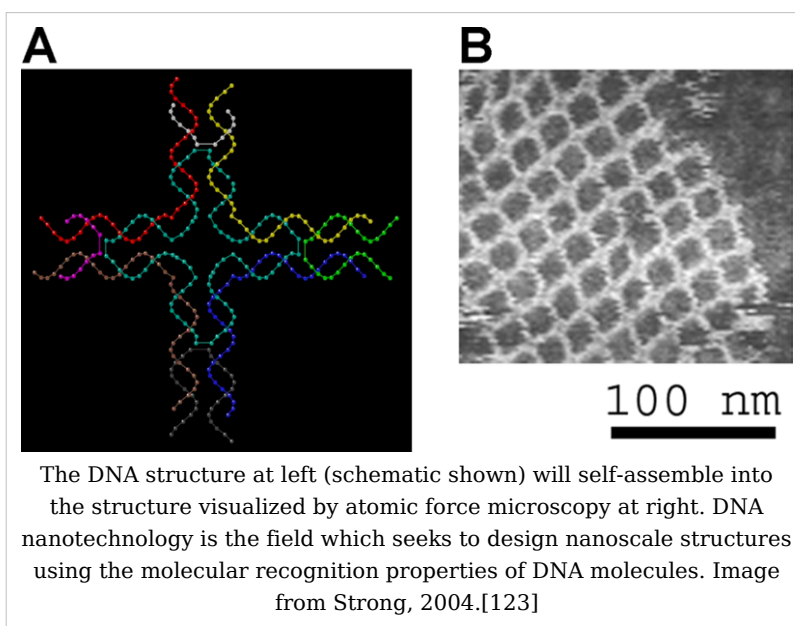
Bioinformatics

Bioinformatics involves the manipulation, searching, and data mining of DNA sequence data. The development of techniques to store and search DNA sequences have led to widely applied advances in computer science, especially string searching algorithms, machine learning and database theory.^[119] String searching or matching algorithms, which find an occurrence of a sequence of letters inside a larger sequence of letters, were developed to search for specific sequences of nucleotides.^[120] In other applications such as text editors, even simple algorithms for this problem usually suffice, but DNA sequences cause these algorithms to exhibit near-worst-case behaviour due to their small number of distinct characters. The related problem of sequence alignment aims to identify homologous sequences and locate the specific mutations that make them distinct. These techniques, especially multiple sequence alignment, are used in studying phylogenetic relationships and protein function.^[121] Data sets representing entire genomes' worth of DNA sequences, such as those produced by the Human Genome Project, are difficult to use without annotations, which label the locations of genes and regulatory elements on each chromosome. Regions of DNA sequence that have the characteristic patterns associated with protein- or RNA-coding genes can be identified by gene finding algorithms, which allow researchers to predict the presence of particular gene products in an organism even before they have been isolated experimentally.^[122]

DNA nanotechnology

DNA nanotechnology uses the unique molecular recognition properties of DNA and other nucleic acids to create self-assembling branched DNA complexes with useful properties.^[124] DNA is thus used as a structural material rather than as a carrier of biological information. This has led to the creation of two-dimensional periodic lattices (both tile-based as well as using the "DNA origami" method) as well as three-dimensional structures in the shapes of

polyhedra.^[125] Nanomechanical devices and algorithmic self-assembly have also been demonstrated,^[126] and these DNA structures have been used to template the arrangement of other molecules such as gold nanoparticles and streptavidin proteins.^[127]



History and anthropology

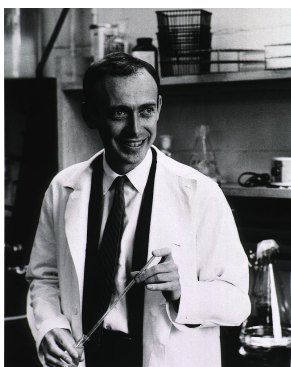
Because DNA collects mutations over time, which are then inherited, it contains historical information and by comparing DNA sequences, geneticists can infer the evolutionary history of organisms, their phylogeny.^[128] This field of phylogenetics is a powerful tool in evolutionary biology. If DNA sequences within a species are compared, population geneticists can learn the history of particular populations. This can be used in studies ranging from ecological genetics to anthropology; for example, DNA evidence is being used to try to identify the Ten Lost Tribes of Israel.^{[129] [130]}

DNA has also been used to look at modern family relationships, such as establishing family relationships between the descendants of Sally Hemings and Thomas Jefferson. This usage is closely related to the use of DNA in criminal investigations detailed above. Indeed, some criminal investigations have been solved when DNA from crime scenes has matched relatives of the guilty individual.^[131]

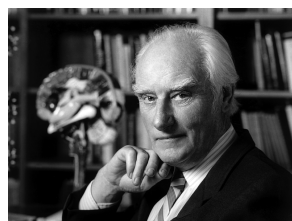
History of DNA research

DNA was first isolated by the Swiss physician Friedrich Miescher who, in 1869, discovered a microscopic substance in the pus of discarded surgical bandages. As it resided in the nuclei of cells, he called it "nuclein".^[132] In 1919, Phoebus Levene identified the base, sugar and phosphate nucleotide unit.^[133] Levene suggested that DNA consisted of a string of nucleotide units linked together through the phosphate groups. However, Levene thought the chain was short and the bases repeated in a fixed order. In 1937 William Astbury produced the first X-ray diffraction patterns that showed that DNA had a regular structure.^[134]

In 1928, Frederick Griffith discovered that traits of the "smooth" form of the *Pneumococcus* could be transferred to the "rough" form of the same bacteria by mixing killed "smooth" bacteria with the live "rough" form.^[135] This system provided the first clear suggestion that DNA carried genetic information—the Avery-MacLeod-McCarty experiment—when Oswald Avery, along with coworkers Colin MacLeod and Maclyn McCarty, identified DNA as the transforming principle in 1943.^[136] DNA's role in heredity was confirmed in 1952, when Alfred Hershey and Martha Chase in the Hershey-Chase experiment showed that DNA is the genetic material of the T2 phage.^[137]



James D. Watson



Francis Crick



Francis Crick



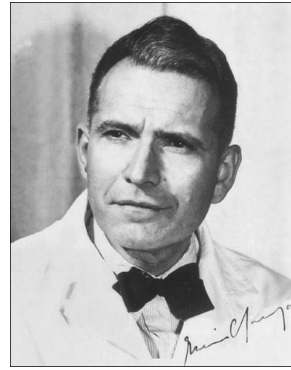
Rosalind Franklin



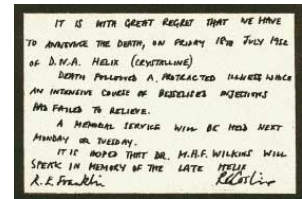
Raymond Gosling



Maurice F. Wilkins



Erwin Chargaff



DNA Helix controversy

In 1953 James D. Watson and Francis Crick suggested what is now accepted as the first correct double-helix model of DNA structure in the journal *Nature*.^[7] Their double-helix, molecular model of DNA was then based on a single X-ray diffraction image (labeled as "Photo 51")^[138] taken by Rosalind Franklin and Raymond Gosling in May 1952, as well as the information that the DNA bases were paired—also obtained through private communications from Erwin Chargaff in the previous years. Chargaff's rules played a very important role in establishing double-helix configurations for B-DNA as well as A-DNA.

Experimental evidence supporting the Watson and Crick model were published in a series of five articles in the same issue of *Nature*.^[139] Of these, Franklin and Gosling's paper was the first publication of their own X-ray diffraction data and original analysis method that partially supported the Watson and Crick model^{[30] [140]}; this issue also contained an article on DNA structure by Maurice Wilkins and two of his colleagues, whose analysis and *in vivo* B-DNA X-ray patterns also supported the presence *in vivo* of the double-helical DNA configurations as proposed by Crick and Watson for their double-helix molecular model of DNA in the previous two pages of *Nature*.^[31] In 1962, after Franklin's death, Watson, Crick, and Wilkins jointly received the Nobel Prize in Physiology or Medicine.^[141] Unfortunately, Nobel rules of the time allowed only living recipients, but a vigorous debate continues on who should receive credit for the discovery.^[142]

In an influential presentation in 1957, Crick laid out the "Central Dogma" of molecular biology, which foretold the relationship between DNA, RNA, and proteins, and articulated the "adaptor hypothesis".^[143] Final confirmation of the replication mechanism that was implied by the double-helical structure followed in 1958 through the Meselson-Stahl experiment.^[144] Further work by Crick and coworkers showed that the genetic code was based on non-overlapping triplets of bases, called codons, allowing Har Gobind Khorana, Robert W. Holley and Marshall Warren Nirenberg to decipher the genetic code.^[145] These findings represent the birth of molecular biology.

See also

- Molecular Structure of Nucleic Acids: A Structure for Deoxyribose Nucleic Acid
- Molecular models of DNA
- DNA microarray
- DNA sequencing
- Paracrystal model and theory
- X-ray scattering
- Crystallography
- X-ray crystallography
- Genetic disorder
- Junk DNA
- Nucleic acid analogues
- Nucleic acid methods
- Nucleic acid modeling
- Nucleic Acid Notations
- Phosphoramidite
- Plasmid
- Polymerase chain reaction
- *Proteopedia DNA* ^[146]
- Southern blot
- Triple-stranded DNA

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External links

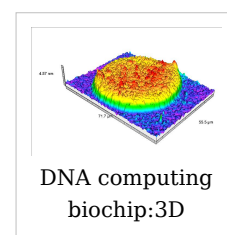
- DNA (http://www.dmoz.org/Science/Biology/Biochemistry_and_Molecular_Biology/Biomolecules/Nucleic_Acids/DNA/) at the Open Directory Project
- DNA binding site prediction on protein (<http://pipe.scs.fsu.edu/displar.html>)
- DNA coiling to form chromosomes (http://biostudio.com/c_education_mac.htm)
- DNA from the Beginning (<http://www.dnafb.org/dnafb/>) Another DNA Learning Center site on DNA, genes, and heredity from Mendel to the human genome project.
- DNA Lab, demonstrates how to extract DNA from wheat using readily available equipment and supplies. (<http://ca.youtube.com/watch?v=iyb7fwduuGM>)
- DNA the Double Helix Game (http://nobelprize.org/educational_games/medicine/dna_double_helix/) From the official Nobel Prize web site
- DNA under electron microscope (http://www.fidelitysystems.com/Unlinked_DNA.html)
- Dolan DNA Learning Center (<http://www.dnalc.org/>)
- Double Helix: 50 years of DNA (<http://www.nature.com/nature/dna50/archive.html>), *Nature*
- Double Helix 1953–2003 (<http://www.ncbe.reading.ac.uk/DNA50/>) National Centre for Biotechnology Education
- Francis Crick and James Watson talking on the BBC in 1962, 1972, and 1974 (<http://www.bbc.co.uk/bbcfour/audiointerviews/profilepages/crickwatson1.shtml>)
- Genetic Education Modules for Teachers (<http://www.genome.gov/10506718>) — *DNA from the Beginning* Study Guide
- Guide to DNA cloning (<http://www.blackwellpublishing.com/trun/artwork/Animations/cloningexp/cloningexp.html>)
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- PDB Molecule of the Month *pdb23_1* (http://www.rcsb.org/pdb/static.do?p=education_discussion/molecule_of_the_month/pdb23_1.html)
- Rosalind Franklin's contributions to the study of DNA (<http://mason.gmu.edu/~emoody/rfranklin.html>)
- The Register of Francis Crick Personal Papers 1938 - 2007 (<http://orpheus.ucsd.edu/speccoll/testing/html/mss0660a.html#abstract>) at Mandeville Special Collections Library, Geisel Library, University of California, San Diego
- U.S. National DNA Day (<http://www.genome.gov/10506367>) — watch videos and participate in real-time chat with top scientists
- "http://www.nytimes.com/packages/pdf/science/dna-article.pdf[Clue to chemistry of heredity found". *The New York Times*. Saturday, June 13, 1953. <http://www.nytimes.com/packages/pdf/science/dna-article.pdf>. The first American newspaper coverage of the discovery of the DNA structure.
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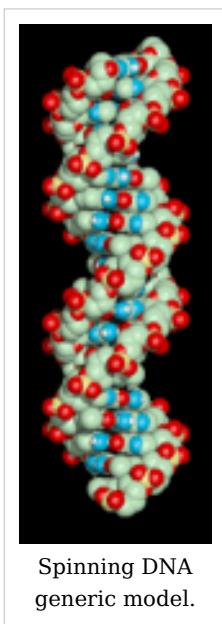
Molecular models of DNA

Molecular models of DNA structures are representations of the molecular geometry and topology of Deoxyribonucleic acid (DNA) molecules using one of several means, such as: closely packed spheres (CPK models) made of plastic, metal wires for 'skeletal models', graphic computations and animations by computers, artistic rendering, and so on, with the aim of simplifying and presenting the essential, physical and chemical, properties of DNA molecular structures either *in vivo* or *in vitro*. Computer molecular models also allow animations and molecular dynamics simulations that are very important for understanding how DNA functions *in vivo*. Thus, an old standing dynamic problem is how DNA "self-replication" takes place in living cells that should involve transient uncoiling of supercoiled DNA fibers. Although DNA consists of relatively rigid, very large elongated biopolymer molecules called "fibers" or chains (that are made of repeating nucleotide units of four basic types, attached to deoxyribose and phosphate groups), its molecular structure *in vivo* undergoes dynamic configuration changes that involve dynamically attached water molecules and ions. Supercoiling, packing with histones in chromosome structures, and other such supramolecular aspects also involve *in vivo* DNA topology which is even more complex than DNA molecular geometry, thus turning molecular modeling of DNA into an especially challenging problem for both molecular biologists and biotechnologists. Like other large molecules and biopolymers, DNA often exists in multiple stable geometries (that is, it exhibits conformational isomerism) and configurational, quantum states which are close to each other in energy on the potential energy surface of the DNA molecule. Such geometries can also be computed, at least in principle, by employing *ab initio* quantum chemistry methods that have high accuracy for small molecules. Such quantum geometries define an important class of *ab initio* molecular models of DNA whose exploration has barely started.

In an interesting twist of roles, the DNA molecule itself was proposed to be utilized for quantum computing. Both DNA nanostructures as well as DNA 'computing' biochips have been built (see biochip image at right).

The more advanced, computer-based molecular models of DNA involve molecular dynamics simulations as well as quantum mechanical computations of vibro-rotations, delocalized molecular orbitals (MOs), electric dipole moments, hydrogen-bonding, and so on.





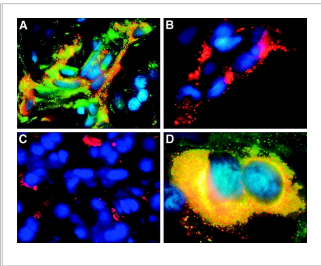
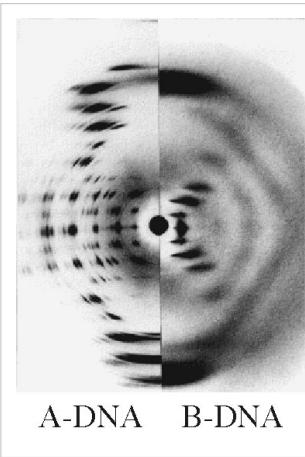
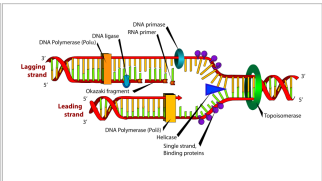
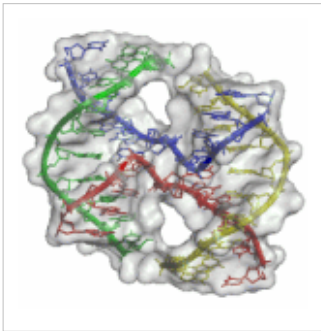
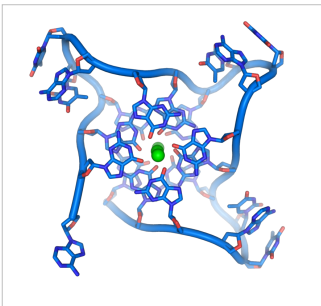
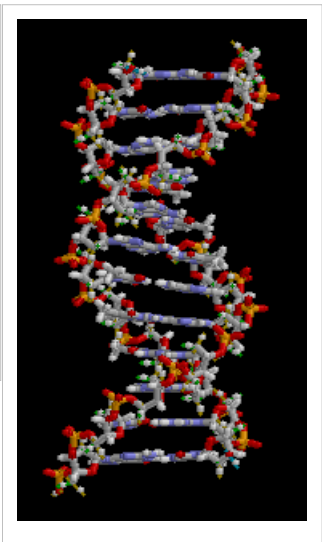
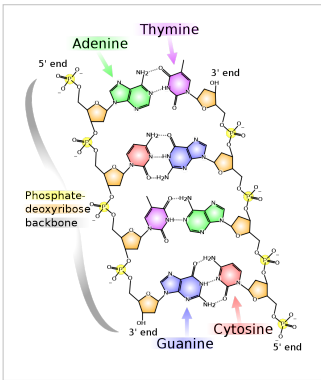
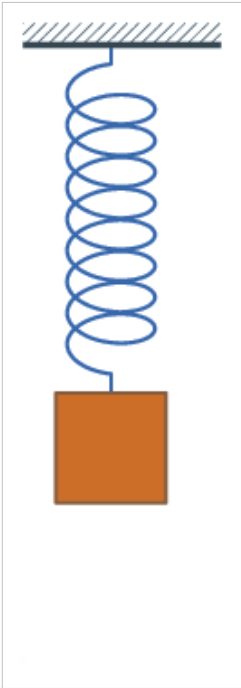
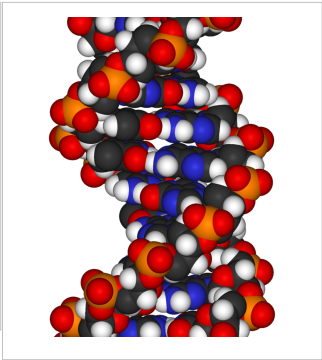
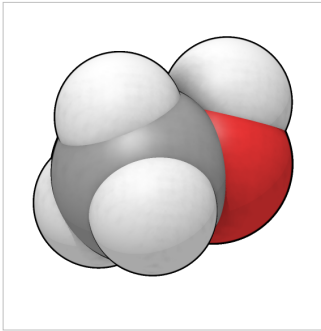
Importance

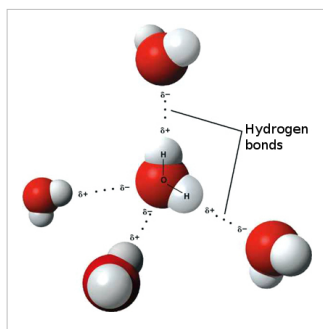
From the very early stages of structural studies of DNA by X-ray diffraction and biochemical means, molecular models such as the Watson-Crick double-helix model were successfully employed to solve the 'puzzle' of DNA structure, and also find how the latter relates to its key functions in living cells. The first high quality X-ray diffraction patterns of A-DNA were reported by Rosalind Franklin and Raymond Gosling in 1953^[1]. The first calculations of the Fourier transform of an atomic helix were reported one year earlier by Cochran, Crick and Vand^[2], and were followed in 1953 by the computation of the Fourier transform of a coiled-coil by Crick^[3]. The first reports of a double-helix molecular model of B-DNA structure were made by Watson and Crick in 1953^[4]^[5]. Last-but-not-least, Maurice F. Wilkins, A. Stokes and H.R. Wilson, reported the first X-ray patterns of *in vivo* B-DNA in partially oriented salmon sperm heads^[6]. The development of the first correct double-helix molecular model of DNA by Crick and Watson may not have

been possible without the biochemical evidence for the nucleotide base-pairing ([A---T]; [C---G]), or Chargaff's rules^[7]^[8]^[9]^[10]^[11]^[12].

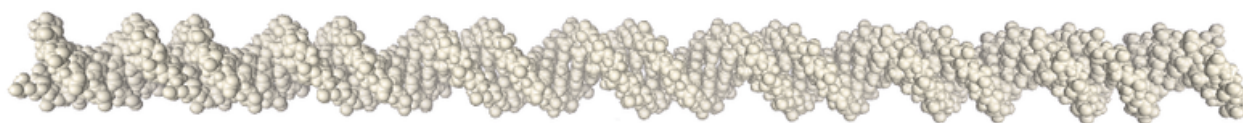
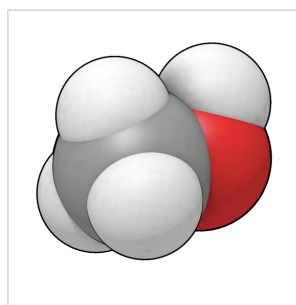
Examples of DNA molecular models

Animated molecular models allow one to visually explore the three-dimensional (3D) structure of DNA. The first DNA model is a space-filling, or CPK, model of the DNA double-helix whereas the third is an animated wire, or skeletal type, molecular model of DNA. The last two DNA molecular models in this series depict quadruplex DNA^[13] that may be involved in certain cancers^[14]^[15]. The last figure on this panel is a molecular model of hydrogen bonds between water molecules in ice that are similar to those found in DNA.





- Spacefilling model or CPK model - a molecule is represented by overlapping spheres representing the atoms.



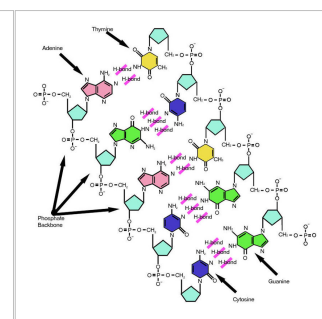
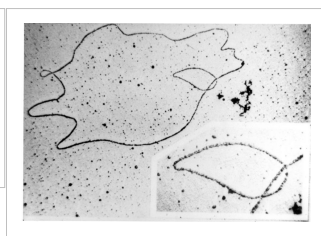
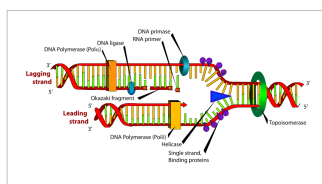
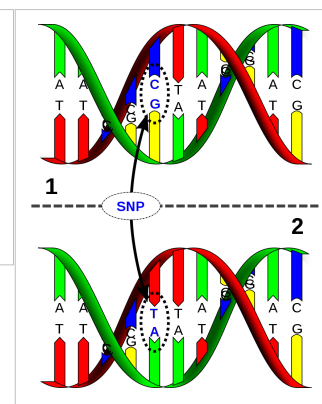
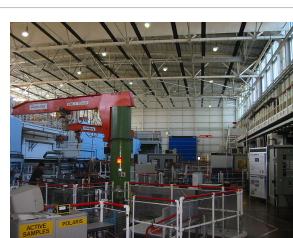
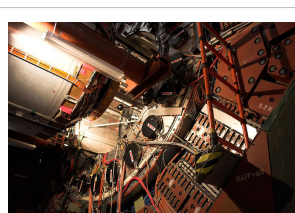
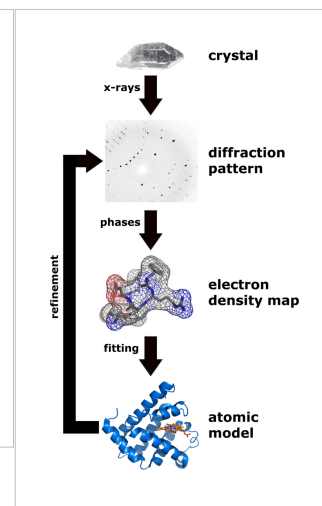
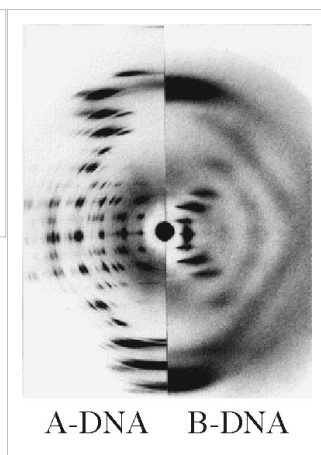
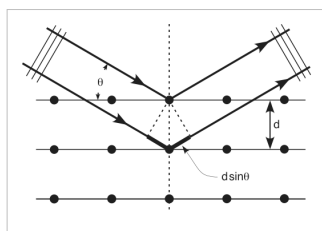
DNA Spacefilling molecular model

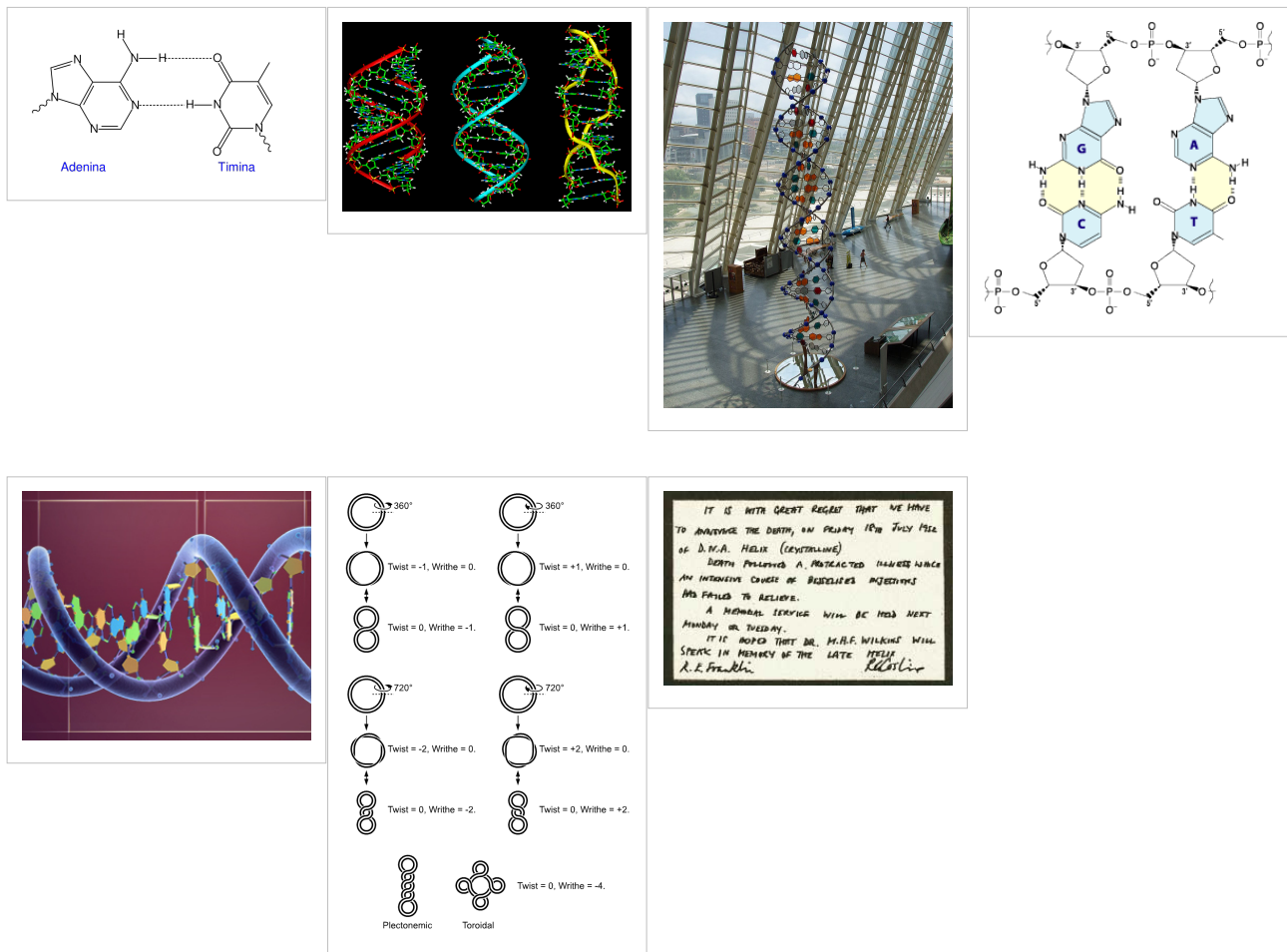
Images for DNA Structure Determination from X-Ray Patterns

The following images illustrate both the principles and the main steps involved in generating structural information from X-ray diffraction studies of oriented DNA fibers with the help of molecular models of DNA that are combined with crystallographic and mathematical analysis of the X-ray patterns. From left to right the gallery of images shows:

- *First row:*
 - 1. Constructive X-ray interference, or diffraction, following Bragg's Law of X-ray "reflection by the crystal planes";
 - 2. A comparison of A-DNA (crystalline) and highly hydrated B-DNA (paracrystalline) X-ray diffraction, and respectively, X-ray scattering patterns (courtesy of Dr. Herbert R. Wilson, FRS- see refs. list);
 - 3. Purified DNA precipitated in a water jug;
 - 4. The major steps involved in DNA structure determination by X-ray crystallography showing the important role played by molecular models of DNA structure in this iterative, structure--determination process;
- *Second row:*

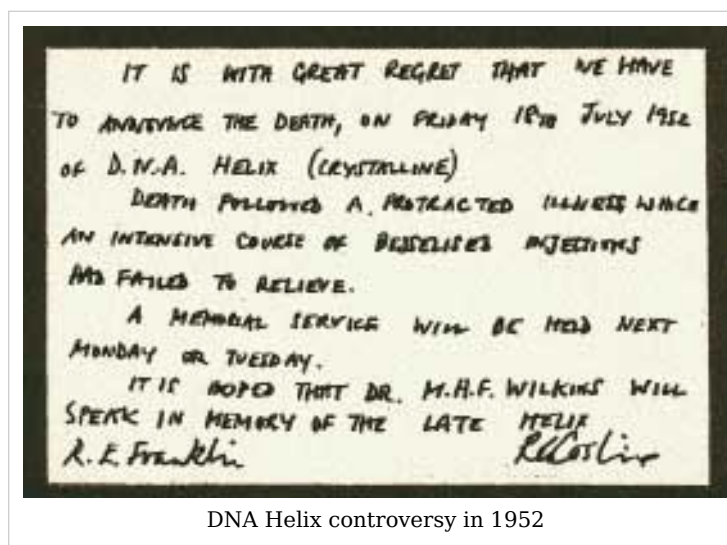
- 5. Photo of a modern X-ray diffractometer employed for recording X-ray patterns of DNA with major components: X-ray source, goniometer, sample holder, X-ray detector and/or plate holder;
- 6. Illustrated animation of an X-ray goniometer;
- 7. X-ray detector at the SLAC synchrotron facility;
- 8. Neutron scattering facility at ISIS in UK;
- *Third and fourth rows: Molecular models of DNA structure at various scales; figure #11 is an actual electron micrograph of a DNA fiber bundle, presumably of a single bacterial chromosome loop.*





Paracrystalline lattice models of B-DNA structures

A paracrystalline lattice, or paracrystal, is a molecular or atomic lattice with significant amounts (e.g., larger than a few percent) of partial disordering of molecular arrangements. Limiting cases of the paracrystal model are nanostructures, such as glasses, liquids, etc., that may possess only local ordering and no global order. Liquid crystals also have paracrystalline rather than crystalline structures.



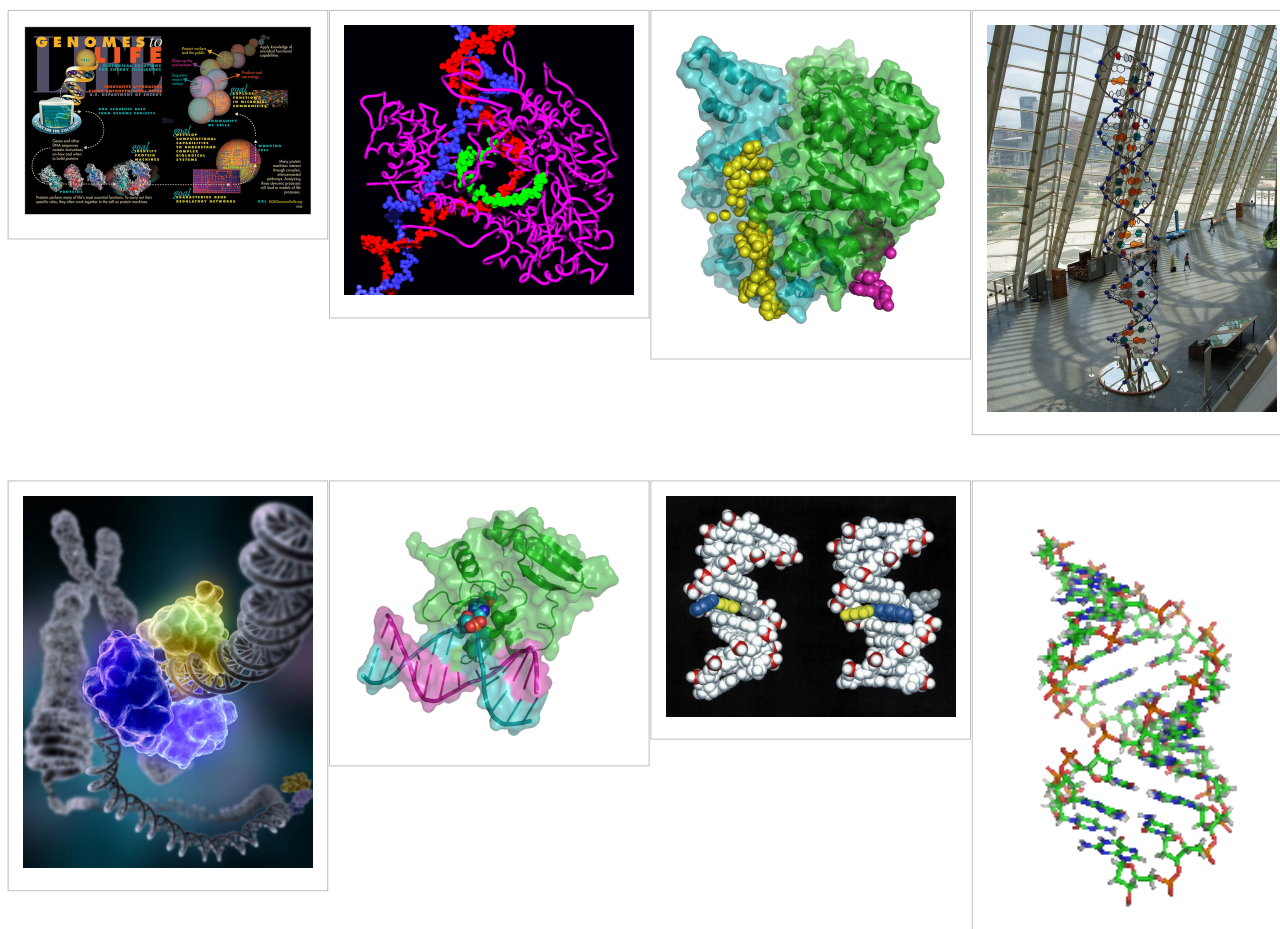
DNA Helix controversy in 1952

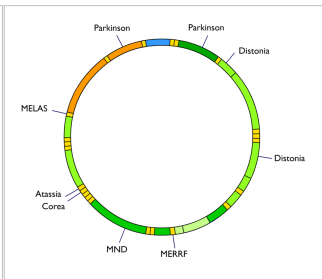
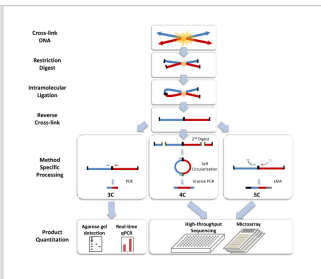
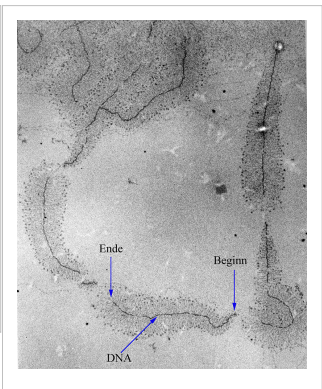
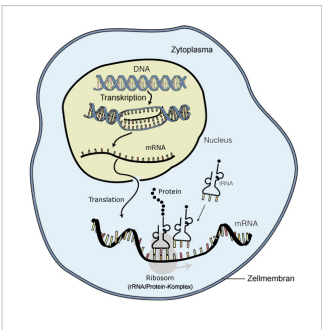
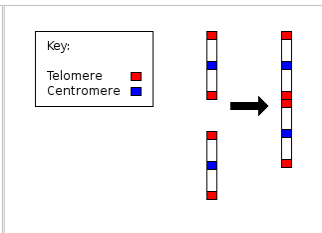
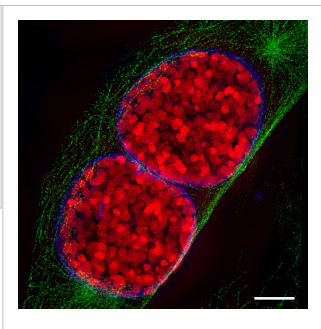
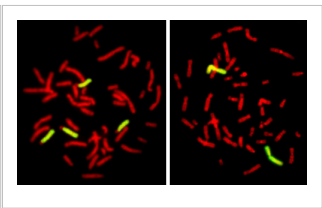
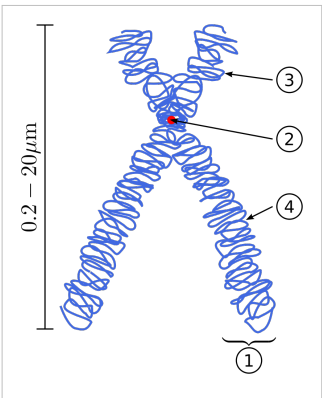
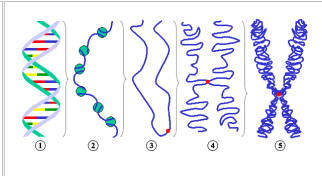
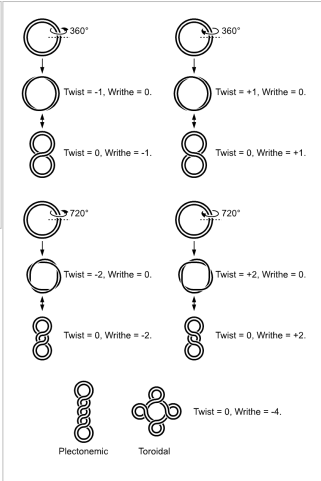
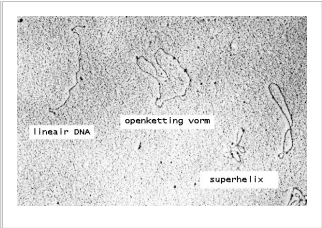
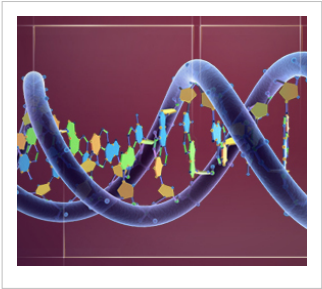
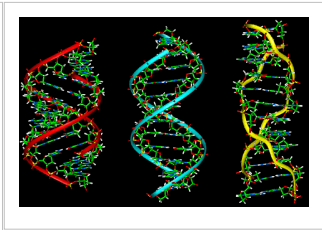
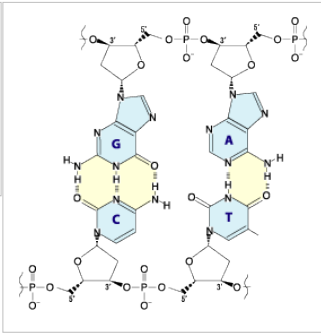
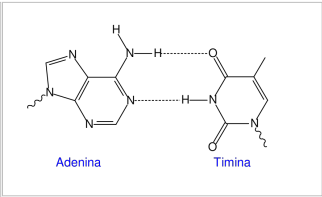
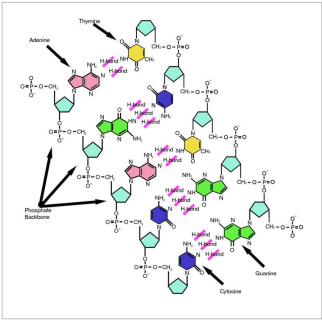
Highly hydrated B-DNA occurs naturally in living cells in such a paracrystalline state, which is a dynamic one in spite of the relatively rigid DNA double-helix stabilized by parallel hydrogen bonds between the nucleotide base-pairs in the two complementary, helical DNA chains (see figures). For simplicity most DNA molecular models omit both water and ions dynamically bound to B-DNA, and are thus less useful for understanding the dynamic behaviors of B-DNA *in vivo*. The physical and mathematical analysis of X-ray^[16] ^[17] and spectroscopic data for paracrystalline B-DNA is therefore much more complicated than that of crystalline, A-DNA X-ray diffraction patterns. The paracrystal model is also important for DNA technological applications such as DNA nanotechnology. Novel techniques that combine X-ray diffraction of DNA with X-ray microscopy in hydrated living cells are now also being developed (see, for example, "Application of X-ray microscopy in the analysis of living hydrated cells" ^[18]).

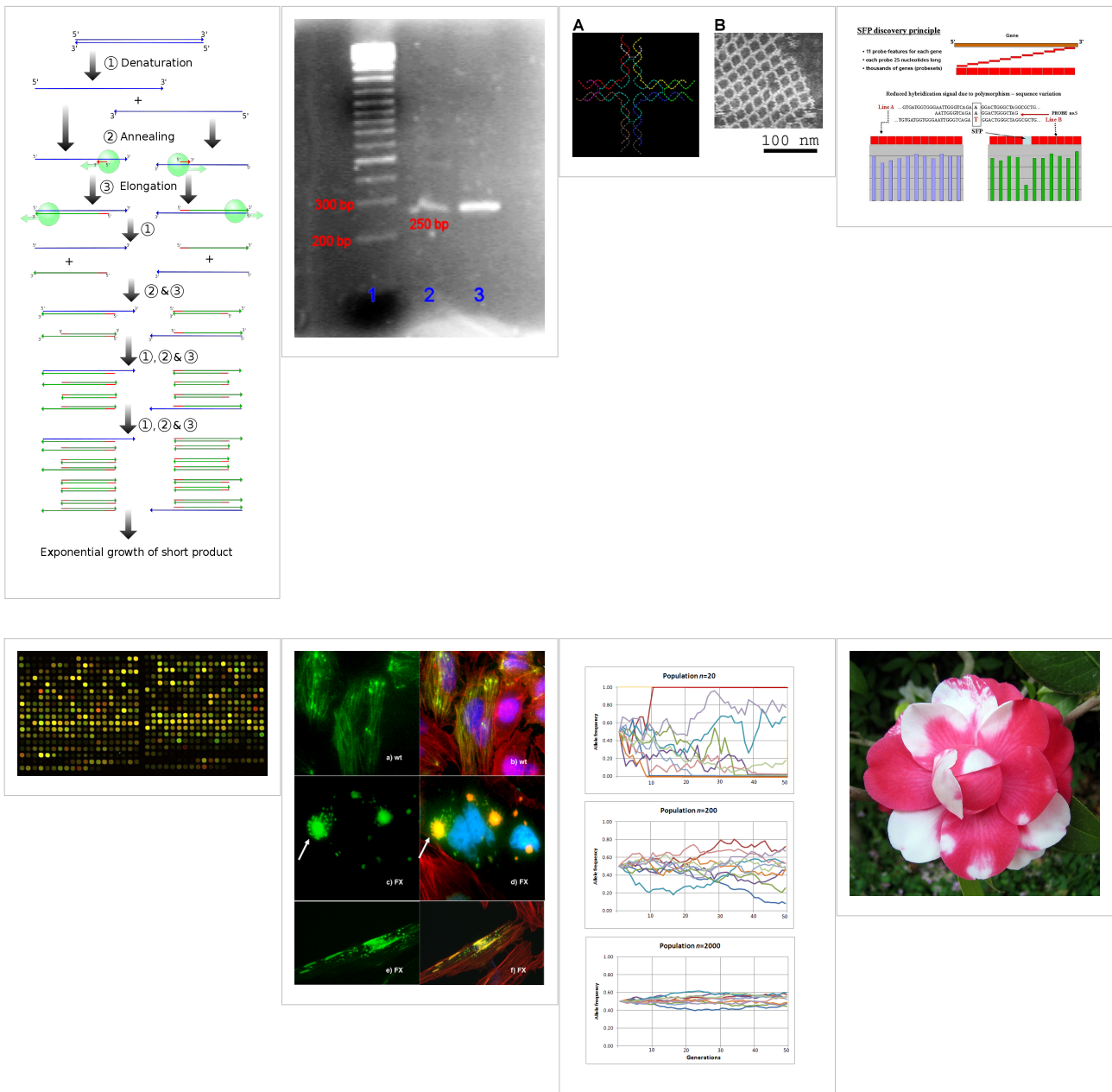
Genomic and Biotechnology Applications of DNA molecular modeling

The following gallery of images illustrates various uses of DNA molecular modeling in Genomics and Biotechnology research applications from DNA repair to PCR and DNA nanostructures; each slide contains its own explanation and/or details. The first slide presents an overview of DNA applications, including DNA molecular models, with emphasis on Genomics and Biotechnology.

Gallery: DNA Molecular modeling applications







Databases for DNA molecular models and sequences

X-ray diffraction

- NDB ID: UD0017 Database ^[13]
- X-ray Atlas -database ^[19]
- PDB files of coordinates for nucleic acid structures from X-ray diffraction by NA (incl. DNA) crystals ^[20]
- Structure factors downloadable files in CIF format ^[21]

Neutron scattering

- ISIS neutron source
- ISIS pulsed neutron source: A world centre for science with neutrons & muons at Harwell, near Oxford, UK. ^[22]

X-ray microscopy

- Application of X-ray microscopy in the analysis of living hydrated cells ^[18]

Electron microscopy

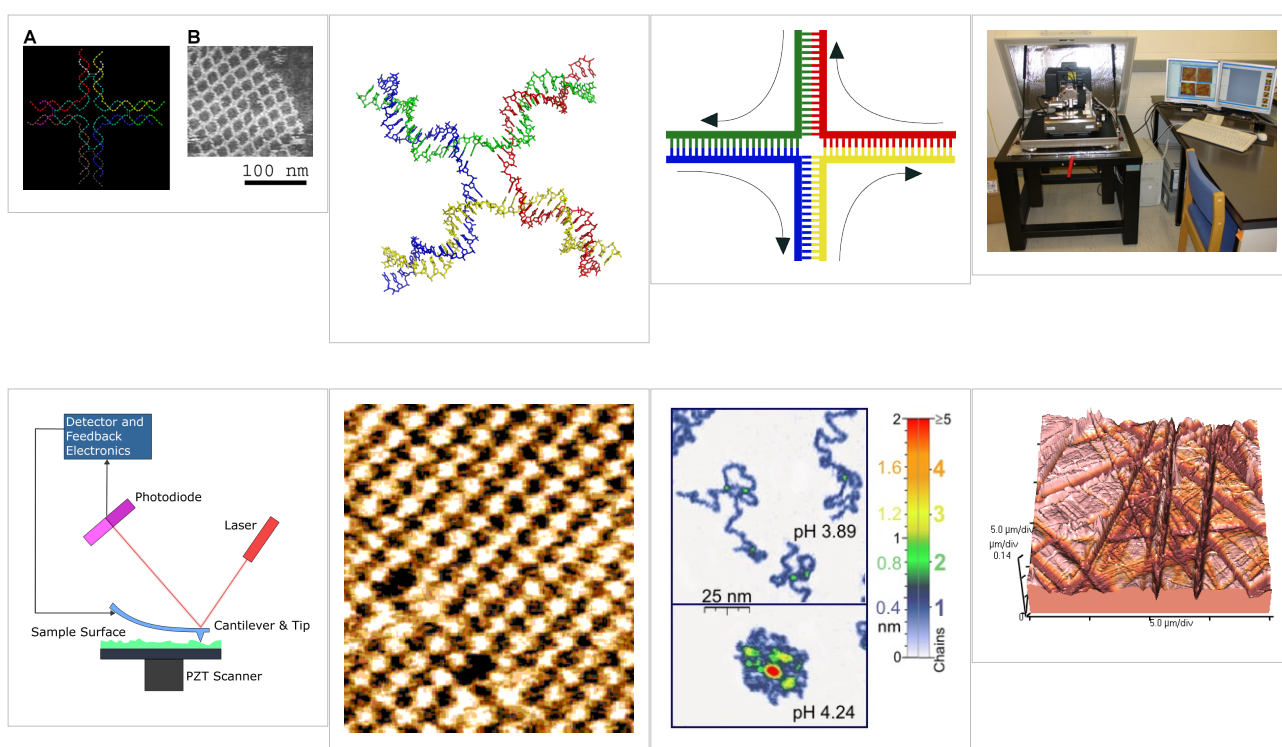
- DNA under electron microscope ^[23]

Atomic Force Microscopy (AFM)

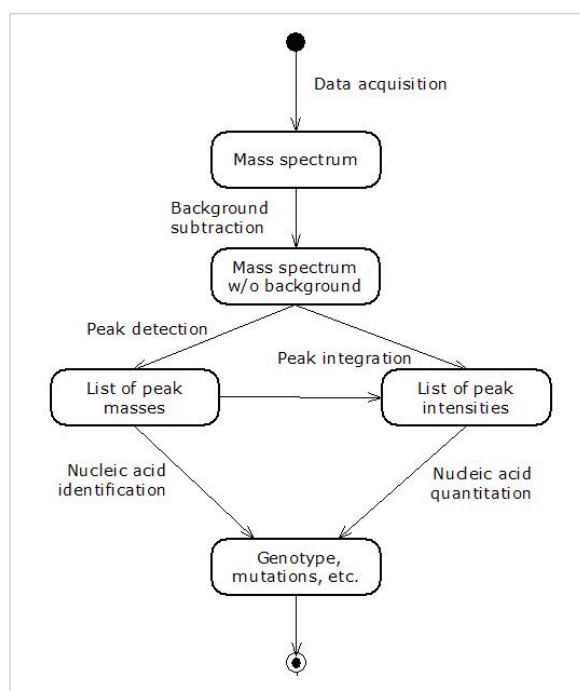
Two-dimensional DNA junction arrays have been visualized by Atomic Force Microscopy (AFM) ^[24]. Other imaging resources for AFM/Scanning probe microscopy (SPM) can be freely accessed at:

- How SPM Works ^[25]
- SPM Image Gallery - AFM STM SEM MFM NSOM and more. ^[26]

Gallery of AFM Images



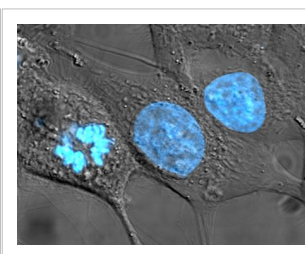
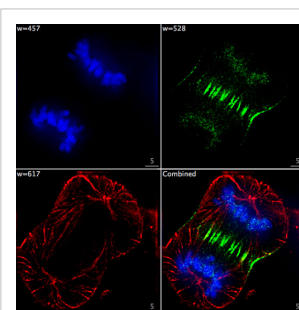
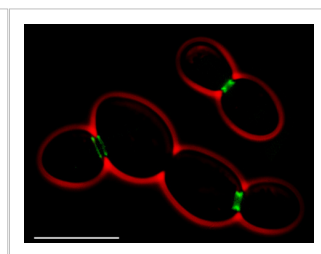
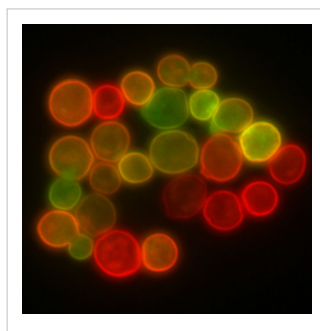
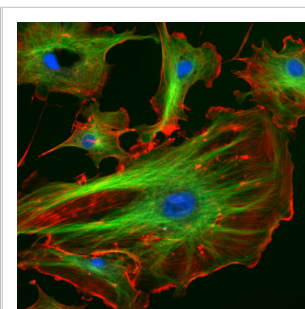
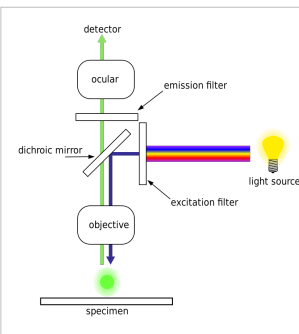
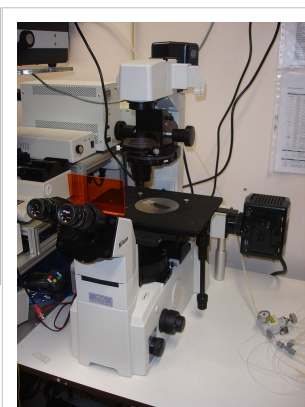
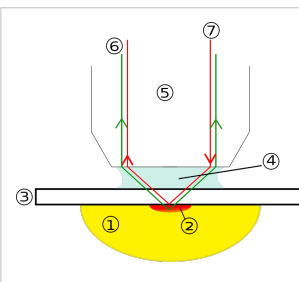
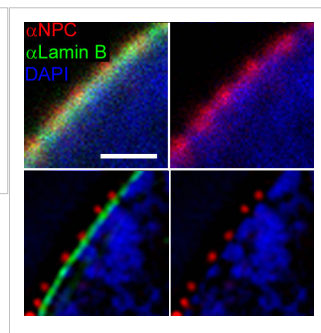
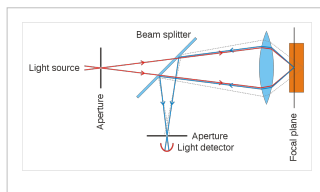
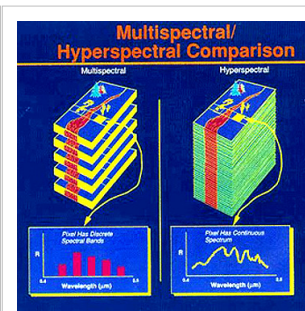
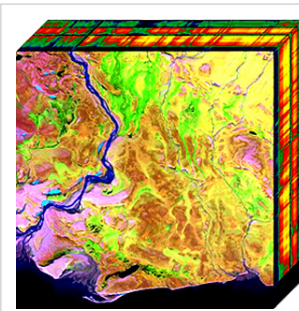
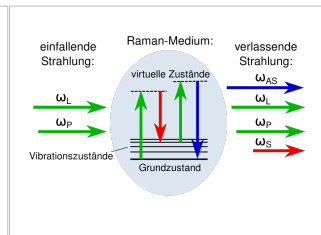
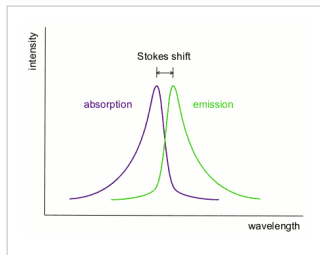
Mass spectrometry--Maldi informatics

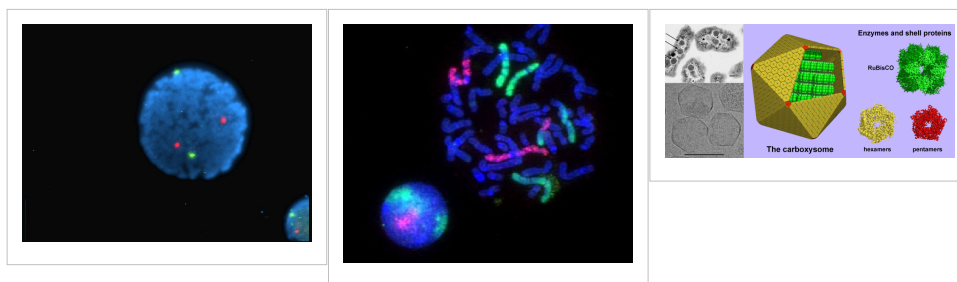


Spectroscopy

- Vibrational circular dichroism (VCD)
- FT-NMR^{[27] [28]}
 - NMR Atlas--database^[29]
 - mmcif downloadable coordinate files of nucleic acids in solution from 2D-FT NMR data^[30]
 - NMR constraints files for NAs in PDB format^[31]
- NMR microscopy^[32]
- Microwave spectroscopy
- FT-IR
- FT-NIR^{[33] [34] [35]}
- Spectral, Hyperspectral, and Chemical imaging^{[36] [37] [38] [39] [40] [41] [42]}
- Raman spectroscopy/microscopy^[43] and CARS^[44]
- Fluorescence correlation spectroscopy^{[45] [46] [47] [48] [49] [50] [51] [52]}, Fluorescence cross-correlation spectroscopy and FRET^{[53] [54] [55]}
- Confocal microscopy^[56]

Gallery: CARS (Raman spectroscopy), Fluorescence confocal microscopy, and Hyperspectral imaging





Genomic and structural databases

- CBS Genome Atlas Database ^[57] — contains examples of base skews. ^[58]
- The Z curve database of genomes — a 3-dimensional visualization and analysis tool of genomes ^{[59][60]}.
- DNA and other nucleic acids' molecular models: Coordinate files of nucleic acids molecular structure models in PDB and CIF formats ^[61]

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See also

- DNA
 - Molecular graphics
 - DNA structure
 - DNA Dynamics
 - X-ray scattering
 - Neutron scattering
 - Crystallography
 - Crystal lattices
 - Paracrystalline lattices/Paracrystals
 - 2D-FT NMRI and Spectroscopy
 - NMR Spectroscopy
 - Microwave spectroscopy
 - Two-dimensional IR spectroscopy
 - Spectral imaging
 - Hyperspectral imaging
 - Chemical imaging
 - NMR microscopy
 - VCD or Vibrational circular dichroism
 - FRET and FCS- Fluorescence correlation spectroscopy
 - Fluorescence cross-correlation spectroscopy (FCCS)
 - Molecular structure
 - Molecular geometry
 - Molecular topology
 - DNA topology
 - Sirius visualization software
 - Nanostructure
 - DNA nanotechnology
 - Imaging
 - Atomic force microscopy
 - X-ray microscopy
 - Liquid crystal
 - Glasses
 - QMC@Home
 - Sir Lawrence Bragg, FRS
 - Sir John Randall
 - James Watson
 - Francis Crick
 - Maurice Wilkins
 - Herbert Wilson, FRS
 - Alex Stokes
-

External links

- DNA the Double Helix Game (http://nobelprize.org/educational_games/medicine/dna_double_helix/) From the official Nobel Prize web site
- MDDNA: Structural Bioinformatics of DNA (<http://humphry.chem.wesleyan.edu:8080/MDDNA/>)
- Double Helix 1953–2003 (<http://www.ncbe.reading.ac.uk/DNA50/>) National Centre for Biotechnology Education
- DNA under electron microscope (http://www.fidelitysystems.com/Unlinked_DNA.html)
- Ascalaph DNA (http://www.agilemolecule.com/Ascalaph/Ascalaph_DNA.html) — Commercial software for DNA modeling
- DNALive: a web interface to compute DNA physical properties (<http://mmb.pcb.ub.es/DNALive>). Also allows cross-linking of the results with the UCSC Genome browser and DNA dynamics.
- DiProDB: Dinucleotide Property Database (<http://diprodb.fli-leibniz.de>). The database is designed to collect and analyse thermodynamic, structural and other dinucleotide properties.
- Further details of mathematical and molecular analysis of DNA structure based on X-ray data (<http://planetphysics.org/encyclopedia/BesselFunctionsApplicationsToDiffractionByHelicalStructures.html>)
- Bessel functions corresponding to Fourier transforms of atomic or molecular helices. (<http://planetphysics.org/?op=getobj&from=objects&name=BesselFunctionsAndTheirApplicationsToDiffractionByHelicalStructures>)
- Application of X-ray microscopy in analysis of living hydrated cells (http://www.ncbi.nlm.nih.gov/entrez/query.fcgi?cmd=Retrieve&db=pubmed&dopt=Abstract&list_uids=12379938)
- Characterization in nanotechnology some pdfs (<http://nanocharacterization.sitesled.com/>)
- overview of STM/AFM/SNOM principles with educative videos (<http://www.ntmdt.ru/SPM-Techniques/Principles/>)
- SPM Image Gallery - AFM STM SEM MFM NSOM and More (<http://www.rhk-tech.com/results/showcase.php>)
- How SPM Works (http://www.parkafm.com/New_html/resources/01general.php)
- U.S. National DNA Day (<http://www.genome.gov/10506367>) — watch videos and participate in real-time discussions with scientists.
- The Secret Life of DNA - DNA Music compositions (<http://www.tjmitchell.com/stuart/dna.html>)

DNA microarray

For terminology, see glossary below.

A **DNA microarray** is a multiplex technology used in molecular biology and in medicine. It consists of an arrayed series of thousands of microscopic spots of DNA oligonucleotides, called features, each containing picomoles of a specific DNA sequence. This can be a short section of a gene or other DNA element that are used as probes to hybridize a cDNA or

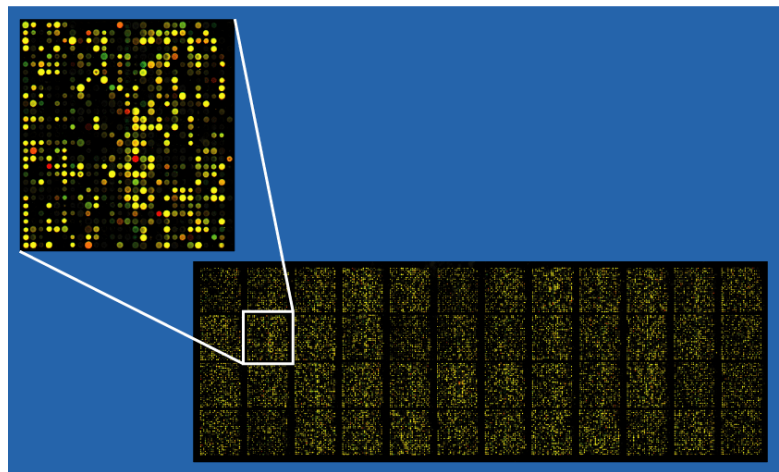
cRNA sample (called target) under high-stringency conditions. Probe-target hybridization is usually detected and quantified by detection of fluorophore-, silver-, or chemiluminescence-labeled targets to determine relative abundance of nucleic acid sequences in the target.

In standard microarrays, the probes are attached to a solid surface by a covalent bond to a chemical matrix (via epoxy-silane, amino-silane, lysine, polyacrylamide or others). The solid surface can be glass or a silicon chip, in which case they are commonly known as *gene chip* or colloquially *Affy chip* when an Affymetrix chip is used. Other microarray platforms, such as Illumina, use microscopic beads, instead of the large solid support. DNA arrays are different from other types of microarray only in that they either measure DNA or use DNA as part of its detection system.

DNA microarrays can be used to measure changes in expression levels, to detect single nucleotide polymorphisms (SNPs) (*see uses and types section*), in genotyping or in resequencing mutant genomes. Microarrays also differ in fabrication, workings, accuracy, efficiency, and cost (*see fabrication section*). Additional factors for microarray experiments are the experimental design and the methods of analyzing the data (*see Bioinformatics section*).

History

Microarray technology evolved from Southern blotting, where fragmented DNA is attached to a substrate and then probed with a known gene or fragment. The use of a collection of distinct DNAs in arrays for expression profiling was first described in 1987, and the arrayed DNAs were used to identify genes whose expression is modulated by interferon.^[1] These early gene arrays were made by spotting cDNAs onto filter paper with a pin-spotting device. The use of miniaturized microarrays for gene expression profiling was first reported in 1995,^[2] and a complete eukaryotic genome (*Saccharomyces cerevisiae*) on a microarray was published in 1997.^[3]



Example of an approximately 40,000 probe spotted oligo microarray with enlarged inset to show detail.

Uses and types

Arrays of DNA can be spatially arranged, as in the commonly known *gene chip* (also called *genome chip*, *DNA chip* or *gene array*), or can be specific DNA sequences labelled such that they can be independently identified in solution. The traditional solid-phase array is a collection of microscopic DNA spots attached to a solid surface, such as glass, plastic or silicon biochip. The affixed DNA segments are known as *probes* (although some sources use different terms such as *reporters*). Thousands of them can be placed in known locations on a single DNA microarray.



DNA microarrays can be used to detect DNA (as in comparative genomic hybridization), or detect RNA (most commonly as cDNA after reverse transcription) that may or may not be translated into proteins. The process of measuring gene expression via cDNA is called expression analysis or expression profiling.

Since an array can contain tens of thousands of probes, a microarray experiment can accomplish that many genetic tests in parallel. Therefore arrays have dramatically accelerated many types of investigation.

Applications include:

Technology or Application	Synopsis
Gene expression profiling	In an mRNA or gene expression profiling experiment the expression levels of thousands of genes are simultaneously monitored to study the effects of certain treatments, diseases, and developmental stages on gene expression. For example, microarray-based gene expression profiling can be used to identify genes whose expression is changed in response to pathogens or other organisms by comparing gene expression in infected to that in uninfected cells or tissues. [4]
Comparative genomic hybridization	Assessing genome content in different cells or closely related organisms. [5] [6]
GeneID	Small microarrays to check IDs of organisms in food and feed (like GMO [7]), mycoplasmas in cell culture, or pathogens for disease detection, mostly combining PCR and microarray technology.
Chromatin immunoprecipitation on Chip	DNA sequences bound to a particular protein can be isolated by immunoprecipitating that protein (ChIP), these fragments can be then hybridized to a microarray (such as a tiling array) allowing the determination of protein binding site occupancy throughout the genome. Example protein to immunoprecipitate are histone modifications (H3K27me3, H3K4me2, H3K9me3, etc), Polycomb-group protein (PRC2:Suz12, PRC1:YY1) and trithorax-group protein (Ash1) to study the epigenetic landscape or RNA Polymerase II to study the transcription landscape.
SNP detection	Identifying single nucleotide polymorphism among alleles within or between populations. [8] Several applications of microarrays make use of SNP detection, including Genotyping, forensic analysis, measuring predisposition to disease, identifying drug-candidates, evaluating germline mutations in individuals or somatic mutations in cancers, assessing loss of heterozygosity, or genetic linkage analysis.

Alternative splicing detection	An ' <i>exon junction array</i> design uses probes specific to the expected or potential splice sites of predicted exons for a gene. It is of intermediate density, or coverage, to a typical gene expression array (with 1-3 probes per gene) and a genomic tiling array (with hundreds or thousands of probes per gene). It is used to assay the expression of alternative splice forms of a gene. Exon arrays have a different design, employing probes designed to detect each individual exon for known or predicted genes, and can be used for detecting different splicing isoforms.
Fusion genes microarray	A Fusion gene microarray can detect fusion transcripts, <i>e.g.</i> from cancer specimens. The principle behind this is building on the alternative splicing microarrays. The oligo design strategy enables combined measurements of chimeric transcript junctions with exon-wise measurements of individual fusion partners.
Tiling array	Genome tiling arrays consist of overlapping probes designed to densely represent a genomic region of interest, sometimes as large as an entire human chromosome. The purpose is to empirically detect expression of transcripts or alternatively splice forms which may not have been previously known or predicted.

Fabrication

Microarrays can be manufactured in different ways, depending on the number of probes under examination, costs, customization requirements, and the type of scientific question being asked. Arrays may have as few as 10 probes or up to 2.1 million (NimbleGen, Roche) micrometre-scale probes from commercial vendors.

Surface engineering

The first step of DNA microarray fabrication involves surface engineering of a substrate in order to obtain desirable surface properties for the application of interest. Optimal surface properties are those which produce high signal to noise ratios for the DNA targets of interest. Generally, this involves maximizing the probe surface density and activity while minimizing the non-specific binding of the targets of interest. Methods of surface engineering vary depending on the platform material, design, and application.

Spotted vs. oligonucleotide arrays

Microarrays can be fabricated using a variety of technologies, including printing with fine-pointed pins onto glass slides, photolithography using pre-made masks, photolithography using dynamic micromirror devices, ink-jet printing,^[9] or electrochemistry on microelectrode arrays.

In *spotted microarrays*, the probes are oligonucleotides, cDNA or small fragments of PCR products that correspond to mRNAs. The probes are synthesized prior to deposition on the array surface and are then "spotted" onto glass. A common approach utilizes an array of fine pins or needles controlled by a robotic arm that is dipped into wells containing DNA probes and then depositing each probe at designated locations on the array surface. The resulting "grid" of probes represents the nucleic acid profiles of the prepared probes and is ready to receive complementary cDNA or cRNA "targets" derived from experimental or clinical samples. This technique is used by research scientists around the world to produce "in-house" printed microarrays from their own labs. These arrays may be easily customized for each experiment, because researchers can choose the probes and printing locations on

the arrays, synthesize the probes in their own lab (or collaborating facility), and spot the arrays. They can then generate their own labeled samples for hybridization, hybridize the samples to the array, and finally scan the arrays with their own equipment. This provides a relatively low-cost microarray that may be customized for each study, and avoids the costs of purchasing often more expensive commercial arrays that may represent vast numbers of genes that are not of interest to the investigator. Publications exist which indicate in-house spotted microarrays may not provide the same level of sensitivity compared to commercial oligonucleotide arrays,^[10] possibly owing to the small batch sizes and reduced printing efficiencies when compared to industrial manufactures of oligo arrays.

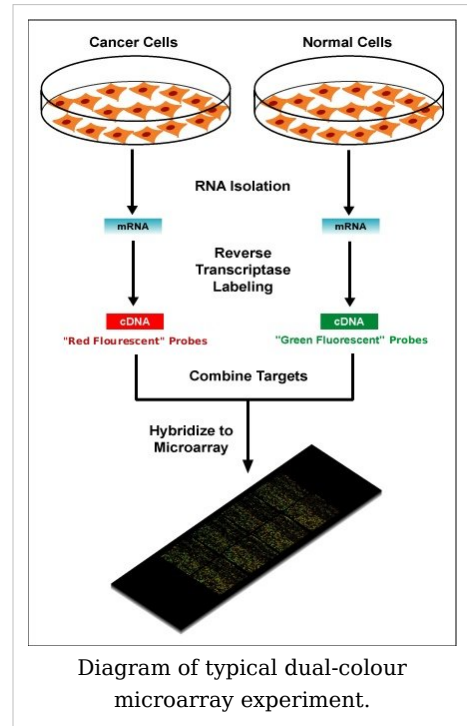
In *oligonucleotide microarrays*, the probes are short sequences designed to match parts of the sequence of known or predicted open reading frames. Although oligonucleotide probes are often used in "spotted" microarrays, the term "oligonucleotide array" most often refers to a specific technique of manufacturing. Oligonucleotide arrays are produced by printing short oligonucleotide sequences designed to represent a single gene or family of gene splice-variants by synthesizing this sequence directly onto the array surface instead of depositing intact sequences. Sequences may be longer (60-mer probes such as the Agilent design) or shorter (25-mer probes produced by Affymetrix) depending on the desired purpose; longer probes are more specific to individual target genes, shorter probes may be spotted in higher density across the array and are cheaper to manufacture. One technique used to produce oligonucleotide arrays include photolithographic synthesis (Agilent and Affymetrix) on a silica substrate where light and light-sensitive masking agents are used to "build" a sequence one nucleotide at a time across the entire array.^[11] Each applicable probe is selectively "unmasked" prior to bathing the array in a solution of a single nucleotide, then a masking reaction takes place and the next set of probes are unmasked in preparation for a different nucleotide exposure. After many repetitions, the sequences of every probe become fully constructed. More recently, Maskless Array Synthesis from NimbleGen Systems has combined flexibility with large numbers of probes.^[12]

Two-channel vs. one-channel detection

Two-color microarrays or *two-channel microarrays* are typically hybridized with cDNA prepared from two samples to be compared (e.g. diseased tissue versus healthy tissue) and that are labeled with two different fluorophores.^[13] Fluorescent dyes commonly used for cDNA labelling include Cy3, which has a fluorescence emission wavelength of 570 nm (corresponding to the green part of the light spectrum), and Cy5 with a fluorescence emission wavelength of 670 nm (corresponding to the red part of the light spectrum). The two Cy-labelled cDNA samples are mixed and hybridized to a single microarray that is then scanned in a microarray scanner to visualize fluorescence of the two fluorophores after excitation with a laser beam of a defined wavelength. Relative intensities of each fluorophore may then be used in ratio-based analysis to identify up-regulated and down-regulated genes.^[14]

Oligonucleotide microarrays often contain control probes designed to hybridize with RNA spike-ins. The degree of hybridization between the spike-ins and the control probes is used to normalize the hybridization measurements for the target probes. Although absolute levels of gene expression may be determined in the two-color array, the relative differences in expression among different spots within a sample and between samples is the preferred method of data analysis for the two-color system. Examples of providers for such microarrays includes Agilent with their Dual-Mode platform, Eppendorf with their DualChip platform for colorimetric Silverquant labeling, and TeleChem International with Arrayit.

In *single-channel microarrays* or *one-color microarrays*, the arrays are designed to give estimations of the absolute levels of gene expression. Therefore the comparison of two conditions requires two separate single-dye hybridizations. As only a single dye is used, the data collected represent absolute values of gene expression. These may be compared to other genes within a sample or to reference "normalizing" probes used to calibrate data across the entire array and across multiple arrays. Three popular single-channel systems are the Affymetrix "Gene Chip", the Applied Microarrays "CodeLink" arrays, and the Eppendorf "DualChip & Silverquant". One strength of the single-dye system lies in the fact that an aberrant sample cannot affect the raw data derived from other samples, because each array chip is exposed to only one sample (as opposed to a two-color system in which a single low-quality sample may drastically impinge on overall data precision even if the other sample was of high quality). Another benefit is that data are more easily compared to arrays from different experiments; the absolute values of gene expression may be compared between studies conducted months or years apart. A drawback to the one-color system is that, when compared to the two-color system, twice as many microarrays are needed to compare samples within an experiment.



Microarrays and bioinformatics

The advent of inexpensive microarray experiments created several specific bioinformatics challenges:

- the multiple levels of replication in experimental design (Experimental design)
- the number of platforms and independent groups and data format (Standardization)
- the treatment of the data (Statistical analysis)
- accuracy and precision (Relation between probe and gene)
- the sheer volume of data and the ability to share it (Data warehousing)

Experimental design

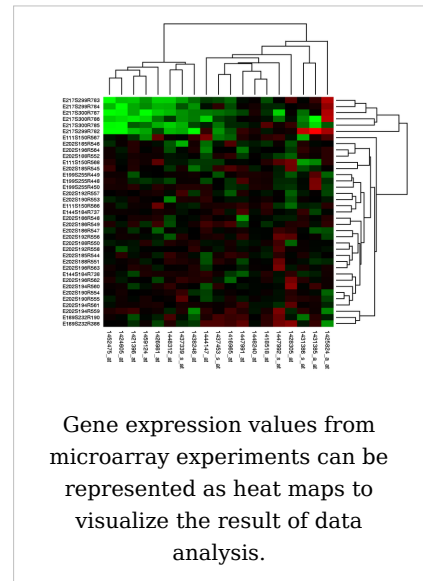
Due to the biological complexity of gene expression, the considerations of experimental design that are discussed in the expression profiling article are of critical importance if statistically and biologically valid conclusions are to be drawn from the data.

There are three main elements to consider when designing a microarray experiment. First, replication of the biological samples is essential for drawing conclusions from the experiment. Second, technical replicates (two RNA samples obtained from each experimental unit) help to ensure precision and allow for testing differences within treatment groups. The technical replicates may be two independent RNA extractions or two aliquots of the same extraction. Third, spots of each cDNA clone or oligonucleotide are present as replicates (at least duplicates) on the microarray slide, to provide a measure of technical precision in each hybridization. It is critical that information about the sample preparation and handling is discussed, in order to help identify the independent units in the experiment and to avoid inflated estimates of statistical significance.^[15]

Standardization

Microarray data is difficult to exchange due to the lack of standardization in platform fabrication, assay protocols, and analysis methods. This presents an interoperability problem in bioinformatics. Various grass-roots open-source projects are trying to ease the exchange and analysis of data produced with non-proprietary chips:

- For example, the "Minimum Information About a Microarray Experiment" (MIAME) checklist helps define the level of detail that should exist and is being adopted by many journals as a requirement for the submission of papers incorporating microarray results. But MIAME does not describe the format for the information, so while many formats can support the MIAME requirements, as of 2007 no format permits verification of complete semantic compliance.
- The "MicroArray Quality Control (MAQC) Project" is being conducted by the US Food and Drug Administration (FDA) to develop standards and quality control metrics which will eventually allow the use of MicroArray data in drug discovery, clinical practice and regulatory decision-making.^[16]



- The MGED Society has developed standards for the representation of gene expression experiment results and relevant annotations.

Statistical analysis

The analysis of DNA microarrays poses a large number of statistical problems, including the normalization of the data. There are several normalization methods in the published literature some of which are platform specific; as in many other cases where authorities disagree, a sound conservative approach is to directly compare different normalization methods to determine the effects of these different methods on the results obtained. This can be done, for example, by investigating the performance of various methods on data from "spike-in" experiments.

Also, experimenters must account for multiple comparisons: even if the statistical P-value assigned to a gene indicates that it is extremely unlikely that differential expression of this gene was due to random rather than treatment effects, the very high number of genes on an array makes it likely that differential expression of some genes represent false positives or false negatives. Statistical methods tailored to microarray analyses have recently become available that assess statistical power based on the variation present in the data and the number of experimental replicates, and can help minimize type I and type II errors in the analyses.^[17]

A basic difference between microarray data analysis and much traditional biomedical research is the dimensionality of the data. A large clinical study might collect 100 data items per patient for thousands of patients. A medium-size microarray study will obtain many thousands of numbers per sample for perhaps a hundred samples. Many analysis techniques treat each sample as a single point in a space with thousands of dimensions, then attempt by various techniques to reduce the dimensionality of the data to something humans can visualize.^[18] An example for such a method is the Local Pooled Error (LPE) test, which pools standard deviations of genes with similar expression levels and thereby overcomes the problem of low replicate numbers.^[19]

Relation between probe and gene

The relation between a probe and the mRNA that it is expected to detect is problematic. On the one hand, some mRNAs may cross-hybridize probes in the array that are supposed to detect another mRNA. On the other hand, probes that are designed to detect the mRNA of a particular gene may be relying on genomic EST information that is incorrectly associated with that gene.

Data warehousing

Microarray data was found to be more useful when compared to other similar datasets. The sheer volume (in bytes), specialized formats (such as MIAME), and curation efforts associated with the datasets require specialized databases to store the data.

See also

- Systems biology
- Microfluidics or lab-on-chip
- Cyanine dyes, such as Cy3 and Cy5, are commonly used fluorophores with microarrays
- Serial analysis of gene expression
- Significance analysis of microarrays
- Full Genome Sequencing

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Glossary

- An **Array** or **slide** is a collection of *features* spatially arranged in a two dimensional grid, arranged in columns and rows.
- **Block** or **subarray**: a group of spots, typically made in one print round; several subarrays/blocks form an array.
- **Case/control**: an experimental design paradigm especially suited to the two-colour array system, in which a condition chosen as control (such as healthy tissue or state) is compared to an altered condition (such as a diseased tissue or state).
- **Channel**: the fluorescence output recorded in the scanner for an individual fluorophore and can even be ultraviolet.
- **Dye flip** or **Dye swap** or **Fluor reversal**: reciprocal labelling of DNA targets with the two dyes to account for dye bias in experiments.
- **Scanner**: an instrument used to detect and quantify the intensity of fluorescence of spots on a microarray slide, by selectively exciting fluorophores with a laser and measuring the fluorescence with a filter (optics) photomultiplier system.
- **Spot** or **feature**: a small area on an array slide that contains picomoles of specific DNA samples.
- For other relevant terms see:

Glossary of gene expression terms

Protocol (natural sciences)

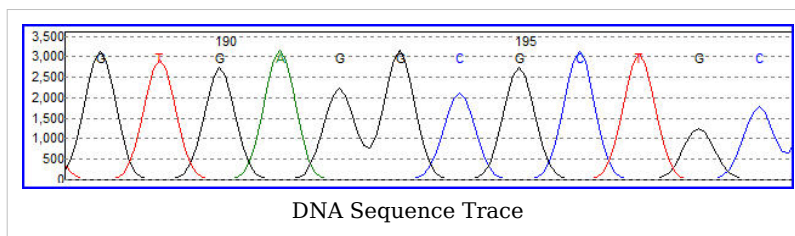
External links

- Many important links can be found at the Open Directory Project
 - Gene Expression (http://www.dmoz.org/Science/Biology/Biochemistry_and_Molecular_Biology/Gene_Expression/) at the Open Directory Project
 - Micro Scale Products and Services for Biochemistry and Molecular Biology (http://www.dmoz.org/Science/Biology/Biochemistry_and_Molecular_Biology/Products_and_Services/Micro_Scale/) at the Open Directory Project
 - Products and Services for Gene Expression (http://www.dmoz.org/Science/Biology/Biochemistry_and_Molecular_Biology/Gene_Expression/Products_and_Services/) at the Open Directory Project
 - PLoS Biology Primer: Microarray Analysis (<http://biology.plosjournals.org/perlserv/?request=get-document&doi=10.1371/journal.pbio.0000015>)
 - Rundown of microarray technology (<http://www.genome.gov/page.cfm?pageID=10000533>)
 - ArrayMining.net (<http://www.arraymining.net>) - a free web-server for online microarray analysis
 - CLASSIFI (<http://pathcuric1.swmed.edu/pathdb/classifi.html>) - Gene Ontology-based gene cluster classification resource
 - Microarray - How does it work? (http://www.unsolvedmysteries.oregonstate.edu/microarray_07)
 - Microarray data processing using Self-Organizing Maps tutorial: Part 1 (<http://blog.peltarion.com/2007/04/10/the-self-organized-gene-part-1>) Part 2 (<http://blog.peltarion.com/2007/06/13/the-self-organized-gene-part-2>)
-

DNA sequencing

The term **DNA sequencing** refers to methods for determining the order of the nucleotide bases, adenine, guanine, cytosine, and thymine, in a molecule of DNA. The first DNA sequences were obtained by academic researchers, using laborious methods based on 2-dimensional chromatography in the early 1970s. Following the development of dye-based sequencing methods with automated analysis, DNA sequencing has become easier and orders of magnitude faster. Knowledge of DNA sequences of genes and other parts of the genome of organisms has become indispensable for basic research studying biological processes, as well as in applied fields such as diagnostic or forensic research. The advent of DNA sequencing has significantly accelerated biological research and discovery. The rapid speed of sequencing attained with modern DNA sequencing technology has been instrumental in the sequencing of the human genome, in the Human Genome Project. Related projects, often by scientific collaboration across continents, have generated the complete DNA sequences of many animal, plant, and microbial genomes.

RNA sequencing, which is technically easier to perform than DNA sequencing, was one of the earliest forms of nucleotide sequencing. The major landmark of RNA sequencing is the sequence of



the first complete gene and the complete genome of Bacteriophage MS2, identified and published by Walter Fiers and his coworkers at the University of Ghent (Ghent, Belgium), between 1972^[1] and 1976.^[2]

Prior to the development of rapid DNA sequencing methods in the early 1970s by Frederick Sanger at the University of Cambridge, in England and Walter Gilbert and Allan Maxam at Harvard,^[3] ^[4] a number of laborious methods were used. For instance, in 1973, Gilbert and Maxam reported the sequence of 24 basepairs using a method known as wandering-spot analysis.^[5]

The chain-termination method developed by Sanger and coworkers in 1975 soon became the method of choice, owing to its relative ease and reliability.^[6] ^[7]

Maxam-Gilbert sequencing

In 1976-1977, Allan Maxam and Walter Gilbert developed a DNA sequencing method based on chemical modification of DNA and subsequent cleavage at specific bases.^[3] Although Maxam and Gilbert published their chemical sequencing method two years after the ground-breaking paper of Sanger and Coulson on plus-minus sequencing,^[6] ^[8] Maxam-Gilbert sequencing rapidly became more popular, since purified DNA could be used directly, while the initial Sanger method required that each read start be cloned for production of single-stranded DNA. However, with the improvement of the chain-termination method (see below), Maxam-Gilbert sequencing has fallen out of favour due to its technical complexity prohibiting its use in standard molecular biology kits, extensive use of hazardous chemicals, and difficulties with scale-up.

The method requires radioactive labelling at one end and purification of the DNA fragment to be sequenced. Chemical treatment generates breaks at a small proportion of one or two of the four nucleotide bases in each of four reactions (G, A+G, C, C+T). Thus a series of labelled fragments is generated, from the radiolabelled end to the first 'cut' site in each molecule. The fragments in the four reactions are arranged side by side in gel electrophoresis for size separation. To visualize the fragments, the gel is exposed to X-ray film for autoradiography, yielding a series of dark bands each corresponding to a radiolabelled DNA fragment, from which the sequence may be inferred.

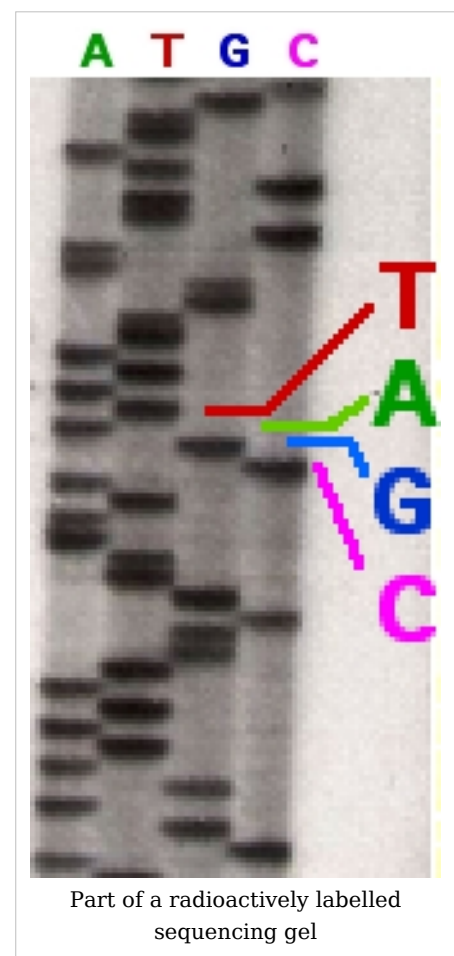
Also sometimes known as 'chemical sequencing', this method originated in the study of DNA-protein interactions (footprinting), nucleic acid structure and epigenetic modifications to DNA, and within these it still has important applications.

Chain-termination methods

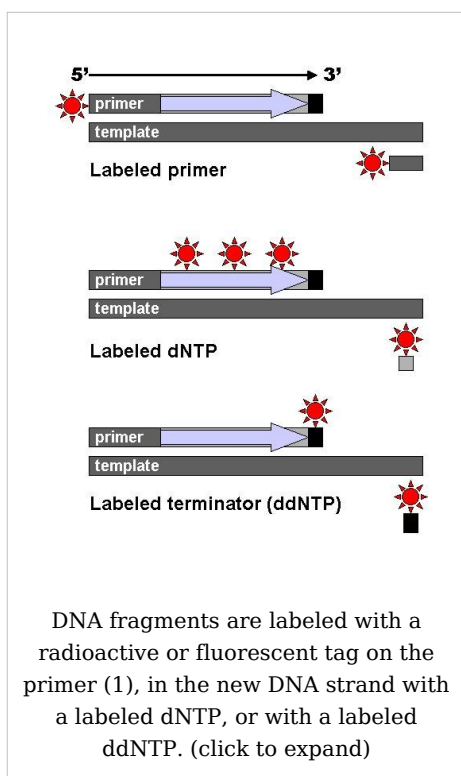
Because the chain-terminator method (or Sanger method after its developer Frederick Sanger) is more efficient and uses fewer toxic chemicals and lower amounts of radioactivity than the method of Maxam and Gilbert, it rapidly became the method of choice. The key principle of the Sanger method was the use of dideoxynucleotide triphosphates (ddNTPs) as DNA chain terminators.

The classical chain-termination method requires a single-stranded DNA template, a DNA primer, a DNA polymerase, radioactively or fluorescently labeled nucleotides, and modified nucleotides that terminate DNA strand elongation. The DNA sample is divided into four separate sequencing reactions, containing all four of the standard deoxynucleotides (dATP, dGTP, dCTP and dTTP) and the DNA polymerase. To each reaction is added only one of the four dideoxynucleotides (ddATP, ddGTP, ddCTP, or ddTTP) which are the chain-terminating nucleotides, lacking a 3'-OH group required for the formation of a phosphodiester bond between two nucleotides, thus terminating DNA strand extension and resulting in various DNA fragments of varying length.

The newly synthesized and labeled DNA fragments are heat denatured, and separated by size (with a resolution of just one nucleotide) by gel electrophoresis on a denaturing polyacrylamide-urea gel with each of the four reactions run in one of four individual lanes (lanes A, T, G, C); the DNA bands are then visualized by autoradiography or UV light, and the DNA sequence can be directly read off the X-ray film or gel image. In the image on the right, X-ray film was exposed to the gel, and the dark bands correspond to DNA fragments of different lengths. A dark band in a lane indicates a DNA fragment that is the result of chain termination after incorporation of a dideoxynucleotide (ddATP, ddGTP, ddCTP, or ddTTP). The relative positions of the different bands among the four lanes are then used to read (from bottom to

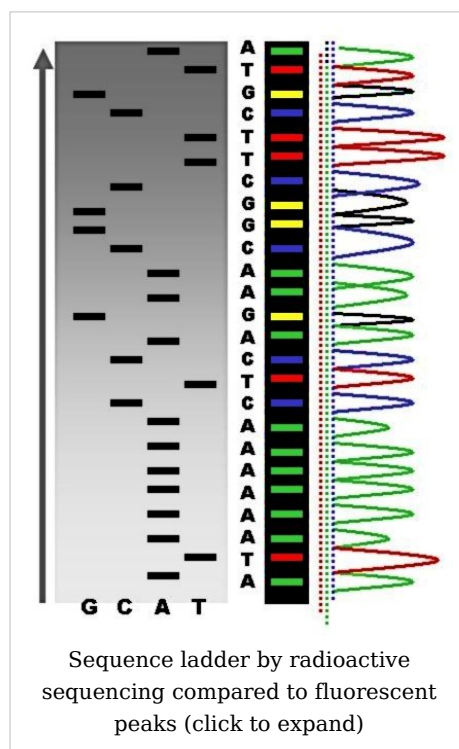


top) the DNA sequence.

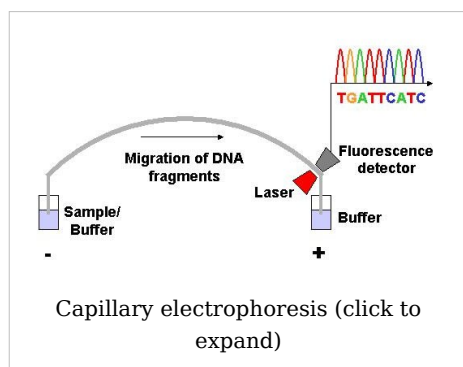


Technical variations of chain-termination sequencing include tagging with nucleotides containing radioactive phosphorus for radiolabelling, or using a primer labeled at the 5' end with a fluorescent dye. Dye-primer sequencing facilitates reading in an optical system for faster and more economical analysis and automation. The later development by Leroy Hood and coworkers^[9]^[10] of fluorescently labeled ddNTPs and primers set the stage for automated, high-throughput DNA sequencing.

Chain-termination methods have greatly simplified DNA sequencing. For example, chain-termination-based kits are commercially available that contain the reagents needed for sequencing, pre-aliquoted and ready to use. Limitations include non-specific binding of the primer to the DNA, affecting accurate read-out of the DNA sequence, and DNA secondary structures affecting the fidelity of the sequence.



Dye-terminator sequencing



Dye-terminator sequencing utilizes labelling of the chain terminator ddNTPs, which permits sequencing in a single reaction, rather than four reactions as in the labelled-primer method. In dye-terminator sequencing, each of the four dideoxynucleotide chain terminators is labelled with fluorescent dyes, each of which with different wavelengths of fluorescence and emission. Owing to its greater expediency and speed, dye-terminator sequencing is now the mainstay in automated sequencing. Its limitations include dye

effects due to differences in the incorporation of the dye-labelled chain terminators into the DNA fragment, resulting in unequal peak heights and shapes in the electronic DNA sequence trace chromatogram after capillary electrophoresis (see figure to the right). This problem has been addressed with the use of modified DNA polymerase enzyme systems and dyes that minimize incorporation variability, as well as methods for eliminating "dye blobs". The dye-terminator sequencing method, along with automated high-throughput DNA sequence analyzers, is now being used for the vast majority of sequencing projects.

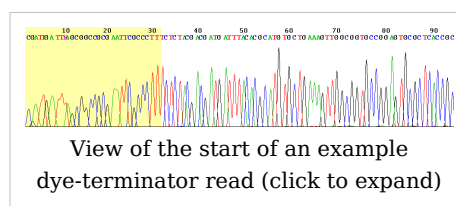
Challenges

Common challenges of DNA sequencing include poor quality in the first 15-40 bases of the sequence and deteriorating quality of sequencing traces after 700-900 bases. Base calling software typically gives an estimate of quality to aid in quality trimming.

In cases where DNA fragments are cloned before sequencing, the resulting sequence may contain parts of the cloning vector. In contrast, PCR-based cloning and emerging sequencing technologies based on pyrosequencing often avoid using cloning vectors.

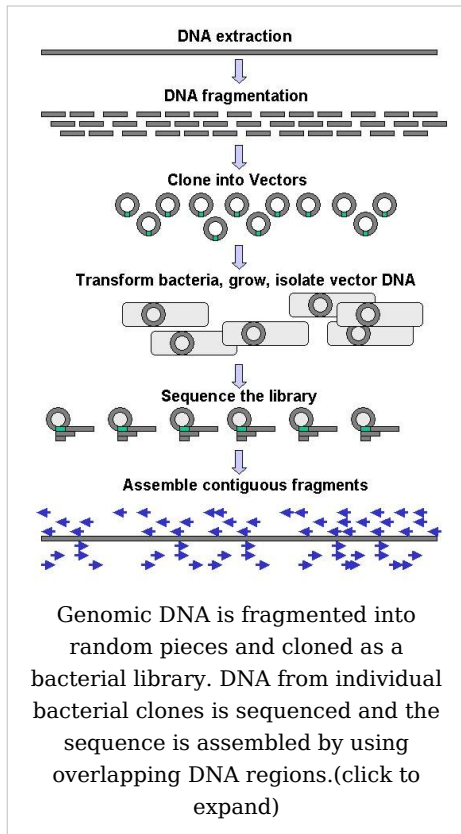
Automation and sample preparation

Automated DNA-sequencing instruments (DNA sequencers) can sequence up to 384 DNA samples in a single batch (run) in up to 24 runs a day. DNA sequencers carry out capillary electrophoresis for size separation, detection and recording of dye fluorescence, and data output as fluorescent peak trace chromatograms. Sequencing reactions by thermocycling, cleanup and re-suspension in a buffer solution before loading onto the sequencer are performed separately. A number of commercial and non-commercial software packages can trim low-quality DNA traces automatically. These programs score the quality of each peak and remove low-quality base peaks (generally located at the ends of the sequence). The accuracy of such algorithms is below visual examination by a human operator, but sufficient for automated processing of large sequence data sets.



Large-scale sequencing strategies

Current methods can directly sequence only relatively short (300-1000 nucleotides long) DNA fragments in a single reaction.^[11] The main obstacle to sequencing DNA fragments above this size limit is insufficient power of separation for resolving large DNA fragments that differ in length by only one nucleotide.



Large-scale sequencing aims at sequencing very long DNA pieces, such as whole chromosomes. Common approaches consist of cutting (with restriction enzymes) or shearing (with mechanical forces) large DNA fragments into shorter DNA fragments. The fragmented DNA is cloned into a DNA vector, and amplified in *Escherichia coli*. Short DNA fragments purified from individual bacterial colonies are individually sequenced and assembled electronically into one long, contiguous sequence. This method does not require any pre-existing information about the sequence of the DNA and is referred to as *de novo* sequencing. Gaps in the assembled sequence may be filled by primer walking. The different strategies have different tradeoffs in speed and accuracy; *shotgun methods* are often used for sequencing large genomes, but its assembly is complex and difficult, particularly with sequence repeats often causing gaps in genome assembly.

New sequencing methods

High-throughput sequencing

The high demand for low-cost sequencing has driven the development of high-throughput sequencing technologies that parallelize the sequencing process, producing thousands or millions of sequences at once.^{[12] [13]} High-throughput sequencing technologies are intended to lower the cost of DNA sequencing beyond what is possible with standard dye-terminator methods.

In vitro clonal amplification

Molecular detection methods are not sensitive enough for single molecule sequencing, so most approaches use an *in vitro* cloning step to amplify individual DNA molecules. Emulsion PCR isolates individual DNA molecules along with primer-coated beads in aqueous droplets within an oil phase. Polymerase chain reaction (PCR) then coats each bead with clonal copies of the DNA molecule followed by immobilization for later sequencing. Emulsion PCR is used in the methods by Margulis et al. (commercialized by 454 Life Sciences), Shendure and Porreca et al. (also known as "polony sequencing") and SOLiD sequencing, (developed by Agencourt, now Applied Biosystems).^{[14] [15] [16]} Another method for *in vitro* clonal amplification is *bridge PCR*, where fragments are amplified upon primers attached to a

solid surface. The single-molecule method developed by Stephen Quake's laboratory (later commercialized by Helicos) skips this amplification step, directly fixing DNA molecules to a surface.^[17]

Parallelized sequencing

DNA molecules are physically bound to a surface, and sequenced in parallel. *Sequencing by synthesis*, like dye-termination electrophoretic sequencing, uses a DNA polymerase to determine the base sequence. Reversible terminator methods (used by Illumina and Helicos) use reversible versions of dye-terminators, adding one nucleotide at a time, detect fluorescence at each position in real time, by repeated removal of the blocking group to allow polymerization of another nucleotide. Pyrosequencing (used by 454) also uses DNA polymerization, adding one nucleotide species at a time and detecting and quantifying the number of nucleotides added to a given location through the light emitted by the release of attached pyrophosphates.^{[14] [18]}

Sequencing by ligation

This enzymatic sequencing method uses a DNA ligase to determine the target sequence.^{[15] [16] [19]} Used in the polony method and in the SOLiD technology, it uses a pool of all possible oligonucleotides of a fixed length, labeled according to the sequenced position. Oligonucleotides are annealed and ligated; the preferential ligation by DNA ligase for matching sequences results in a signal informative of the nucleotide at that position.

Microfluidic Sanger Sequencing

In microfluidic Sanger sequencing the entire thermocycling amplification of DNA fragments as well as their separation by electrophoresis is done on a single chip (approximately 100 μm in diameter) thus reducing the reagent usage as well as cost. In some instances researchers have shown that they can increase the through-put of conventional sequencing through the use of microchips. Research will still need to be done in order to make this use of technology effective.

Other sequencing technologies

Sequencing by hybridization is a non-enzymatic method that uses a DNA microarray. A single pool of DNA whose sequence is to be determined is fluorescently labeled and hybridized to an array containing known sequences. Strong hybridization signals from a given spot on the array identifies its sequence in the DNA being sequenced.^[20] Mass spectrometry may be used to determine mass differences between DNA fragments produced in chain-termination reactions.^[21]

DNA sequencing methods currently under development include labeling the DNA polymerase,^[22] reading the sequence as a DNA strand transits through nanopores,^{[23] [24]} and microscopy-based techniques, such as AFM or electron microscopy that are used to identify the positions of individual nucleotides within long DNA fragments (>5,000 bp) by nucleotide labeling with heavier elements (e.g., halogens) for visual detection and recording.^[25]

In October 2006, the X Prize Foundation established an initiative to promote the development of full genome sequencing technologies, called the Archon X Prize, intending to award \$10 million to "the first Team that can build a device and use it to sequence 100 human genomes within 10 days or less, with an accuracy of no more than one error in every 100,000 bases sequenced, with sequences accurately covering at least 98% of the genome,

and at a recurring cost of no more than \$10,000 (US) per genome."^[26]

Major landmarks in DNA sequencing

- 1953 Discovery of the structure of the DNA double helix.
- 1972 Development of recombinant DNA technology, which permits isolation of defined fragments of DNA; prior to this, the only accessible samples for sequencing were from bacteriophage or virus DNA.
- 1975 The first complete DNA genome to be sequenced is that of bacteriophage ϕ X174
- 1977 Allan Maxam and Walter Gilbert publish "DNA sequencing by chemical degradation".^[3] Frederick Sanger, independently, publishes "DNA sequencing by enzymatic synthesis".
- 1980 Frederick Sanger and Walter Gilbert receive the Nobel Prize in Chemistry
- 1984 Medical Research Council scientists decipher the complete DNA sequence of the Epstein-Barr virus, 170 kb.
- 1986 Leroy E. Hood's laboratory at the California Institute of Technology and Smith announce the first semi-automated DNA sequencing machine.
- 1987 Applied Biosystems markets first automated sequencing machine, the model ABI 370.
- 1990 The U.S. National Institutes of Health (NIH) begins large-scale sequencing trials on *Mycoplasma capricolum*, *Escherichia coli*, *Caenorhabditis elegans*, and *Saccharomyces cerevisiae* (at 75 cents (US)/base).
- 1995 Richard Mathies *et al.* publish dye-based sequencing.^[27]
- 1998 Phil Green and Brent Ewing of the University of Washington publish "phred" for sequencer data analysis^[28].

See also

- Sequencing
 - Full Genome Sequencing
 - Genome project
 - Single Molecule Real Time Sequencing
 - Applied Biosystems
 - 454 Life Sciences
 - Illumina (company)
 - Pacific Biosciences
 - Complete Genomics
 - Joint Genome Institute
 - DNA field-effect transistor
 - DNA sequencing theory
-

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External links

- Disruptive Gene Sequencing technology (http://en.wikipedia.org/wiki/Single_Molecule_Real_Time_Sequencing) - Single Molecule Real Time (SMRT) sequencing

DNA nanotechnology

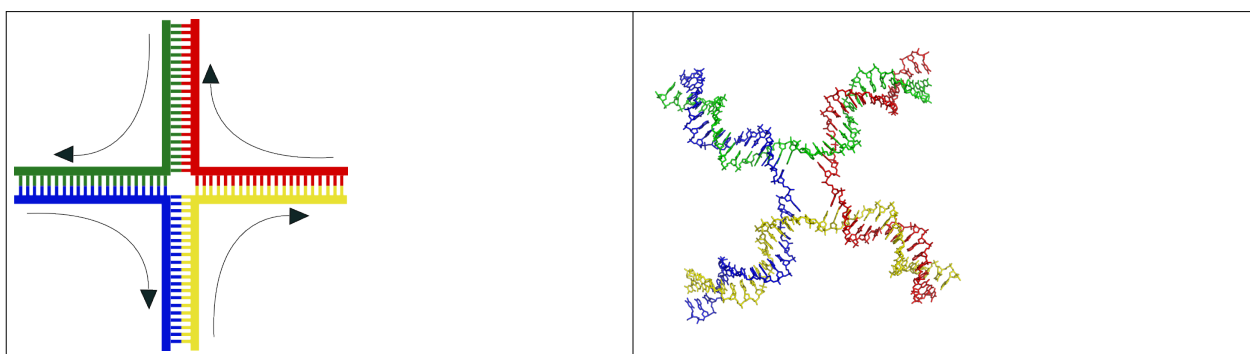
Part of a series of articles on **Molecular self-assembly**

Self-assembled monolayer
Supramolecular assembly
DNA nanotechnology

See also
Nanotechnology

DNA nanotechnology is a subfield of nanotechnology which seeks to use the unique molecular recognition properties of DNA and other nucleic acids to create novel, controllable structures out of DNA. The DNA is thus used as a structural material rather than as a carrier of genetic information, making it an example of bionanotechnology. This has possible applications in molecular self-assembly and in DNA computing.

Introduction: DNA crossover molecules



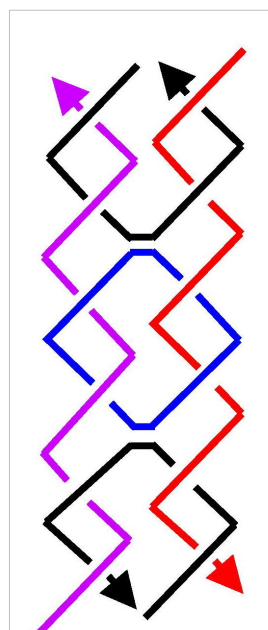
Structure of the 4-arm junction.

Left: A schematic. **Right:** A more realistic model.^[1]

Each of the four separate DNA single strands are shown in different colors.

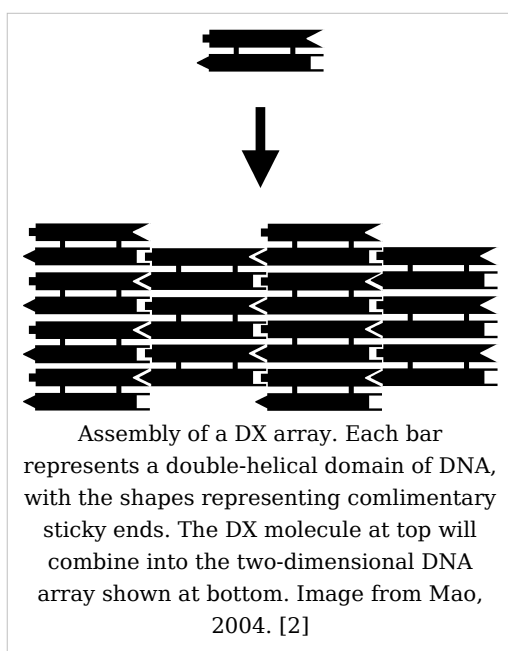
DNA nanotechnology makes use of branched DNA structures to create DNA complexes with useful properties. DNA is normally a linear molecule, in that its axis is unbranched. However, DNA molecules containing junctions can also be made. For example, a four-arm junction can be made using four individual DNA strands which are complementary to each other in the correct pattern. Due to Watson-Crick base pairing, only portions of the strands which are complementary to each other will attach to each other to form duplex DNA. This four-arm junction is an immobile form of a Holliday junction.

Junctions can be used in more complex molecules. The most important of these is the "double-crossover" or DX motif. Here, two DNA duplexes lie next to each other, and share two junction points where strands cross from one duplex into the other. This molecule has the advantage that the junction points are now constrained to a single orientation as opposed to being flexible as in the four-arm junction. This makes the DX motif suitable as a structural building block for larger DNA complexes.^[3]



A double-crossover (DX) molecule. This molecule consists of five DNA single strands which form two double-helical domains, on the left and the right in this image. There are two crossover points where the strands cross from one domain into the other. Image from Mao, 2004. [2]

Tile-based arrays



DX arrays

DX, Double Crossover, molecules can be equipped with sticky ends in order to combine them into a two-dimensional periodic lattice. Each DX molecule has four termini, one at each end of the two double-helical domains, and these can be equipped with sticky ends that program them to combine into a specific pattern. More than one type of DX can be used which can be made to arrange in rows or any other tessellated pattern. They thus form extended flat sheets which are essentially two-dimensional crystals of DNA.^[4]

DNA nanotubes

In addition to flat sheets, DX arrays have been made to form hollow tubes of 4-20 nm diameter. These

DNA nanotubes are somewhat similar in size and shape to carbon nanotubes, but the carbon nanotubes are stronger and better conductors, whereas the DNA nanotubes are more easily modified and connected to other structures.^[5]

Other tile arrays

Two-dimensional arrays have been made out of other motifs as well, including the Holliday junction rhombus array as well as various DX-based arrays in the shapes of triangles and hexagons.^[6] Another motif, the six-helix bundle, has the ability to form three-dimensional DNA arrays as well.^[7]

DNA origami

As an alternative to the tile-based approach, two-dimensional DNA structures can be made from a single, long DNA strand of arbitrary sequence which is folded into the desired shape by using shorter, "staple" strands. This allows the creation of two-dimensional shapes at the nanoscale using DNA. Demonstrated designs have included the smiley face and a coarse map of North America. DNA origami was the cover story of *Nature* on March 15, 2006.^[8]

DNA polyhedra

A number of three-dimensional DNA molecules have been made which have the connectivity of a polyhedron such as an octahedron or cube. In other words, the DNA duplexes trace the edges of a polyhedron with a DNA junction at each vertex. The earliest demonstrations of DNA polyhedra involved multiple ligations and solid-phase synthesis steps to create catenated polyhedra. More recently, there have been demonstrations of a DNA truncated octahedron made from a long single strand designed to fold into the correct conformation, as well as a tetrahedron which can be produced from four DNA strands in a single step.^[9]

DNA nanomechanical devices

DNA complexes have been made which change their conformation upon some stimulus. These are intended to have applications in nanorobotics. One of the first such devices, called "molecular tweezers," changes from an open to a closed state based upon the presence of control strands.

DNA machines have also been made which show a twisting motion. One of these makes use of the transition between the B-DNA and Z-DNA forms to respond to a change in buffer conditions. Another relies on the presence of control strands to switch from a paranemic-crossover (PX) conformation to a double-junction (JX2) conformation.^[10]

Stem Loop Controllers

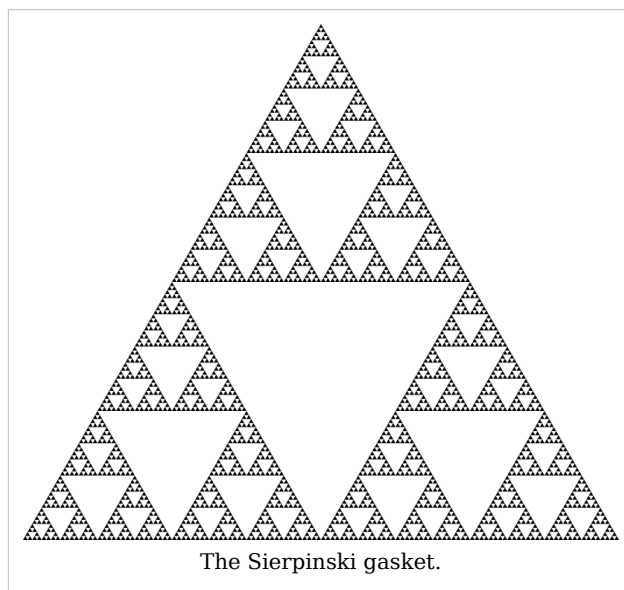
A design called a *stem loop*, consisting of a single strand of DNA which has a loop at an end, are a dynamic structure that opens and closes when a piece of DNA bonds to the loop part. This effect has been exploited to create several logic gates.^{[11] [12]} These logic gates have been used to create the computers MAYA I and MAYA II which can play tick-tac-toe to some extent.^[13]

Applications

Algorithmic self-assembly

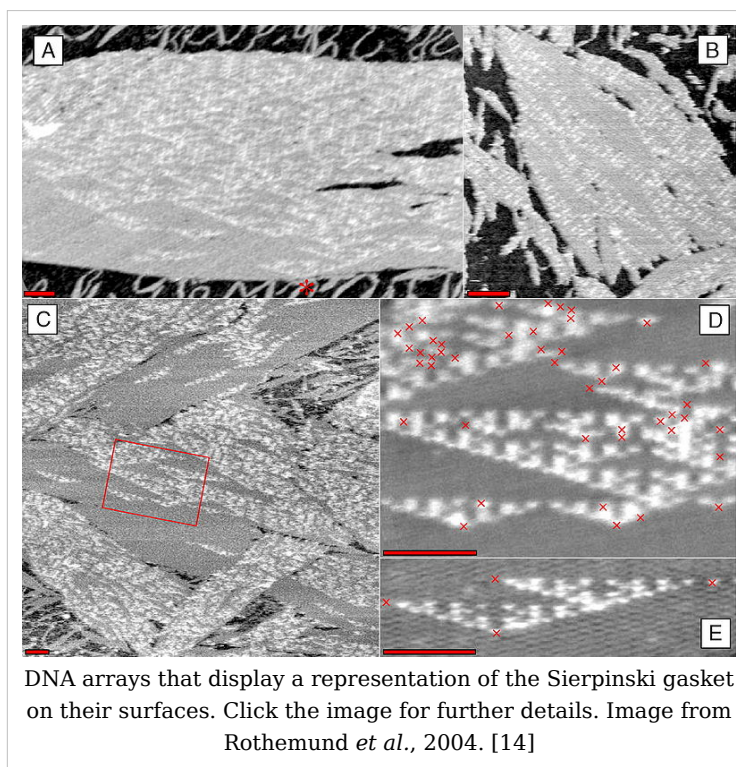
DNA nanotechnology has been applied to the related field of DNA computing. A DX array has been demonstrated whose assembly encodes an XOR operation, which allows the DNA array to implement a cellular automaton which generates a fractal called the Sierpinski gasket. This shows that computation can be incorporated into the assembly of DNA arrays, increasing its scope beyond simple periodic arrays.

Note that DNA computing overlaps with, but is distinct from, DNA nanotechnology. The latter uses the specificity of Watson-Crick basepairing to make novel structures out of DNA. These structures can be used for DNA computing, but they do not have to be. Additionally, DNA computing can be done without using the types of molecules made possible by DNA Nanotechnology.^[15]



Nanoarchitecture

The idea of using DNA arrays to template the assembly of other functional molecules has been around for a while, but only recently has progress been made in reducing these kinds of schemes to practice. In 2006, researchers covalently attached gold nanoparticles to a DX-based tile and showed that self-assembly of the DNA structures also assembled the nanoparticles hosted on them. A non-covalent hosting scheme was shown in 2007, using Dervan polyamides on a DX array to arrange streptavidin proteins on specific kinds of tiles on the DNA array.^[16] Previously in 2006 LaBean demonstrated the letters "D" "N" and "A" created on a 4x4 DX array using streptavidin.^[17]



DNA has also been used to assemble a single walled carbon nanotube Field-effect transistor.^[18]

See also

- Mechanical properties of DNA

External links

- Chengde Mao page at Purdue University [19]
- John Reif lab at Duke University [20]
- Nadrian Seeman lab at NYU [21]
- William M. Shih lab at Harvard Medical School [22]
- Andrew Turberfield lab at Oxford University [23]
- Erik Winfree lab at Caltech [24]
- Hao Yan lab at Arizona State University [25]
- Bernard Yurke formerly at Bell Labs [26] now at Boise State University [27]
- Thom LaBean at Duke University [28]
- Software for 3D DNA design, modeling and/or simulation:
 - Ascalaph Designer^[29]
 - caDNAno^[30]
 - GIDEON^[31]
 - NanoEngineer-1^[32]
- International Society for Nanoscale Science, Computation and Engineering [33]

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Note: Click on the doi to access the text of the referenced article.

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[2] <http://dx.doi.org/10.1371/journal.pbio.0020431>

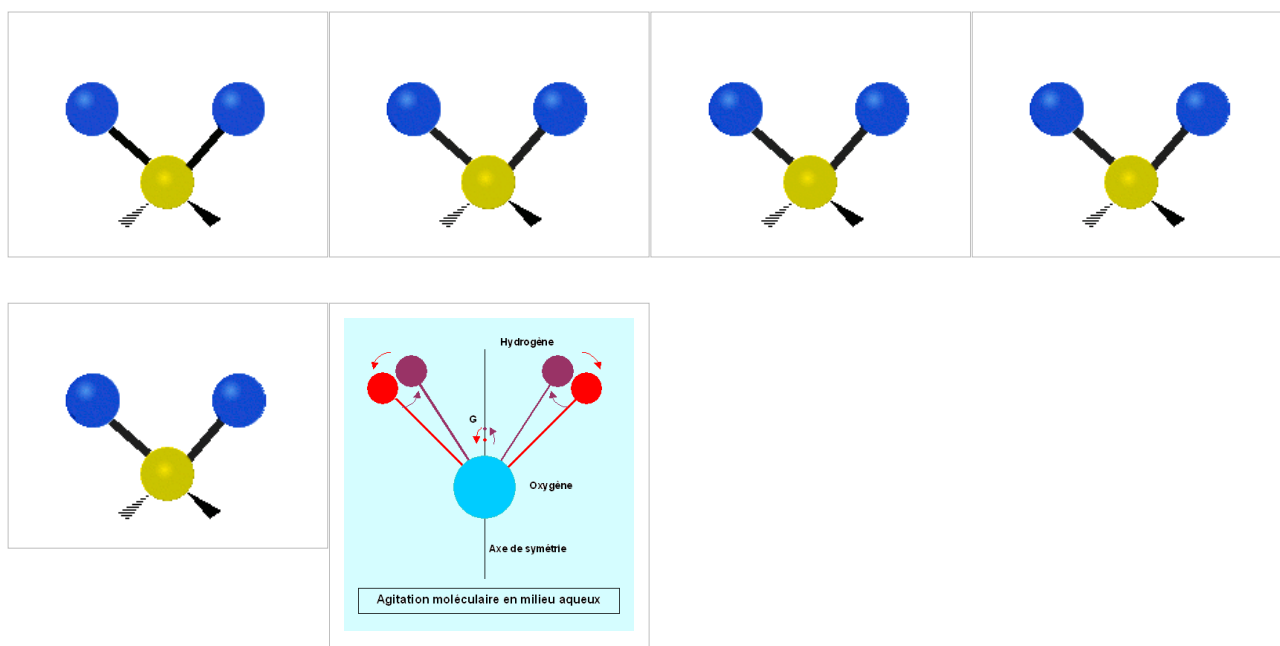
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Vibrational circular dichroism

Vibrational circular dichroism (VCD) spectroscopy is basically circular dichroism spectroscopy in the infrared and near infrared ranges^[1]. Because VCD is sensitive to the mutual orientation of distinct groups in a molecule, it provides three-dimensional structural information. Thus, it is a powerful technique as VCD spectra of enantiomers can be simulated using *ab initio* calculations, thereby allowing the identification of absolute configurations of small molecules in solution from VCD spectra. Among such quantum computations of VCD spectra resulting from the chiral properties of small organic molecules are those based on density functional theory (DFT) and gauge-invariant atomic orbitals (GIAO). As a simple example of the experimental results that were obtained by VCD are the spectral data obtained within the carbon-hydrogen (C-H) stretching region of 21 amino acids in heavy water solutions. Measurements of vibrational optical activity (VOA) have thus numerous applications, not only for small molecules, but also for large and complex biopolymers such as muscle proteins (myosin, for example) and DNA.

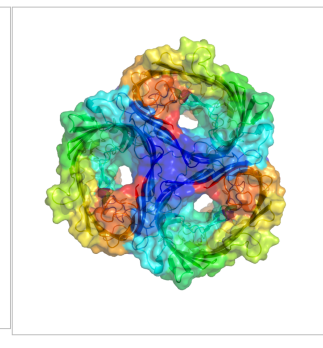
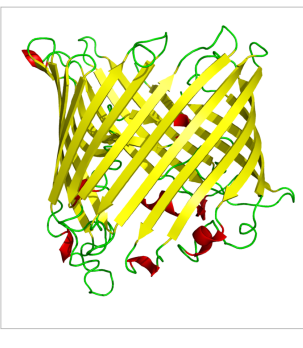
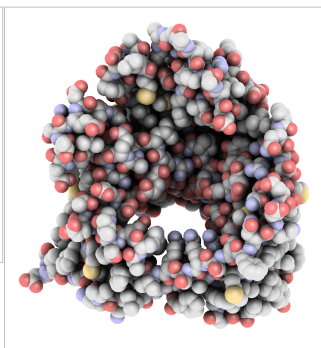
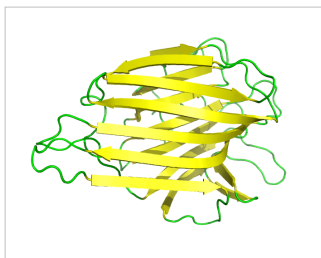
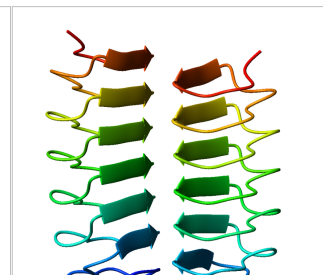
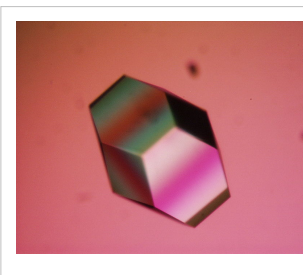
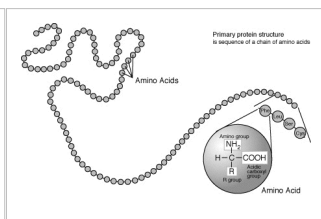
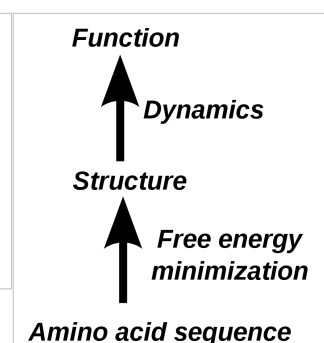
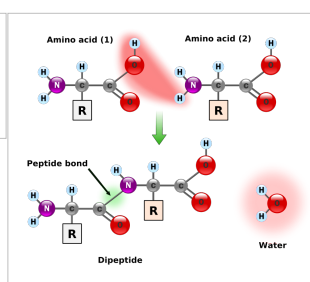
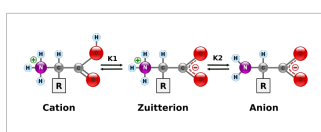
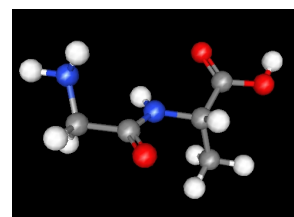
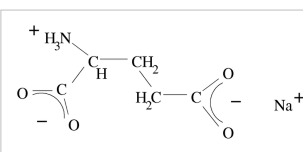
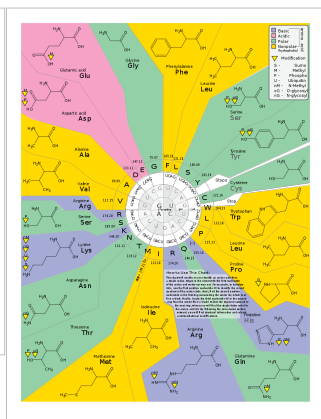
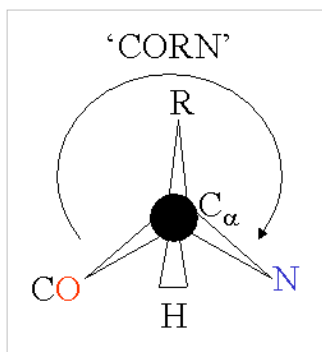
Vibrational modes

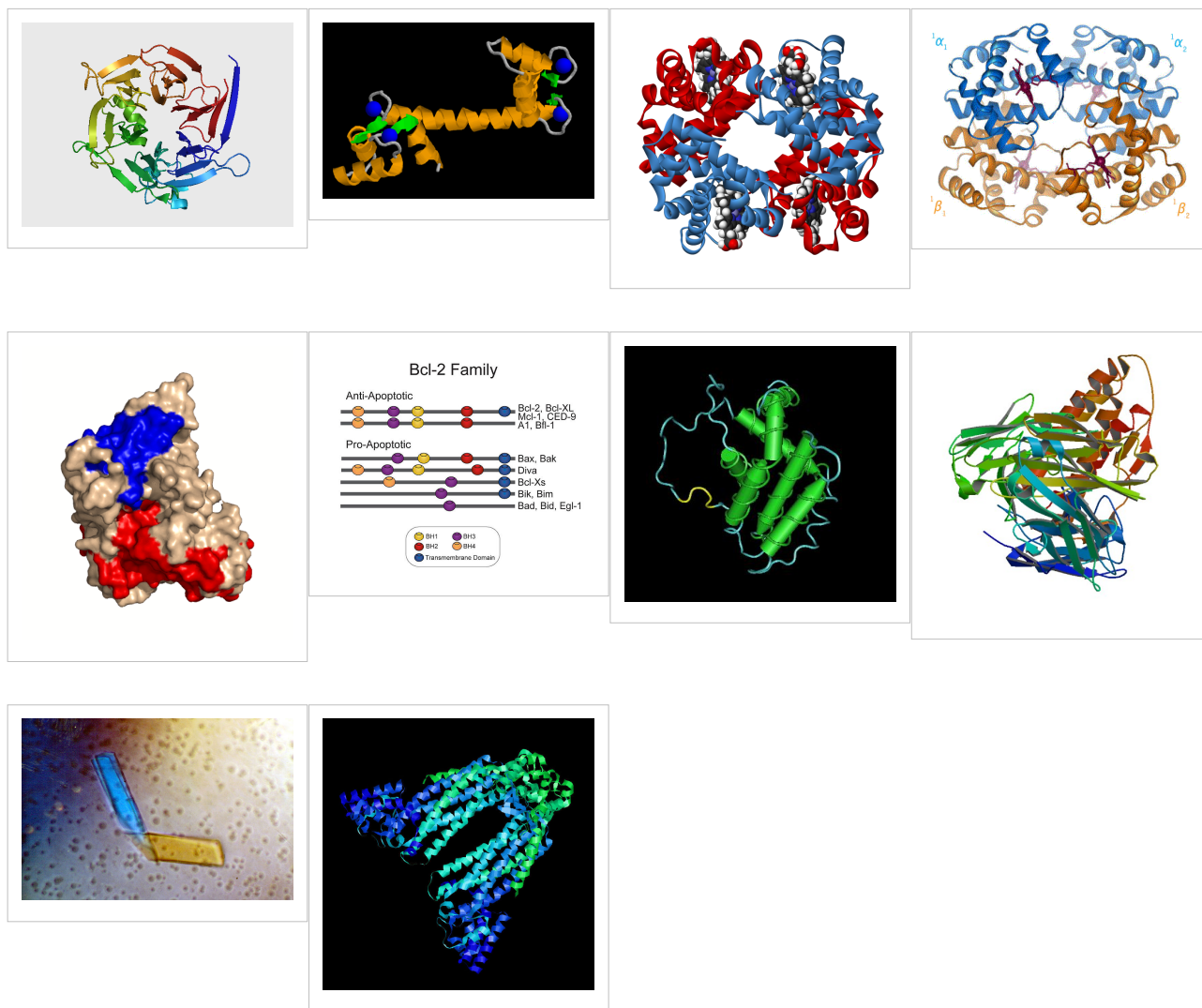


VCD of peptides and proteins

Extensive VCD studies have been reported for both polypeptides and several proteins in solution^{[2] [3] [4]}; several recent reviews were also compiled^{[5] [6] [7] [8]}. An extensive but not comprehensive VCD publications list is also provided in the "References" section. The published reports over the last 22 years have established VCD as a powerful technique with improved results over those previously obtained by visible/UV circular dichroism (CD) or optical rotatory dispersion (ORD) for proteins and nucleic acids.

Amino acid and polypeptide structures





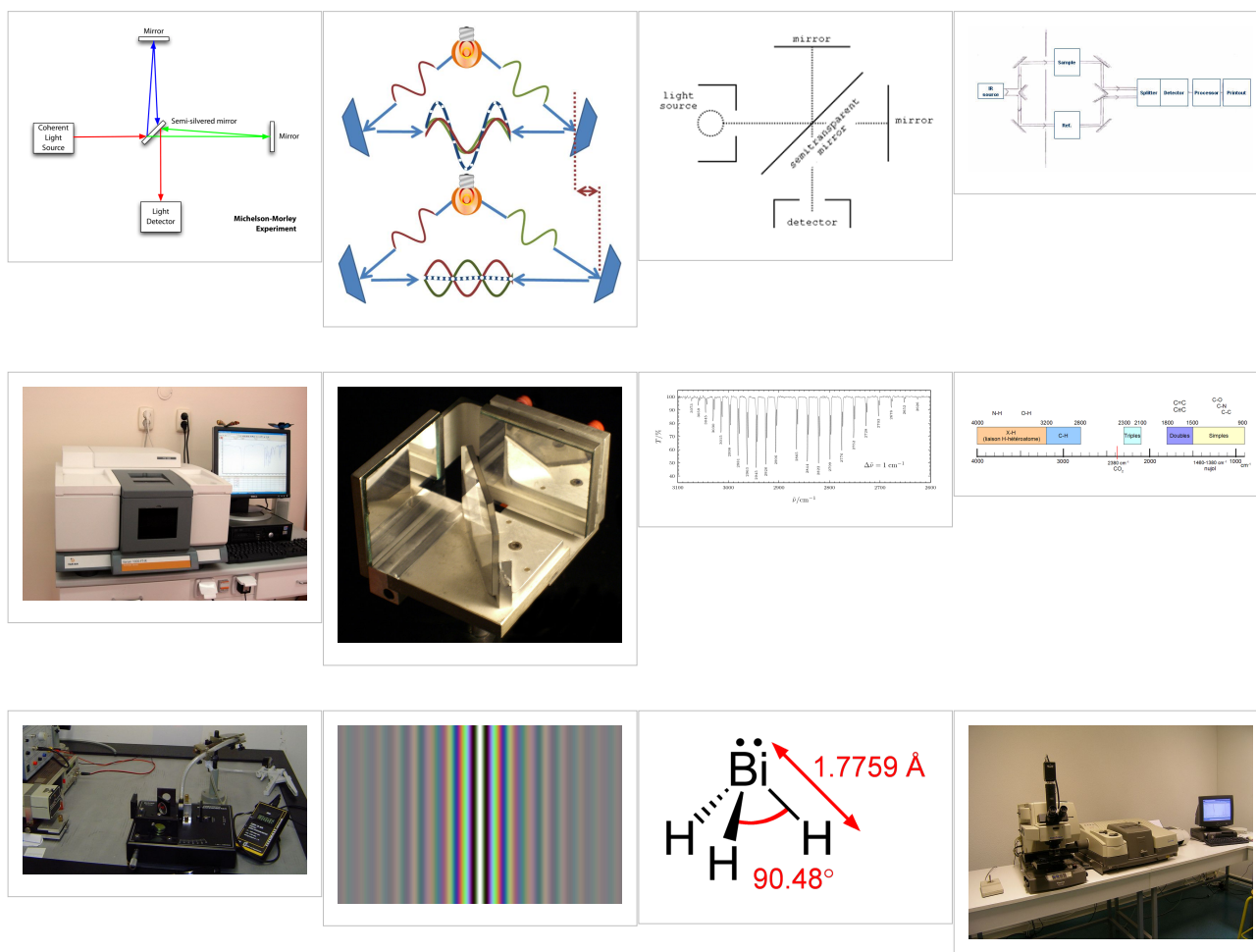
VCD of nucleic acids

VCD spectra of nucleotides, synthetic polynucleotides and several nucleic acids, including DNA, have been reported and assigned in terms of the type and number of helices present in A-, B-, and Z-DNA.

VCD Instrumentation

For biopolymers such as proteins and nucleic acids, the difference in absorbance between the levo- and dextro- configurations is five orders of magnitude smaller than the corresponding (unpolarized) absorbance. Therefore, VCD of biopolymers requires the use of very sensitive, specially built instrumentation as well as time-averaging over relatively long intervals of time even with such sensitive VCD spectrometers. Most CD instruments produce left- and right- circularly polarized light which is then either sine-wave or square-wave modulated, with subsequent phase-sensitive detection and lock-in amplification of the detected signal. In the case of FT-VCD, a photo-elastic modulator (PEM) is employed in conjunction with an FT-IR interferometer set-up. An example is that of a Bomem model MB-100 FT-IR interferometer equipped with additional polarizing optics/accessories needed for recording VCD spectra. A parallel beam emerges through a side

port of the interferometer which passes first through a wire grid linear polarizer and then through an octagonal-shaped ZnSe crystal PEM which modulates the polarized beam at a fixed, lower frequency such as 37.5 kHz. A mechanically stressed crystal such as ZnSe exhibits birefringence when stressed by an adjacent piezoelectric transducer. The linear polarizer is positioned close to, and at 45 degrees, with respect to the ZnSe crystal axis. The polarized radiation focused onto the detector is doubly modulated, both by the PEM and by the interferometer setup. A very low noise detector, such as MCT (HgCdTe), is also selected for the VCD signal phase-sensitive detection. Quasi-complete commercial FT-VCD instruments are also available from a few manufacturers but these are quite expensive and also have to be still considered as being at the prototype stage. To prevent detector saturation an appropriate, long wave pass filter is placed before the very low noise MCT detector, which allows only radiation below 1750 cm^{-1} to reach the MCT detector; the latter however measures radiation only down to 750 cm^{-1} . FT-VCD spectra accumulation of the selected sample solution is then carried out, digitized and stored by an in-line computer. Published reviews that compare various VCD methods are also available.^{[9] [10]}



Magnetic VCD

VCD spectra have also been reported in the presence of an applied external magnetic field^[11]. This method can enhance the VCD spectral resolution for small molecules^[12] [13] [14] [15] [16].

Raman optical activity (ROA)

ROA is a technique complementary to VCD especially useful in the 50–1600 cm⁻¹ spectral region; it is considered as the technique of choice for determining optical activity for photon energies less than 600 cm⁻¹.

Notes

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Nucleic acids and polynucleotides

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See also

- Circular dichroism
 - Birefringence
 - Optical rotatory dispersion
 - IR spectroscopy
 - Polarization
 - Proteins
 - Nucleic Acids
 - DNA
 - Molecular models of DNA
 - DNA structure
 - Protein structure
 - Amino acids
 - Density functional theory
 - Quantum chemistry
 - Raman optical activity (ROA)
-

Fluorescence microscopy

1. REDIRECT Fluorescence microscope

Fluorescence correlation spectroscopy

Fluorescence correlation spectroscopy (FCS) is a common technique used by physicists, chemists, and biologists to experimentally characterize the dynamics of fluorescent species (e.g. single fluorescent dye molecules in nanostructured materials, autofluorescent proteins in living cells, etc.). Although the name indicates a specific link to fluorescence, the method is used today also for exploring other forms of luminescence (like reflections, luminescence from gold-beads or quantum dots or phosphorescent species). The "spectroscopy" in the name is not readily found as in common usage a spectrum is generally understood to be a frequency spectrum. The autocorrelation is a genuine form of spectrum, however: It is the time-spectrum generated from the power spectrum (via inverse fourier transform).

Commonly, FCS is employed in the context of optical microscopy, in particular confocal or two photon microscopy. In these techniques light is focused on a sample and the measured fluorescence intensity fluctuations (due to diffusion, physical or chemical reactions, aggregation, etc.) are analyzed using the temporal autocorrelation. Because the measured property is essentially related to the magnitude and/or the amount of fluctuations, there is an optimum measurement regime at the level when individual species enter or exit the observation volume (or turn on and off in the volume). When too many entities are measured at the same time the overall fluctuations are small in comparison to the total signal and may not be resolvable - in the other direction, if the individual fluctuation-events are too sparse in time, one measurement may take prohibitively too long. FCS is in a way the fluorescent counterpart to dynamic light scattering, which uses coherent light scattering, instead of (incoherent) fluorescence.

When an appropriate model is known, FCS can be used to obtain quantitative information such as

- diffusion coefficients
- hydrodynamic radii
- average concentrations
- kinetic chemical reaction rates
- singlet-triplet dynamics

Because fluorescent markers come in a variety of colors and can be specifically bound to a particular molecule (e.g. proteins, polymers, metal-complexes, etc.), it is possible to study the behavior of individual molecules (in rapid succession in composite solutions). With the development of sensitive detectors such as avalanche photodiodes the detection of the fluorescence signal coming from individual molecules in highly dilute samples has become practical. With this emerged the possibility to conduct FCS experiments in a wide variety of specimens, ranging from materials science to biology. The advent of engineered cells with genetically tagged proteins (like green fluorescent protein) has made FCS a common tool for studying molecular dynamics in living cells.

History

Signal-correlation techniques have first been experimentally applied to fluorescence in 1972 by Magde, Elson, and Webb^[1], who are therefore commonly credited as the "inventors" of FCS. The technique was further developed in a group of papers by these and other authors soon after, establishing the theoretical foundations and types of applications.^{[2] [3] [4]} See Thompson (1991)^[5] for a review of that period.

Beginning in 1993^[6], a number of improvements in the measurement techniques--notably using confocal microscopy, and then two photon microscopy--to better define the measurement volume and reject background greatly improved the signal-to-noise and allowed single molecule sensitivity.^{[7] [8]} Since then, there has been a renewed interest in FCS, and as of August 2007 there has been over 3,000 papers using FCS found in Web of Science. See Krichevsky and Bonnet^[9] for a recent review. In addition, there has been a flurry of activity extending FCS in various ways, for instance to laser scanning and spinning disk confocal microscopy (from a stationary, single point measurement), in using cross-correlation (FCCS) between two fluorescent channels instead of autocorrelation, and in using Förster Resonance Energy Transfer (FRET) instead of fluorescence.

Typical FCS setup

The typical FCS setup consists of a laser line (wavelengths ranging typically from 405 - 633 nm (cw), and from 690 - 1100 nm (pulsed)), which is reflected into a microscope objective by a dichroic mirror. The laser beam is focused in the sample, which contains fluorescent particles (molecules) in such high dilution, that only few are within the focal spot (usually 1 - 100 molecules in one fL). When the particles cross the focal volume, they fluoresce. This light is collected by the same objective and, because it is red-shifted with respect to the excitation light it passes the dichroic reaching a detector, typically a photomultiplier tube or avalanche photodiode detector. The resulting electronic signal can be stored either directly as an intensity versus time trace to be analyzed at a later point, or, computed to generate the autocorrelation directly (which requires special acquisition cards). The FCS curve by itself only represents a time-spectrum. Conclusions on physical phenomena have to be extracted from there with appropriate models. The parameters of interest are found after fitting the autocorrelation curve to modeled functional forms.^[10] The setup is shown in Figure 1.

The Measurement Volume

The measurement volume is a convolution of illumination (excitation) and detection geometries, which result from the optical elements involved. The resulting volume is described mathematically by the point spread function (or PSF), it is essentially the image of a point source. The PSF is often described as an ellipsoid (with unsharp boundaries) of few hundred nanometers in focus diameter, and almost one micrometre along the optical axis. The shape varies significantly (and has a large impact on the resulting FCS curves) depending on the quality of the optical elements (it is crucial to avoid astigmatism and to check the real shape of the PSF on the instrument). In the case of confocal microscopy, and for small pinholes (around one Airy unit), the PSF is well approximated by Gaussians:

$$PSF(r, z) = I_0 e^{-2r^2/\omega_{xy}^2} e^{-2z^2/\omega_z^2}$$

where I_0 is the peak intensity, r and z are radial and axial position, and ω_{xy} and ω_z are the radial and axial radii, and $\omega_z > \omega_{xy}$. This Gaussian form is assumed in deriving the functional form of the autocorrelation.

Typically ω_{xy} is 200-300 nm, and ω_z is **2-6** times larger.^[11] One common way of calibrating the measurement volume parameters is to perform FCS on a species with known diffusion coefficient and concentration (see below). Diffusion coefficients for common fluorophores in water are given in a later section.

The Gaussian approximation works to varying degrees depending on the optical details, and corrections can sometimes be applied to offset the errors in approximation.^[12]

Autocorrelation Function

The (temporal) autocorrelation function is the correlation of a time series with itself shifted by time τ , as a function of τ :

$$G(\tau) = \frac{\langle \delta I(t) \delta I(t + \tau) \rangle}{\langle I(t) \rangle^2} = \frac{\langle I(t) I(t + \tau) \rangle}{\langle I(t) \rangle^2} - 1$$

where $\delta I(t) = I(t) - \langle I(t) \rangle$ is the deviation from the mean intensity. The normalization (denominator) here is the most commonly used for FCS, because then the correlation at $\tau = 0$, $G(0)$, is related to the average number of particles in the measurement volume.

Interpreting the Autocorrelation Function

To extract quantities of interest, the autocorrelation data can be fitted, typically using a nonlinear least squares algorithm. The fit's functional form depends on the type of dynamics (and the optical geometry in question).

Normal Diffusion

The fluorescent particles used in FCS are small and thus experience thermal motions in solution. The simplest FCS experiment is thus normal 3D diffusion, for which the autocorrelation is:

$$G(\tau) = G(0) \frac{1}{(1 + (\tau/\tau_D)) (1 + a^{-2}(\tau/\tau_D))^{1/2}} + G(\infty)$$

where $a = \omega_z/\omega_{xy}$ is the ratio of axial to radial e^{-2} radii of the measurement volume, and τ_D is the characteristic residence time. This form was derived assuming a Gaussian measurement volume. Typically, the fit would have three free parameters-- $G(0)$, $G(\infty)$, and τ_D --from which the diffusion coefficient and fluorophore concentration can be obtained. With the normalization used in the previous section, $G(0)$ gives the mean number of diffusers in the volume $\langle N \rangle$, or equivalently--with knowledge of the observation volume size--the mean concentration:

$$G(0) = \frac{1}{\langle N \rangle} = \frac{1}{V_{eff} \langle C \rangle},$$

where the effective volume is found from integrating the Gaussian form of the measurement volume and is given by:

$$V_{eff} = \pi^{3/2} \omega_{xy}^2 \omega_z.$$

τ_D gives the diffusion coefficient: $D = \omega_{xy}^2 / 4\tau_D$.

Anomalous diffusion

If the diffusing particles are hindered by obstacles or pushed by a force (molecular motors, flow, etc.) the dynamics is often not sufficiently well-described by the normal diffusion model, where the mean squared displacement (MSD) grows linearly with time. Instead the diffusion may be better described as anomalous diffusion, where the temporal dependence of the MSD is non-linear as in the power-law:

$$MSD = 6D_a t^\alpha$$

where D_a is an anomalous diffusion coefficient. "Anomalous diffusion" commonly refers only to this very generic model, and not the many other possibilities that might be described as anomalous. Also, a power law is, in a strict sense, the expected form only for a narrow range of rigorously defined systems, for instance when the distribution of obstacles is fractal. Nonetheless a power law can be a useful approximation for a wider range of systems.

The FCS autocorrelation function for anomalous diffusion is:

$$G(\tau) = G(0) \frac{1}{(1 + (\tau/\tau_D)^\alpha)(1 + a^{-2}(\tau/\tau_D)^\alpha)^{1/2}} + G(\infty),$$

where the anomalous exponent α is the same as above, and becomes a free parameter in the fitting.

Using FCS, the anomalous exponent has been shown to be an indication of the degree of molecular crowding (it is less than one and smaller for greater degrees of crowding)^[13].

Polydisperse diffusion

If there are diffusing particles with different sizes (diffusion coefficients), it is common to fit to a function that is the sum of single component forms:

$$G(\tau) = G(0) \sum_i \frac{\alpha_i}{(1 + (\tau/\tau_{D,i})) (1 + a^{-2}(\tau/\tau_{D,i}))^{1/2}} + G(\infty)$$

where the sum is over the number different sizes of particle, indexed by i , and α_i gives the weighting, which is related to the quantum yield and concentration of each type. This introduces new parameters, which makes the fitting more difficult as a higher dimensional space must be searched. Nonlinear least square fitting typically becomes unstable with even a small number of $\tau_{D,i}$ s. A more robust fitting scheme, especially useful for polydisperse samples, is the Maximum Entropy Method^[14].

Diffusion with flow

With diffusion together with a uniform flow with velocity v in the lateral direction, the autocorrelation is^[15]:

$$G(\tau) = G(0) \frac{1}{(1 + (\tau/\tau_D)) (1 + a^{-2}(\tau/\tau_D))^{1/2}} \times \exp[-(\tau/\tau_v)^2 \times \frac{1}{1 + \tau/\tau_D}] + G(\infty)$$

where $\tau_v = \omega_{xy}/v$ is the average residence time if there is only a flow (no diffusion).

Chemical relaxation

A wide range of possible FCS experiments involve chemical reactions that continually fluctuate from equilibrium because of thermal motions (and then "relax"). In contrast to diffusion, which is also a relaxation process, the fluctuations cause changes between states of different energies. One very simple system showing chemical relaxation would be a stationary binding site in the measurement volume, where particles only produce signal when bound (e.g. by FRET, or if the diffusion time is much faster than the sampling interval). In this case the autocorrelation is:

$$G(\tau) = G(0) \exp(-\tau/\tau_B) + G(\infty)$$

where

$$\tau_B = (k_{on} + k_{off})^{-1}$$

is the relaxation time and depends on the reaction kinetics (on and off rates), and:

$$G(0) = \frac{1}{\langle N \rangle} \frac{k_{on}}{k_{off}} = \frac{1}{\langle N \rangle} K$$

is related to the equilibrium constant K .

Most systems with chemical relaxation also show measureable diffusion as well, and the autocorrelation function will depend on the details of the system. If the diffusion and chemical reaction are decoupled, the combined autocorrelation is the product of the chemical and diffusive autocorrelations.

Triplet State Correction

The autocorrelations above assume that the fluctuations are not due to changes in the fluorescent properties of the particles. However, for the majority of (bio)organic fluorophores--e.g. green fluorescent protein, rhodamine, Cy3 and Alexa Fluor dyes--some fraction of illuminated particles are excited to a triplet state (or other non-radiative decaying states) and then do not emit photons for a characteristic relaxation time τ_F . Typically τ_F is on the order of microseconds, which is usually smaller than the dynamics of interest (e.g. τ_D) but large enough to be measured. A multiplicative term is added to the autocorrelation account for the triplet state. For normal diffusion:

$$G(\tau) = G(0) \frac{1 - F + F e^{-\tau/\tau_F}}{1 - F} \frac{1}{(1 + (\tau/\tau_{D,i})) (1 + a^{-2}(\tau/\tau_{D,i}))^{1/2}} + G(\infty)$$

where F is the fraction of particles that have entered the triplet state and τ_F is the corresponding triplet state relaxation time. If the dynamics of interest are much slower than the triplet state relaxation, the short time component of the autocorrelation can simply be truncated and the triplet term is unnecessary.

Common fluorescent probes

The fluorescent species used in FCS is typically a biomolecule of interest that has been tagged with a fluorophore (using immunohistochemistry for instance), or is a naked fluorophore that is used to probe some environment of interest (e.g. the cytoskeleton of a cell). The following table gives diffusion coefficients of some common fluorophores in water at room temperature, and their excitation wavelengths.

Fluorescent dye	D ($\times 10^{-10} \text{ m}^2 \text{ s}^{-1}$)	Excitation wavelength (nm)	Reference
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Rhodamine 6G	2.8, 3.0, 4.14 ± 0.05 @ 25.00 °C	514	[16] , [17] , [18]
Rhodamine 110	2.7	488	[19]
Tetramethyl rhodamine	2.6	543	
Cy3	2.8	543	
Cy5	2.5, 3.7 ± 0.15 @ 25.00 °C	633	[20] , [21]
carboxyfluorescein	3.2	488	
Alexa-488	1.96	488	[22]
Atto655-maleimide	4.07 ± 0.1 @ 25.00 °C	663	[23]
Atto655-carboxylicacid	4.26 ± 0.08 @ 25.00 °C	663	[24]
2□, 7□-difluorofluorescein (Oregon Green488)	4.11 ± 0.06 @ 25.00 °C	498	[25]

Variations of FCS

FCS almost always refers to the single point, single channel, temporal autocorrelation measurement, although the term "fluorescence correlation spectroscopy" out of its historical scientific context implies no such restriction. FCS has been extended in a number of variations by different researchers, with each extension generating another name (usually an acronym).

Fluorescence Cross-Correlation Spectroscopy (FCCS)

FCS is sometimes used to study molecular interactions using differences in diffusion times (e.g. the product of an association reaction will be larger and thus have larger diffusion times than the reactants individually); however, FCS is relatively insensitive to molecular mass as can be seen from the following equation relating molecular mass to the diffusion time of globular particles (e.g. proteins):

$$\tau_D = \frac{3\pi\omega_{xy}^2\eta}{2kT}(M)^{1/3}$$

where η is the viscosity of the sample and M is the molecular mass of the fluorescent species. In practice, the diffusion times need to be sufficiently different--a factor of at least **1.6**--which means the molecular masses must differ by a factor of **4**.^[26] Dual color fluorescence cross-correlation spectroscopy (FCCS) measures interactions by cross-correlating two or more fluorescent channels (one channel for each reactant), which distinguishes interactions more sensitively than FCS, particularly when the mass change in the reaction is small.

Two- and three- photon FCS excitation

Several advantages in both spatial resolution and minimizing photodamage/photobleaching in organic and/or biological samples are obtained by two-photon or three-photon excitation FCS^{[27] [28] [29] [30] [31]}.

FRET-FCS

Another FCS based approach to studying molecular interactions uses fluorescence resonance energy transfer (FRET) instead of fluorescence, and is called FRET-FCS.^[32] With FRET, there are two types of probes, as with FCCS; however, there is only one channel and light is only detected when the two probes are very close--close enough to ensure an interaction. The FRET signal is weaker than with fluorescence, but has the advantage that there is only signal during a reaction (aside from autofluorescence).

Image Correlation Spectroscopy (ICS)

When the motion is slow (in biology, for example, diffusion in a membrane), getting adequate statistics from a single-point FCS experiment may take a prohibitively long time. More data can be obtained by performing the experiment in multiple spatial points in parallel, using a laser scanning confocal microscope. This approach has been called Image Correlation Spectroscopy (ICS)^[33]. The measurements can then be averaged together.

Another variation of ICS performs a spatial autocorrelation on images, which gives information about the concentration of particles^[34]. The correlation is then averaged in time.

A natural extension of the temporal and spatial correlation versions is spatio-temporal ICS (STICS)^[35]. In STICS there is no explicit averaging in space or time (only the averaging inherent in correlation). In systems with non-isotropic motion (e.g. directed flow, asymmetric diffusion), STICS can extract the directional information. A variation that is closely related to STICS (by the Fourier transform) is k-space Image Correlation Spectroscopy (kICS).^[36]

There are cross-correlation versions of ICS as well.^[33]

Scanning FCS variations

Some variations of FCS are only applicable to serial scanning laser microscopes. Image Correlation Spectroscopy and its variations all were implemented on a scanning confocal or scanning two photon microscope, but transfer to other microscopes, like a spinning disk confocal microscope. Raster ICS (RICS)^[37], and position sensitive FCS (PSFCS)^[38] incorporate the time delay between parts of the image scan into the analysis. Also, low dimensional scans (e.g. a circular ring)^[39]--only possible on a scanning system--can access time scales between single point and full image measurements. Scanning path has also been made to adaptively follow particles.^[40]

Spinning disk FCS, and spatial mapping

Any of the image correlation spectroscopy methods can also be performed on a spinning disk confocal microscope, which in practice can obtain faster imaging speeds compared to a laser scanning confocal microscope. This approach has recently been applied to diffusion in a spatially varying complex environment, producing a pixel resolution map of diffusion coefficient.^[41] The spatial mapping of diffusion with FCS has subsequently been extended to TIRF system.^[42] Spatial mapping of dynamics using correlation techniques had been applied before, but only at sparse points^[43] or at coarse resolution^[35].

Total internal reflection FCS

Total internal reflection fluorescence (TIRF) is a microscopy approach that is only sensitive to a thin layer near the surface of a coverslip, which greatly minimizes background fluorescence. FCS has been extended to that type of microscope, and is called TIR-FCS^[44]. Because the fluorescence intensity in TIRF falls off exponentially with distance from the coverslip (instead of as a Gaussian with a confocal), the autocorrelation function is different.

Other fluorescent dynamical approaches

There are two main non-correlation alternatives to FCS that are widely used to study the dynamics of fluorescent species.

Fluorescence recovery after photobleaching (FRAP)

In FRAP, a region is briefly exposed to intense light, irrecoverably photobleaching fluorophores, and the fluorescence recovery due to diffusion of nearby (non-bleached) fluorophores is imaged. A primary advantage of FRAP over FCS is the ease of interpreting qualitative experiments common in cell biology. Differences between cell lines, or regions of a cell, or before and after application of drug, can often be characterized by simple inspection of movies. FCS experiments require a level of processing and are more sensitive to potentially confounding influences like: rotational diffusion, vibrations, photobleaching, dependence on illumination and fluorescence color, inadequate statistics, etc. It is much easier to change the measurement volume in FRAP, which allows greater control. In practice, the volumes are typically larger than in FCS. While FRAP experiments are typically more qualitative, some researchers are studying FRAP quantitatively and including binding dynamics.^[45] A disadvantage of FRAP in cell biology is the free radical perturbation of the cell caused by the photobleaching. It is also less versatile, as it cannot measure concentration or rotational diffusion, or co-localization. FRAP requires a significantly higher concentration of fluorophores than FCS.

Particle tracking

In particle tracking, the trajectories of a set of particles are measured, typically by applying particle tracking algorithms to movies.^[46] Particle tracking has the advantage that all the dynamical information is maintained in the measurement, unlike FCS where correlation averages the dynamics to a single smooth curve. The advantage is apparent in systems showing complex diffusion, where directly computing the mean squared displacement allows straightforward comparison to normal or power law diffusion. To apply particle tracking, the particles have to be distinguishable and thus at lower concentration than

required of FCS. Also, particle tracking is more sensitive to noise, which can sometimes affect the results unpredictably.

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See also

- Confocal microscopy
- Fluorescence cross-correlation spectroscopy
- FRET
- Dynamic light scattering
- Diffusion coefficient

External links

- Single-molecule spectroscopic methods (<http://dx.doi.org/10.1016/j.sbi.2004.09.004>)
- FCS Classroom (<http://www.fcsxpert.com/classroom>)

Fluorescence cross-correlation spectroscopy

Fluorescence cross-correlation spectroscopy (FCCS) was introduced by Eigen and Rigler in 1994 and experimentally realized by Schwille in 1997. It extends the fluorescence correlation spectroscopy (FCS) procedure by introducing high sensitivity for distinguishing fluorescent particles which have a similar diffusion coefficient. FCCS uses two species which are independently labelled with two spectrally separated fluorescent probes. These fluorescent probes are excited and detected by two different laser light sources and detectors commonly known as green and red respectively. Both laser light beams are focused into the sample and tuned so that they overlap to form a superimposed confocal observation volume.

The normalized cross-correlation function is defined for two fluorescent species G and R which are independent green, G and red, R channels as follows:

$$G_{GR}(\tau) = 1 + \frac{\langle \delta I_G(t) \delta I_R(t + \tau) \rangle}{\langle I_G(t) \rangle \langle I_R(t) \rangle} = \frac{\langle I_G(t) I_R(t + \tau) \rangle}{\langle I_G(t) \rangle \langle I_R(t) \rangle}$$

where differential fluorescent signals δI_G at a specific time, t and δI_R at a delay time, τ later is correlated with each other.

Modeling

Cross-correlation curves are modeled according to a slightly more complicated mathematical function than applied in FCS. First of all, the effective superimposed observation volume in which the G and R channels form a single observation volume, $V_{eff, RG}$ in the solution:

$$V_{eff, RG} = \pi^{3/2} (\omega_{xy, G}^2 + \omega_{xy, R}^2) (\omega_{z, G}^2 + \omega_{z, R}^2)^{1/2} / 2^{3/2}$$

where $\omega_{xy, G}^2$ and $\omega_{xy, R}^2$ are radial parameters and $\omega_{z, G}$ and $\omega_{z, R}$ are the axial parameters for the G and R channels respectively.

The diffusion time, $\tau_{D, GR}$ for a doubly (G and R) fluorescent species is therefore described as follows:

$$\tau_{D,GR} = \frac{\omega_{xy,G}^2 + \omega_{xy,R}^2}{8D_{GR}}$$

where D_{GR} is the diffusion coefficient of the doubly fluorescent particle.

The cross-correlation curve generated from diffusing doubly labelled fluorescent particles can be modelled in separate channels as follows:

$$G_G(\tau) = 1 + \frac{(\langle C_G \rangle Diff_k(\tau) + \langle C_{GR} \rangle Diff_k(\tau))}{V_{eff,GR}(\langle C_G \rangle + \langle C_{GR} \rangle)^2}$$

$$G_R(\tau) = 1 + \frac{(\langle C_R \rangle Diff_k(\tau) + \langle C_{GR} \rangle Diff_k(\tau))}{V_{eff,GR}(\langle C_R \rangle + \langle C_{GR} \rangle)^2}$$

In the ideal case, the cross-correlation function is proportional to the concentration of the doubly labeled fluorescent complex:

$$G_{GR}(\tau) = 1 + \frac{\langle C_{GR} \rangle Diff_{GR}(\tau)}{V_{eff}(\langle C_G \rangle + \langle C_{GR} \rangle)(\langle C_R \rangle + \langle C_{GR} \rangle)}$$

$$\text{with } Diff_k(\tau) = \frac{1}{(1 + \frac{\tau}{\tau_{D,i}})(1 + a^{-2}(\frac{\tau}{\tau_{D,i}})^{1/2})}$$

Contrary to FCS, the intercept of the cross-correlation curve does not yield information about the doubly labelled fluorescent particles in solution.

See also

- Fluorescence correlation spectroscopy
- Dynamic light scattering
- Fluorescence spectroscopy
- Diffusion coefficient

External links

- FCS Classroom ^[1]

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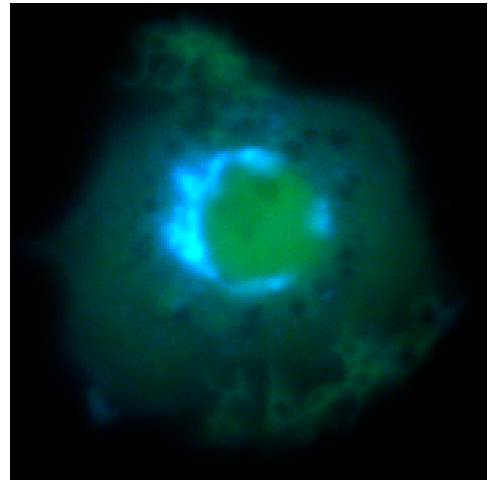
- [1] <http://www.fcsxpert.com/classroom>

Fluorescence resonance energy transfer

Förster resonance energy transfer (abbreviated **FRET**), also known as **fluorescence resonance energy transfer**, **resonance energy transfer** (**RET**) or **electronic energy transfer** (**EET**), is a mechanism describing energy transfer between two chromophores.

A donor chromophore, initially in its electronic excited state, may transfer energy to an acceptor chromophore (in close proximity, typically <10 nm) through nonradiative dipole-dipole coupling. This mechanism is termed "Förster resonance energy transfer" and is named after the German scientist Theodor Förster.^[2] When both chromophores are fluorescent, the term "fluorescence resonance energy transfer" is often used instead, although the energy is not actually transferred by fluorescence.^[3]^[4] In order to avoid an erroneous interpretation of the phenomenon that (even when occurring

between two fluorescent chromophores) is always a nonradiative transfer of energy, the name "Förster resonance energy transfer" is preferred to "fluorescence resonance energy transfer" - although the latter enjoys common usage in scientific literature. FRET is analogous to Near Field Communication, in that the radius of interaction is much smaller than the wavelength of light emitted. In the near field region, the excited chromophore emits a virtual photon that is instantly absorbed by a receiving chromophore. These virtual photons are undetectable, since their existence violates the conservation of energy and momentum, and hence FRET is known as a radiationless mechanism. From quantum electrodynamical calculations, it is determined that radiationless (FRET) and radiative energy transfer are the short- and long-range asymptotes of a single unified mechanism.^[5]^[6]



Fluorescently-labeled guanosine 5'-triphosphate hydrolase ARF reveals the protein's localization in the Golgi apparatus of a living macrophage. FRET studies revealed ARF activation in the Golgi and in the formation of phagosomes.^[1]

Theoretical basis

The FRET efficiency (E) is the quantum yield of the energy transfer transition, *i.e.* the fraction of energy transfer event occurring per donor excitation event:

$$E = \frac{k_{ET}}{k_f + k_{ET} + \sum k_i}$$

where k_{ET} is the rate of energy transfer, k_f the radiative decay rate and the k_i are the rate constants of any other de-excitation pathway.

The FRET efficiency depends on many parameters that can be grouped as follows:

- The distance between the donor and the acceptor

- The spectral overlap of the donor emission spectrum and the acceptor absorption spectrum.
- The relative orientation of the donor emission dipole moment and the acceptor absorption dipole moment.

E depends on the donor-to-acceptor separation distance r with an inverse 6th power law due to the dipole-dipole coupling mechanism:

$$E = \frac{1}{1 + (r/R_0)^6}$$

with R_0 being the Förster distance of this pair of donor and acceptor i.e. the distance at which the energy transfer efficiency is 50%. The Förster distance depends on the overlap integral of the donor emission spectrum with the acceptor absorption spectrum and their mutual molecular orientation as expressed by the following equation:

$$R_0^6 = \frac{9 Q_0 (\ln 10) \kappa^2 J}{128 \pi^5 n^4 N_A}$$

where Q_0 is the fluorescence quantum yield of the donor in the absence of the acceptor, κ^2 is the dipole orientation factor, n is the refractive index of the medium, N_A is Avogadro's number, and J is the spectral overlap integral calculated as

$$J = \int f_D(\lambda) \epsilon_A(\lambda) \lambda^4 d\lambda$$

where f_D is the normalized donor emission spectrum, and ϵ_A is the acceptor molar extinction coefficient. $\kappa^2 = 2/3$ is often assumed. This value is obtained when both dyes are freely rotating and can be considered to be isotropically oriented during the excited state lifetime. If either dye is fixed or not free to rotate, then $\kappa^2 = 2/3$ will not be a valid assumption. In most cases, however, even modest reorientation of the dyes results in enough orientational averaging that $\kappa^2 = 2/3$ does not result in a large error in the estimated energy transfer distance due to the sixth power dependence of R_0 on κ^2 . Even when κ^2 is quite different from $2/3$ the error can be associated with a shift in R_0 and thus determinations of changes in relative distance for a particular system are still valid. Fluorescent proteins do not reorient on a timescale that is faster than their fluorescence lifetime. In this case $0 \leq \kappa^2 \leq 4$.

The FRET efficiency relates to the quantum yield and the fluorescence lifetime of the donor molecule as follows:

$$E = 1 - \tau'_D / \tau_D$$

where τ'_D and τ_D are the donor fluorescence lifetimes in the presence and absence of an acceptor, respectively, or as

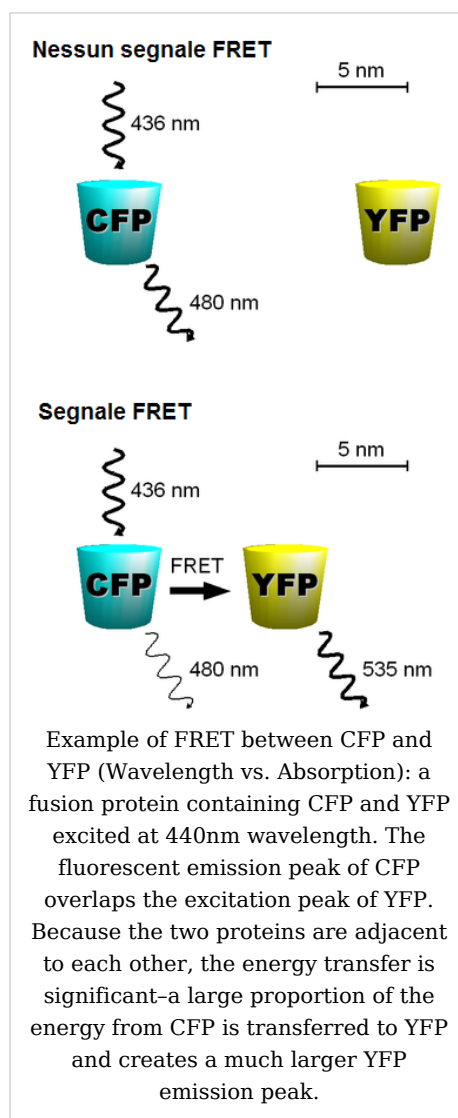
$$E = 1 - F'_D / F_D$$

where F'_D and F_D are the donor fluorescence intensities with and without an acceptor, respectively.

Methods

In fluorescence microscopy, fluorescence confocal laser scanning microscopy, as well as in molecular biology, FRET is a useful tool to quantify molecular dynamics in biophysics and biochemistry, such as protein-protein interactions, protein-DNA interactions, and protein conformational changes. For monitoring the complex formation between two molecules, one of them is labeled with a donor and the other with an acceptor, and these fluorophore-labeled molecules are mixed. When they are dissociated, the donor emission is detected upon the donor excitation. On the other hand, when the donor and acceptor are in proximity (1-10 nm) due to the interaction of the two molecules, the acceptor emission is predominantly observed because of the intermolecular FRET from the donor to the acceptor. For monitoring protein conformational changes, the target protein is labeled with a donor and an acceptor at two loci. When a twist or bend of the protein brings the change in the distance or relative orientation of the donor and acceptor, FRET change is observed. If a molecular interaction or a protein conformational change is dependent on ligand binding, this FRET technique is applicable to fluorescent indicators for the ligand detection.

FRET studies are scalable: the extent of energy transfer is often quantified from the milliliter scale of cuvette-based experiments to the femtoliter scale of microscopy-based experiments. This quantification can be based directly (sensitized emission method) on detecting two emission channels under two different excitation conditions (primarily donor and primarily acceptor). However, for robustness reasons, FRET quantification is most often based on measuring changes in fluorescence intensity or fluorescence lifetime upon changing the experimental conditions (e.g. a microscope image of donor emission is taken with the acceptor being present. The acceptor is then bleached, such that it is incapable of accepting energy transfer and another donor emission image is acquired. A pixel-based quantification using the second equation in the theory section above is then possible.) An alternative way of temporarily deactivating the acceptor is based on its fluorescence saturation. Exploiting polarisation characteristics of light, a FRET quantification is also possible with only a single camera exposure.



CFP-YFP pairs

The most popular FRET pair for biological use is a cyan fluorescent protein (**CFP**)-yellow fluorescent protein (**YFP**) pair. Both are color variants of green fluorescent protein (GFP). While labeling with organic fluorescent dyes requires troublesome processes of purification, chemical modification, and intracellular injection of a host protein, GFP variants can be easily attached to a host protein by genetic engineering. By virtue of GFP variants, the use of FRET techniques for biological research is becoming more and more popular.

BRET

A limitation of FRET is the requirement for external illumination to initiate the fluorescence transfer, which can lead to background noise in the results from direct excitation of the acceptor or to photobleaching. To avoid this drawback, Bioluminescence Resonance Energy Transfer (or **BRET**) has been developed. This technique uses a bioluminescent luciferase (typically the luciferase from *Renilla reniformis*) rather than CFP to produce an initial photon emission compatible with YFP.

FRET and BRET are also the common tools in the study of biochemical reaction kinetics and molecular motors.

Photobleaching FRET

FRET efficiencies can also be inferred from the photobleaching rates of the donor in the presence and absence of an acceptor. This method can be performed on most fluorescence microscopes; one simply shines the excitation light (of a frequency that will excite the donor but not the acceptor significantly) on specimens with and without the acceptor fluorophore and monitors the donor fluorescence (typically separated from acceptor fluorescence using a bandpass filter) over time. The timescale is that of photobleaching, which is seconds to minutes, with fluorescence in each curve being given by

$$(\text{background}) + (\text{constant}) * e^{-(\text{time})/\tau_{pb}}$$

where τ_{pb} is the photobleaching decay time constant and depends on whether the acceptor is present or not. Since photobleaching consists in the permanent inactivation of excited fluorophores, resonance energy transfer from an excited donor to an acceptor fluorophore prevents the photobleaching of that donor fluorophore, and thus high FRET efficiency leads to a longer photobleaching decay time constant:

$$E = 1 - \tau_{pb}'/\tau_{pb}$$

where τ_{pb}' and τ_{pb} are the photobleaching decay time constants of the donor in the presence and in the absence of the acceptor, respectively. (Notice that the fraction is the reciprocal of that used for lifetime measurements).

This technique was introduced by Jovin in 1989.^[7] Its use of an entire curve of points to extract the time constants can give it accuracy advantages over the other methods. Also, the fact that time measurements are over seconds rather than nanoseconds makes it easier than fluorescence lifetime measurements, and because photobleaching decay rates do not generally depend on donor concentration (unless acceptor saturation is an issue), the careful control of concentrations needed for intensity measurements is not needed. It is, however, important to keep the illumination the same for the with- and without-acceptor measurements, as photobleaching increases markedly with more intense incident light.

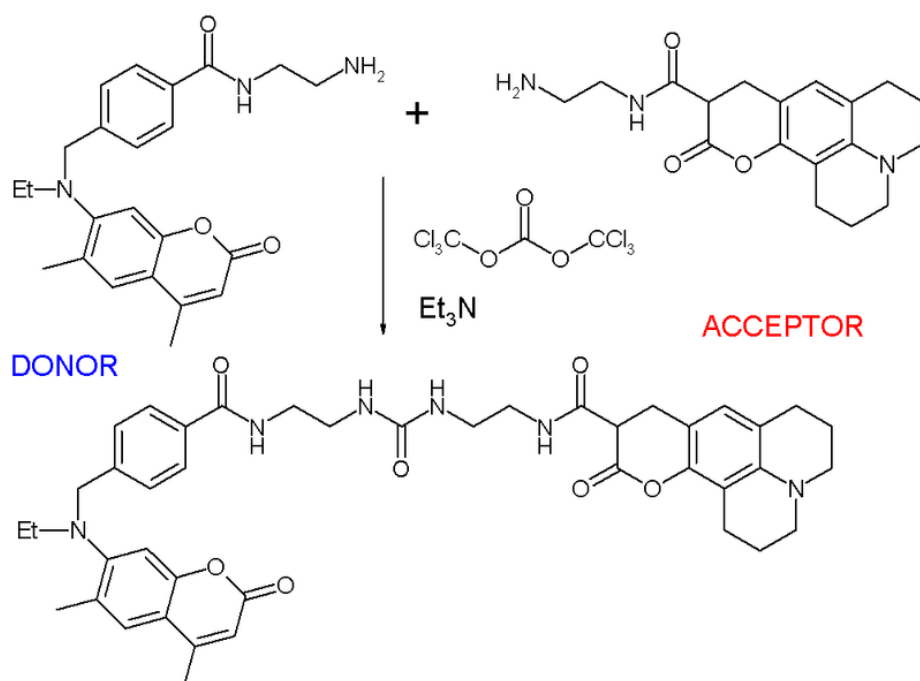
Other methods

A different, but related, mechanism is Dexter Electron Transfer.

An alternative method to detecting protein-protein proximity is BiFC where two halves of a YFP are fused to a protein (Hu, Kerppola et al. 2002). When these two halves meet they form a fluorophore after about 60 s - 1 hr.

Applications

FRET has been applied in an experimental method for the detection of phosgene. In it, phosgene or rather triphosgene as a safe substitute serves as a linker between an acceptor and a donor coumarine (forming urea groups).^[8] The presence of phosgene is detected at $5 \times 10^{-5} \text{ M}$ with a typical FRET emission at 464 nm.



MISTAKE: The chromophore on the right must be also coumarine (double bond is missing)

FRET is also used to study lipid rafts in cell membranes.^[9]

External links

- Browser-based calculator to find the critical distance and FRET efficiency with known spectral overlap ^[10]
- FCS^{[11] [12] [13] [14] [15]} .

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Fluorescence microscope

A **fluorescence microscope** (colloquially synonymous with *epifluorescent microscope*) is a light microscope used to study properties of organic or inorganic substances using the phenomena of fluorescence and phosphorescence instead of, or in addition to, reflection and absorption.^{[1] [2]}



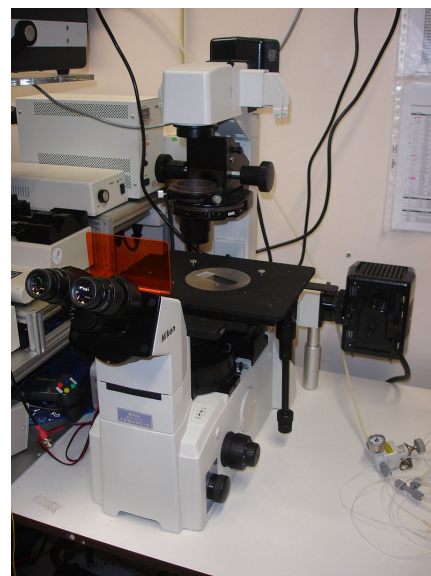
A fluorescent microscope (Olympus BX61), coupled with a digital camera.

Technique

In most cases, a component of interest in the specimen is specifically labeled with a fluorescent molecule called a fluorophore (such as green fluorescent protein (GFP), fluorescein or DyLight 488).^[1] The specimen is illuminated with light of a specific wavelength (or wavelengths) which is absorbed by the fluorophores, causing them to emit longer wavelengths of light (of a different color than the absorbed light). The illumination light is separated from the much weaker emitted fluorescence through the use of an emission filter. Typical components of a fluorescence microscope are the light source (xenon arc lamp or mercury-vapor lamp), the excitation filter, the dichroic mirror (or dichromatic beamsplitter), and the emission filter (see figure below). The filters and the dichroic are chosen to match the spectral excitation and emission characteristics of the fluorophore used to label the specimen.^[1] In this manner, a single fluorophore (color) is imaged at a time. Multi-color images of several fluorophores must be composed by combining several single-color images.^[1]

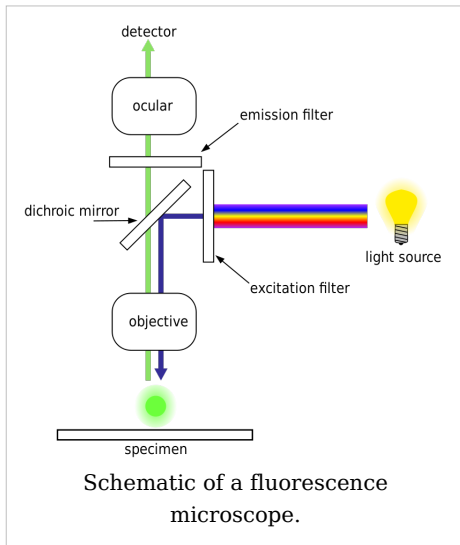
Most fluorescence microscopes in use are epifluorescence microscopes (i.e. excitation and observation of the fluorescence are from above (*epi*-) the specimen). These microscopes have become an important part in the field of biology, opening the doors for more advanced microscope designs, such as the confocal laser scanning microscope and the total internal reflection fluorescence microscope (TIRF). The Vertico SMI combining localisation microscopy with spatially modulated illumination uses standard fluorescence dyes and reaches an optical resolution below 10 nanometers (1 nanometer = 1 nm = 1×10^{-9} m).

Fluorophores lose their ability to fluoresce as they are illuminated in a process called photobleaching. Special care must be taken to prevent photobleaching through the use of more robust fluorophores, by minimizing illumination, or by introducing a scavenger system to reduce the rate of photobleaching.



An inverted fluorescent microscope (Nikon TE2000). Note the orange plate that allows the user to look at the sample while protecting his eyes from the excitation UV light.

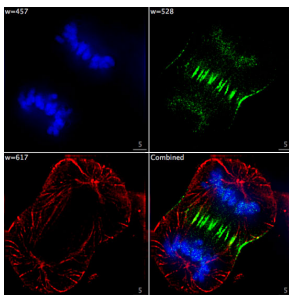
Epifluorescence microscopy



Epifluorescence microscopy is a method of fluorescence microscopy that is widely used in life sciences. The excitatory light is passed from above (or, for inverted microscopes, from below), through the objective and then onto the specimen instead of passing it first through the specimen. (In the latter case the transmitted excitatory light reaches the objective together with light emitted from the specimen). The fluorescence in the specimen gives rise to emitted light which is focused to the detector by the same objective that is used for the excitation. A filter between the objective and the detector filters out the excitation light from fluorescent light. Since most of the excitatory light is transmitted through the specimen, only reflected excitatory light reaches the objective together with the

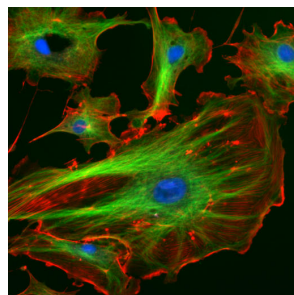
emitted light and this method therefore gives an improved signal to noise ratio. A common use in biology is to apply fluorescent or fluorochrome stains to the specimen in order to image a protein or other molecule of interest.

Gallery

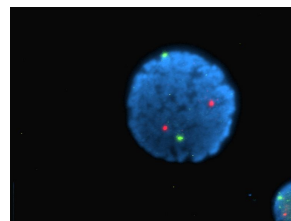


Epifluorescent imaging of the three components in a dividing human cancer cell. DNA is stained blue, a protein called INCENP is green, and the microtubules are red.

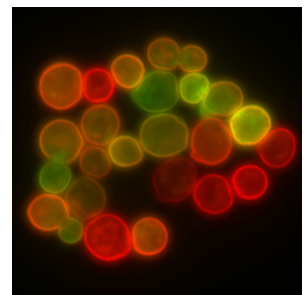
Each fluorophore is imaged separately using a different combination of excitation and emission filters, and the images are captured sequentially using a digital CCD camera, then overlaid to give a complete image.



Endothelial cells under the microscope. Nuclei are stained blue with DAPI, microtubules are marked green by an antibody bound to FITC and actin filaments are labelled red with phalloidin bound to TRITC. Bovine pulmonary artery endothelial (BPAE) cells



human lymphocyte nucleus stained with DAPI with chromosome 13 (green) and 21 (red) centromere probes hybridized (Fluorescent in situ hybridization (FISH))



Yeast cell membrane visualized by some membrane proteins fused with RFP and GFP fluorescent markers. Imposition of light from both of markers results in yellow colour.

See also

- Microscope
- Mercury-vapor lamp
- Xenon arc lamp
- Stokes shift

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Further reading

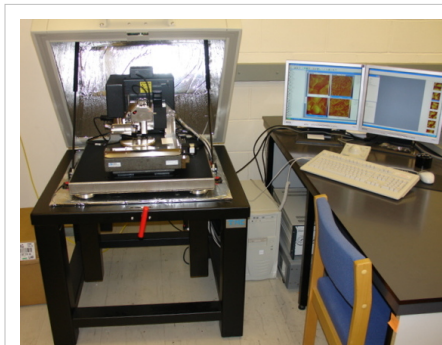
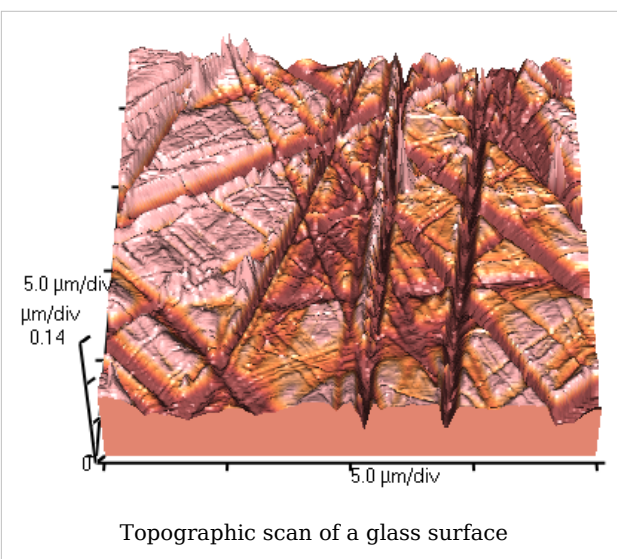
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External links

- WikiScope (<http://wikiscope.org>)
 - Fluorophores.org (<http://www.fluorophores.org>) - Database of fluorescent dyes.
-

Atomic force microscopy (AFM)

The **atomic force microscope** (AFM) or scanning force microscope (SFM) is a very high-resolution type of scanning probe microscopy, with demonstrated resolution of fractions of a nanometer, more than 1000 times better than the optical diffraction limit. The precursor to the AFM, the scanning tunneling microscope, was developed by Gerd Binnig and Heinrich Rohrer in the early 1980s, a development that earned them the Nobel Prize for Physics in 1986. Binnig, Quate and Gerber invented the first AFM in 1986. The AFM is one of the foremost tools for imaging, measuring and manipulating matter at the nanoscale. The information is gathered by "feeling" the surface with a mechanical probe. Piezoelectric elements that facilitate tiny but accurate and precise movements on (electronic) command enable the very precise scanning.



Microscope AFM

Basic principle

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- Implications
- Applications
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- List of topics

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- Fullerene
- Carbon Nanotubes
- Nanoparticles

Nanomedicine

- Nanotoxicology
- Nanosensor

Molecular self-assembly

Self-assembled monolayer
Supramolecular assembly
DNA nanotechnology

Nanoelectronics

Molecular electronics
Nanolithography

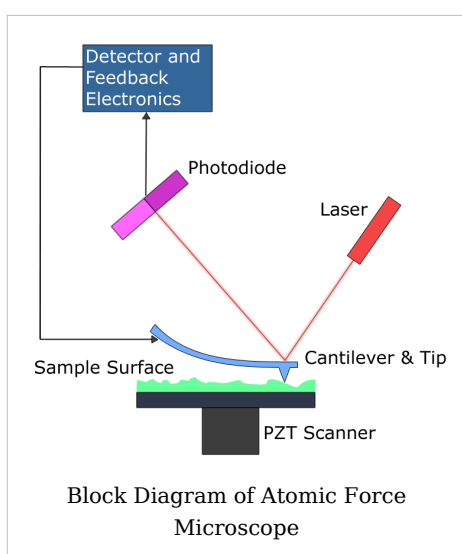
Scanning probe microscopy

Atomic force microscope
Scanning tunneling microscope

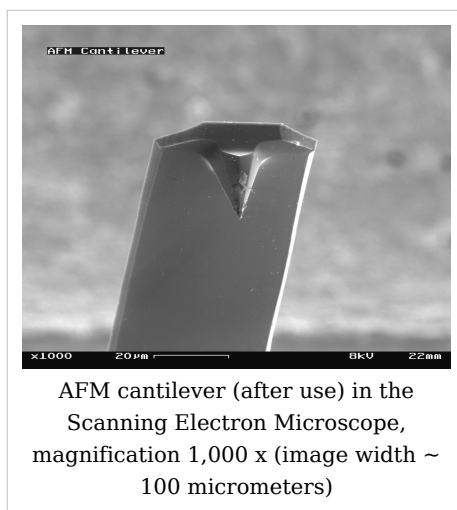
Molecular nanotechnology

Molecular assembler
Nanorobotics
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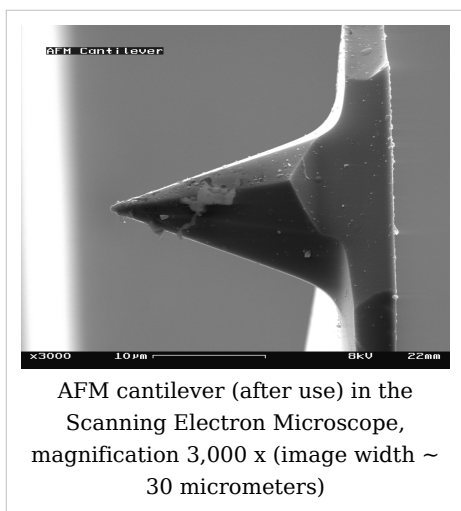
Nanotechnology Portal



The AFM consists of a microscale cantilever with a sharp tip (probe) at its end that is used to scan the specimen surface. The cantilever is typically silicon or silicon nitride with a tip radius of curvature on the order of nanometers. When the tip is brought into proximity of a sample surface, forces between the tip and the sample lead to a deflection of the cantilever according to Hooke's law. Depending on the situation, forces that are measured in AFM include mechanical contact force, Van der Waals forces, capillary forces, chemical bonding, electrostatic forces, magnetic forces (see Magnetic force microscope (MFM)), Casimir forces, solvation forces etc. As well as force, additional quantities may simultaneously be measured through the use of specialised types of probe (see Scanning thermal microscopy, photothermal microspectroscopy, etc.). Typically, the deflection is measured using a laser spot reflected from the top surface of the cantilever into an array of photodiodes. Other methods that are used include optical interferometry, capacitive sensing or piezoresistive AFM cantilevers. These cantilevers are fabricated with piezoresistive elements that act as a strain gauge. Using a Wheatstone bridge, strain in the AFM cantilever due to deflection can be measured, but this method is not as sensitive as laser deflection or interferometry.



If the tip was scanned at a constant height, a risk would exist that the tip collides with the surface, causing damage. Hence, in most cases a feedback mechanism is employed to adjust the tip-to-sample distance to maintain a constant force between the tip and the



sample. Traditionally, the sample is mounted on a piezoelectric tube, that can move the sample in the z direction for maintaining a constant force, and the x and y directions for scanning the sample. Alternatively a 'tripod' configuration of three piezo crystals may be employed, with each responsible for scanning in the x, y and z directions. This eliminates some of the distortion effects seen with a tube scanner. In newer designs, the tip is mounted on a vertical piezo scanner while the sample is being scanned in X and Y using another piezo block. The resulting map of the area $s = f(x, y)$ represents the topography of the sample.

The AFM can be operated in a number of modes, depending on the application. In general, possible imaging modes are divided into static (also called Contact) modes and a variety of dynamic (or non-contact) modes where the cantilever is vibrated.

Imaging modes

The primary modes of operation are static (contact) mode and dynamic mode. In the static mode operation, the static tip deflection is used as a feedback signal. Because the measurement of a static signal is prone to noise and drift, low stiffness cantilevers are used to boost the deflection signal. However, close to the surface of the sample, attractive forces can be quite strong, causing the tip to 'snap-in' to the surface. Thus static mode AFM is almost always done in contact where the overall force is repulsive. Consequently, this technique is typically called 'contact mode'. In contact mode, the force between the tip and the surface is kept constant during scanning by maintaining a constant deflection.

In the dynamic mode, the cantilever is externally oscillated at or close to its fundamental resonance frequency or a harmonic. The oscillation amplitude, phase and resonance frequency are modified by tip-sample interaction forces; these changes in oscillation with respect to the external reference oscillation provide information about the sample's characteristics. Schemes for dynamic mode operation include frequency modulation and the more common amplitude modulation. In frequency modulation, changes in the oscillation frequency provide information about tip-sample interactions. Frequency can be measured with very high sensitivity and thus the frequency modulation mode allows for the use of very stiff cantilevers. Stiff cantilevers provide stability very close to the surface and, as a result, this technique was the first AFM technique to provide true atomic resolution in ultra-high vacuum conditions (Giessibl).

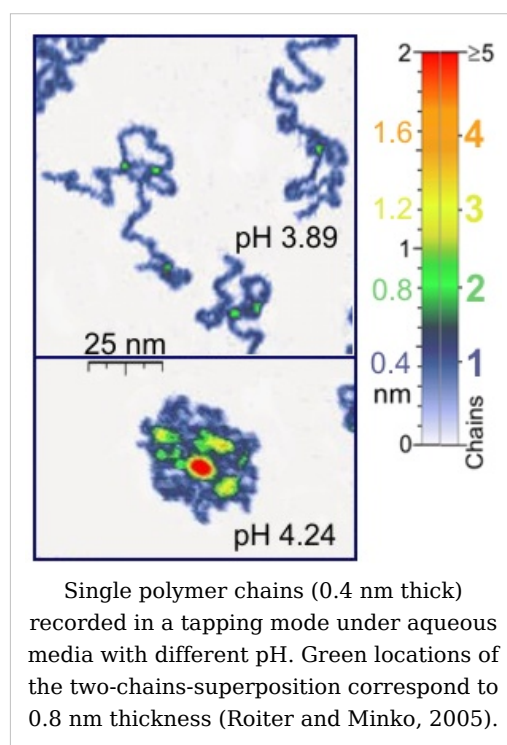
In amplitude modulation, changes in the oscillation amplitude or phase provide the feedback signal for imaging. In amplitude modulation, changes in the phase of oscillation can be used to discriminate between different types of materials on the surface. Amplitude modulation can be operated either in the non-contact or in the intermittent contact regime. In ambient conditions, most samples develop a liquid meniscus layer. Because of this, keeping the probe tip close enough to the sample for short-range forces to become detectable while preventing the tip from sticking to the surface presents a major hurdle for the non-contact dynamic mode in ambient conditions. Dynamic contact mode (also called

intermittent contact or tapping mode) was developed to bypass this problem (Zhong et al.). In dynamic contact mode, the cantilever is oscillated such that the separation distance between the cantilever tip and the sample surface is modulated.

Amplitude modulation has also been used in the non-contact regime to image with atomic resolution by using very stiff cantilevers and small amplitudes in an ultra-high vacuum environment.

Tapping Mode

In *tapping mode* the cantilever is driven to oscillate up and down at near its resonance frequency by a small piezoelectric element mounted in the AFM tip holder. The amplitude of this oscillation is greater than 10 nm, typically 100 to 200 nm. Due to the interaction of forces acting on the cantilever when the tip comes close to the surface, Van der Waals force or dipole-dipole interaction, electrostatic forces, etc cause the amplitude of this oscillation to decrease as the tip gets closer to the sample. An electronic servo uses the piezoelectric actuator to control the height of the cantilever above the sample. The servo adjusts the height to maintain a set cantilever oscillation amplitude as the cantilever is scanned over the sample. A *Tapping AFM* image is therefore produced by imaging the force of the oscillating contacts of the tip with the sample surface. This is an improvement on conventional contact AFM, in which the cantilever just drags across the surface at constant force and can result in surface damage. Tapping mode is gentle enough even for the visualization of supported lipid bilayers or adsorbed single polymer molecules (for instance, 0.4 nm thick chains of synthetic polyelectrolytes) under liquid medium. At the application of proper scanning parameters, the conformation of single molecules remains unchanged for hours (Roiter and Minko, 2005).



Non-Contact Mode

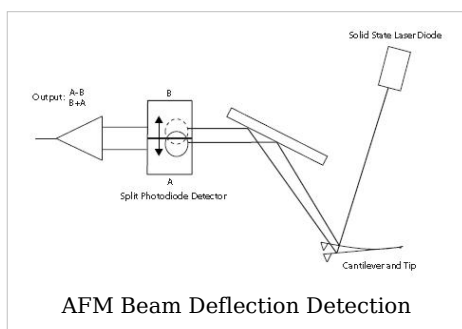
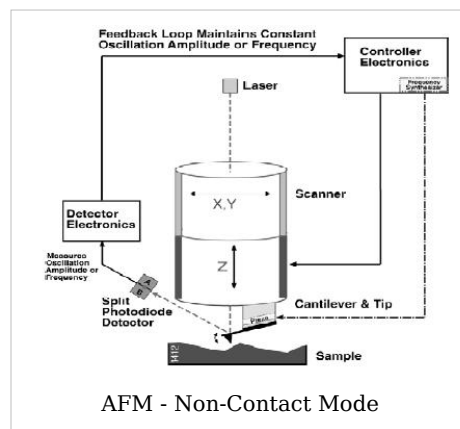
Here the tip of the cantilever does not contact the sample surface. The cantilever is instead oscillated at a frequency slightly above its resonance frequency where the amplitude of oscillation is typically a few nanometers (<10nm). The van der Waals forces, which are strongest from 1nm to 10nm above the surface, or any other long range force which extends above the surface acts to decrease the resonance frequency of the cantilever. This decrease in resonance frequency combined with the feedback loop system maintains a constant oscillation amplitude or frequency by adjusting the average tip-to-sample distance. Measuring the tip-to-sample distance at each (x,y) data point allows the scanning software to construct a topographic image of the sample surface.

Non-contact mode AFM does not suffer from tip or sample degradation effects that are sometimes observed after taking numerous scans with contact AFM. This makes

non-contact AFM preferable to contact AFM for measuring soft samples. In the case of rigid samples, contact and non-contact images may look the same. However, if a few monolayers of adsorbed fluid are lying on the surface of a rigid sample, the images may look quite different. An AFM operating in contact mode will penetrate the liquid layer to image the underlying surface, whereas in non-contact mode an AFM will oscillates above the adsorbed fluid layer to image both the liquid and surface.

AFM -Beam Deflection Detection

Laser light from a solid state diode is reflected off the back of the cantilever and collected by a position sensitive detector (PSD) consisting of two closely spaced photodiodes whose output signal is collected by a differential amplifier. Angular displacement of cantilever results in one photodiode collecting more light than the other photodiode, producing an output signal (the difference between the photodiode signals normalized by their sum) which is proportional to the deflection of the cantilever. It detects cantilever deflections $<1\text{\AA}$ (thermal noise limited). A long beam path (several cm) amplifies changes in beam angle.



Force spectroscopy

Another major application of AFM (besides imaging) is force spectroscopy, the measurement of force-distance curves. For this method, the AFM tip is extended towards and retracted from the surface as the static deflection of the cantilever is monitored as a function of piezoelectric displacement. These measurements have been used to measure nanoscale contacts, atomic bonding, Van der Waals forces, and Casimir forces,

dissolution forces in liquids and single molecule stretching and rupture forces (Hinterdorfer & Dufrêne). Forces of the order of a few pico-Newton can now be routinely measured with a vertical distance resolution of better than 0.1 nanometer.

Problems with the technique include no direct measurement of the tip-sample separation and the common need for low stiffness cantilevers which tend to 'snap' to the surface. The snap-in can be reduced by measuring in liquids or by using stiffer cantilevers, but in the latter case a more sensitive deflection sensor is needed. By applying a small dither to the tip, the stiffness (force gradient) of the bond can be measured as well (Hoffmann et al.).

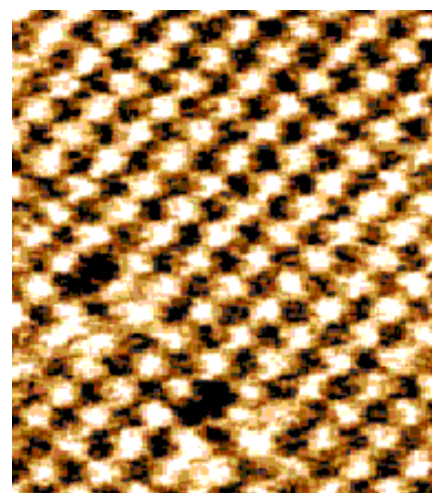
Identification of individual surface atoms

The AFM can be used to image and manipulate atoms and structures on a variety of surfaces. The atom at the apex of the tip "senses" individual atoms on the underlying surface when it forms incipient chemical bonds with each atom. Because these chemical interactions subtly alter the tip's vibration frequency, they can be detected and mapped.

Physicist Oscar Custance (Osaka University, Graduate School of Engineering, Osaka, Japan) and his team used this principle to distinguish between atoms of silicon, tin and lead on an alloy surface (*Nature* 2007, 446, 64).

The trick is to first measure these forces precisely for each type of atom expected in the sample. The team found that the tip interacted most strongly with silicon atoms, and interacted 23% and 41% less strongly with tin and lead atoms, respectively. Thus, each different type of atom can be identified in the matrix as the tip is moved across the surface.

Such a technique has been used now in biology and extended recently to cell biology. Forces corresponding to (i) the unbinding of receptor ligand couples (ii) unfolding of proteins (iii) cell adhesion at single cell scale have been gathered.

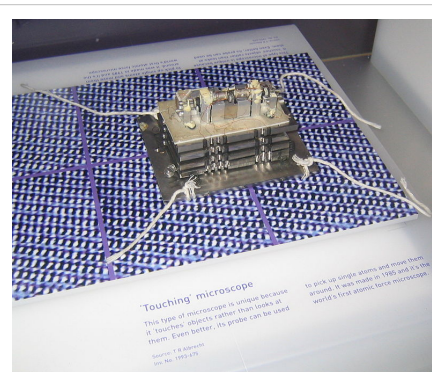


The atoms of a Sodium Chloride crystal viewed with an Atomic Force Microscope

Advantages and disadvantages

The AFM has several advantages over the scanning electron microscope (SEM). Unlike the electron microscope which provides a two-dimensional projection or a two-dimensional image of a sample, the AFM provides a true three-dimensional surface profile. Additionally, samples viewed by AFM do not require any special treatments (such as metal/carbon coatings) that would irreversibly change or damage the sample. While an electron microscope needs an expensive vacuum environment for proper operation, most AFM modes can work perfectly well in ambient air or even a liquid environment. This makes it possible to study biological macromolecules and even living organisms. In principle, AFM can provide higher resolution than SEM. It has been shown to give true atomic resolution in ultra-high vacuum (UHV) and, more recently, in liquid environments. High resolution AFM is comparable in resolution to Scanning Tunneling Microscopy and Transmission Electron Microscopy.

A disadvantage of AFM compared with the scanning electron microscope (SEM) is the image size. The SEM can image an area on the order of millimetres by millimetres with a depth of field on the order of millimetres. The AFM can only image a maximum height on the order of micrometres and a maximum scanning area of around 150 by 150 micrometres.



The first Atomic Force Microscope

Another inconvenience is that an incorrect choice of tip for the required resolution can lead to image artifacts. Traditionally the AFM could not scan images as fast as an SEM, requiring several minutes for a typical scan, while a SEM is capable of scanning at near real-time (although at relatively low quality) after the chamber is evacuated. The relatively slow rate of scanning during AFM imaging often leads to thermal drift in the image (Lapshin, 2004, 2007), making the AFM microscope less suited for measuring accurate distances between artifacts on the image. However, several fast-acting designs were suggested to increase microscope scanning productivity (Lapshin and Obyedkov, 1993) including what is being termed videoAFM (reasonable quality images are being obtained with videoAFM at video rate - faster than the average SEM). To eliminate image distortions induced by thermodrift, several methods were also proposed (Lapshin, 2004, 2007).

AFM images can also be affected by hysteresis of the piezoelectric material (Lapshin, 1995) and cross-talk between the (x,y,z) axes that may require software enhancement and filtering. Such filtering could "flatten" out real topographical features. However, newer AFM use real-time correction software (for example, feature-oriented scanning, Lapshin, 2004, 2007) or closed-loop scanners which practically eliminate these problems. Some AFM also use separated orthogonal scanners (as opposed to a single tube) which also serve to eliminate cross-talk problems.

Due to the nature of AFM probes, they cannot normally measure steep walls or overhangs. Specially made cantilevers can be modulated sideways as well as up and down (as with dynamic contact and non-contact modes) to measure sidewalls, at the cost of more expensive cantilevers and additional artifacts.

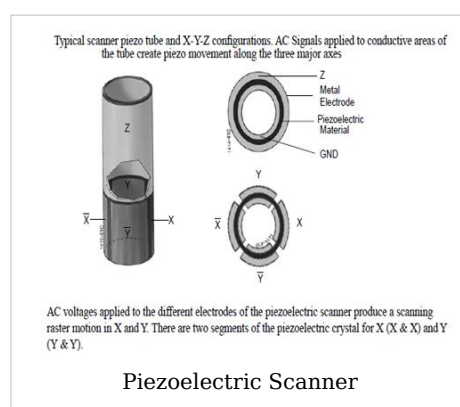
Piezoelectric Scanners

AFM scanners are made from piezoelectric material, which expands and contracts proportionally to an applied voltage. Whether they elongate or contract depends upon the polarity of the voltage applied. The scanner is constructed by combining independently operated piezo electrodes for X, Y, & Z into a single tube, forming a scanner which can manipulate samples and probes with extreme precision in 3 dimensions.

Scanners are characterized by their sensitivity which is the ratio of piezo movement to piezo voltage, i.e. by how much the piezo material extends or contracts per applied volt. Because of differences in material or size, the sensitivity varies from scanner to scanner.

Sensitivity varies non-linearly with respect to scan size. Piezo scanners exhibit more sensitivity at the end than at the beginning of a scan. This causes the forward and reverse scans to behave differently and display hysteresis between the two scan directions. This can be corrected by applying a non-linear voltage to the piezo electrodes to cause linear scanner movement and calibrating the scanner accordingly.

The sensitivity of piezoelectric materials decreases exponentially with time. This causes most of the change in sensitivity to occur in the initial stages of the scanner's life. Piezoelectric scanners are run for approximately 48 hours before they are shipped from the factory so that they are past the point where we can expect large changes in sensitivity. As the scanner ages, the sensitivity will change less with time and the scanner would seldom



require recalibration.

See also

- Interfacial force microscope
- Friction force microscope
- Scanning tunneling microscope
- Scanning probe microscopy
- Scanning voltage microscopy

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 - [6] <http://www.nanoworld.org/homepages/lapshin/publications.htm#automatic2007>
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Scanning probe microscopy (SPM)

Part of a series of articles on
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Scanning Probe Microscopy (SPM) is a branch of microscopy that forms images of surfaces using a physical probe that scans the specimen. An image of the surface is obtained by mechanically moving the probe in a raster scan of the specimen, line by line, and recording the probe-surface interaction as a function of position. SPM was founded with the invention of the scanning tunneling microscope in 1981.

Many scanning probe microscopes can image several interactions simultaneously. The manner of using these interactions to obtain an image is generally called a mode.

The resolution varies somewhat from technique to technique, but some probe techniques reach a rather impressive atomic resolution. They owe this largely to the ability of piezoelectric actuators to execute motions with a precision and accuracy at the atomic level or better on electronic command. One could rightly call this family of technique 'piezoelectric techniques'. The other common denominator is that the data are typically obtained as a two-dimensional grid of data points, visualized in false color as a computer image.

Established types of scanning probe microscopy

- AFM, atomic force microscopy
 - Contact AFM
 - Non-contact AFM
 - Dynamic contact AFM
 - Tapping AFM
- BEEM, ballistic electron emission microscopy
- EFM, electrostatic force microscope
- ESTM electrochemical scanning tunneling microscope
- FMM, force modulation microscopy
- KPFM, kelvin probe force microscopy
- MFM, magnetic force microscopy
- MRFM, magnetic resonance force microscopy
- NSOM, near-field scanning optical microscopy (or SNOM, scanning near-field optical microscopy)
- PFM, Piezo Force Microscopy
- PSTM, photon scanning tunneling microscopy
- PTMS, photothermal microspectroscopy/microscopy
- SAP, scanning atom probe^[1]
- SECM, scanning electrochemical microscopy
- SCM, scanning capacitance microscopy
- SGM, scanning gate microscopy
- SICM, scanning ion-conductance microscopy
- SPSM spin polarized scanning tunneling microscopy
- SThM, scanning thermal microscopy[2]
- STM, scanning tunneling microscopy
- SVM, scanning voltage microscopy
- SHPM, scanning Hall probe microscopy

Of these techniques AFM and STM are the most commonly used followed by MFM and SNOM/NSOM.

Probe tips

Probe tips are normally made of platinum/iridium or gold. There are two main methods for obtaining a sharp probe tip, acid etching and cutting. The first involves dipping a wire end first into an acid bath and waiting until it has etched through the wire and the lower part drops away. The remained is then removed and the resulting tip is often one atom in diameter. An alternative and much quicker method is to take a thin wire and cut it with a pair of scissors or a scalpel. Testing the tip produced via this method on a sample with a known profile will indicate whether the tip is good or not and a single sharp point is achieved roughly 50% of the time. The problem with this method is that you can end up with a tip that has more than one peak but this will be immediately obvious when you start scanning due to the high level of ghost images.

Advantages of scanning probe microscopy

- The resolution of the microscopes is not limited by diffraction, but only by the size of the probe-sample interaction volume (i.e., point spread function), which can be as small as a few picometres. Hence the ability to measure small local differences in object height (like that of 135 picometre steps on <100> silicon) is unparalleled. Laterally the probe-sample interaction extends only across the tip atom or atoms involved in the interaction.
- The interaction can be used to modify the sample to create small structures (nanolithography).
- Unlike electron microscope methods, specimens do not require a partial vacuum but can be observed in air at standard temperature and pressure or while submerged in a liquid reaction vessel.

Disadvantages of scanning probe microscopy

- The detailed shape of the scanning tip is sometimes difficult to determine. Its effect on the resulting data is particularly noticeable if the specimen varies greatly in height over lateral distances of 10 nm or less.
- The scanning techniques are generally slower in acquiring images, due to the scanning process. As a result, efforts are being made to greatly improve the scanning rate^[3]. Like all scanning techniques, the embedding of spatial information into a time sequence opens the door to uncertainties in metrology, say of lateral spacings and angles, which arise due to time-domain effects like specimen drift, feedback loop oscillation, and mechanical vibration.
- The maximum image size is generally smaller.
- Scanning probe microscopy is often not useful for examining buried solid-solid or liquid-liquid interfaces.

Programs

- Gwyddion (image data analysis program) - <http://gwyddion.net/>^[4] - A Software Framework for SPM Data Analysis.
 - GXSM^[5] - Gnome X Scanning Microscopy (GPL licensed).
 - Mountains-SPM^[6] - Image processing and analysis from Digital Surf.
 - SPIP^[7] - Scanning Probe Image Processor.
 - WSxM^[8] - freeware for Scanning Probe Microscopy images analysis and representation
 - XPMPro^[9] - Data acquisition, image processing and analysis from RHK Technology, Inc.
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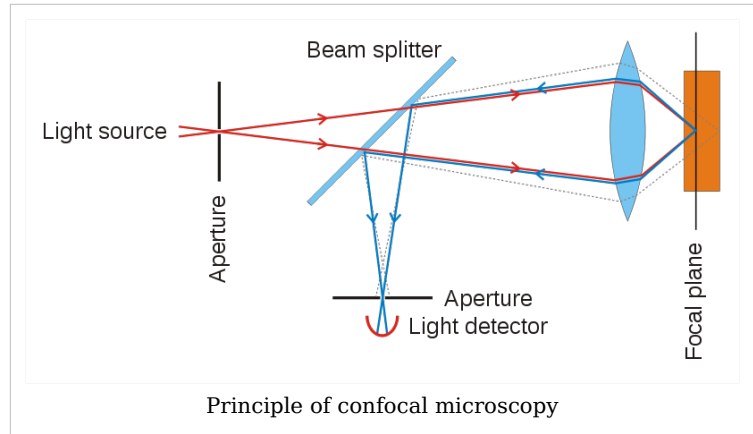
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External links

- Scanning Probe Microscopy at the Institute of Food Research (<http://www.ifr.ac.uk/SPM/>)
 - Characterization in nanotechnology some pdfs (<http://nanocharacterization.sitesled.com/>)
 - overview of STM/AFM/SNOM principles with educative videos (<http://www.ntmdt.ru/SPM-Techniques/Principles/>)
 - SPM Image Gallery - AFM STM SEM MFM NSOM and More (<http://www.rhk-tech.com/results/showcase.php>)
 - How SPM Works (http://www.parkafm.com/New_html/resources/01general.php)
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Confocal microscopy

Confocal microscopy is an optical imaging technique used to increase micrograph contrast and/or to reconstruct three-dimensional images by using a spatial pinhole to eliminate out-of-focus light or flare in specimens that are thicker than the focal plane.^[1] This technique has gained popularity in the scientific and industrial communities. Typical applications include life sciences and semiconductor inspection.



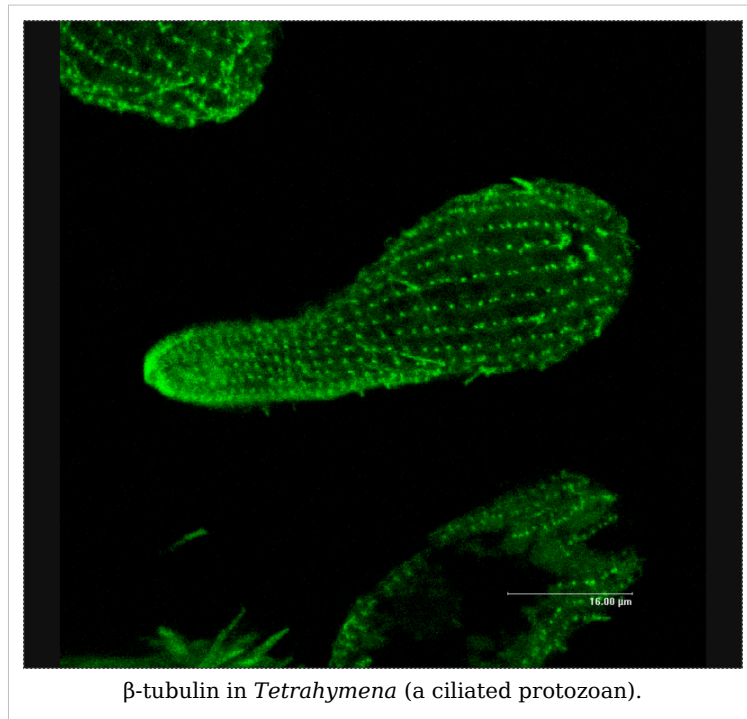
Basic concept

The principle of confocal imaging was patented by Marvin Minsky in 1957.^[2] In a conventional (i.e., wide-field) fluorescence microscope, the entire specimen is flooded in light from a light source. Due to the conservation of light intensity transportation, all parts of the specimen throughout the optical path will be excited and the fluorescence detected by a photodetector or a camera. In contrast, a confocal microscope uses point illumination and a pinhole in an optically conjugate plane in front of the detector to eliminate out-of-focus information. Only the light within the focal plane can be detected, so the image quality is much better than that of wide-field images. As only one point is illuminated at a time in confocal microscopy, 2D or 3D imaging requires scanning over a regular raster (i.e. a rectangular pattern of parallel scanning lines) in the specimen. The thickness of the focal plane is defined mostly by the inverse of the square of the numerical aperture of the objective lens, and also by the optical properties of the specimen and the ambient index of refraction. These microscopes also are able to see into the image by taking images at different depths.

Types

Three types of confocal microscopes are commercially available: Confocal laser scanning microscopes, spinning-disk (Nipkow disk) confocal microscopes and Programmable Array Microscopes (PAM). Confocal laser scanning microscopy yields better image quality than Nipkow and PAM, but the imaging frame rate was very slow (less than 3 frames/second) until recently; spinning-disk confocal microscopes can achieve video rate imaging—a desirable feature for dynamic observations such as live cell imaging. Confocal laser scanning microscopy has now been improved to provide better than video rate (60 frames/second) imaging by using MEMS based scanning mirrors.

Images



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External links

- *Molecular Expressions*: (<http://micro.magnet.fsu.edu>) Laser Scanning Confocal Microscopy (<http://micro.magnet.fsu.edu/primer/techniques/confocal/index.html>)
- Nikon's MicroscopyU (<http://www.microscopyu.com/articles/confocal/confocalintrobasics.html>). Comprehensive introduction to confocal microscopy.
- Emory's Physics Department (<http://www.physics.emory.edu/~weeks/confocal/>). Introduction to confocal microscopy and fluorescence.
- The Science Creative Quarterly's overview of confocal microscopy (<http://www.scq.ubc.ca/?p=278>) - high res images also available.
- Programmable Array Microscope (<http://spiedl.aip.org/getabs/servlet/GetabsServlet?prog=normal&id=PSISDG00644100000164410S000001&idtype=cvips&gifs=yes>) - Confocal Microscope Capabilities.

Electron microscope

An **electron microscope** is a type of microscope that uses a particle beam of electrons to illuminate a specimen and create a highly-magnified image. Electron microscopes have much greater resolving power than light microscopes that use electromagnetic radiation and can obtain much higher magnifications of up to 2 million times, while the best light microscopes are limited to magnifications of 2000 times. Both electron and light microscopes have resolution limitations, imposed by the wavelength of the radiation they use. The greater resolution and magnification of the electron microscope is because the wavelength of an electron, its de Broglie wavelength, is much smaller than that of a photon of visible light.

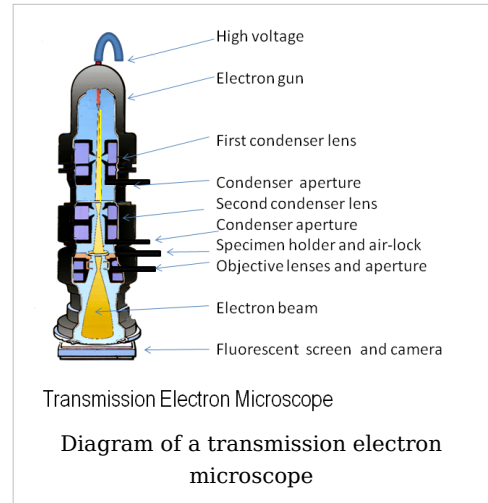
The electron microscope uses electrostatic and electromagnetic lenses in forming the image by controlling the electron beam to focus it at a specific plane relative to the specimen in a manner similar to how a light microscope uses glass lenses to focus light on or through a specimen to form an image.

History

The first electron microscope prototype was built in 1931 by the German engineers Ernst Ruska and Max Knoll.^[1] Although this initial instrument was capable of magnifying objects by only four hundred times, it demonstrated the principles of an electron microscope. Two years later, Ruska constructed an electron microscope that exceeded the resolution possible with an optical microscope.^[1]

Reinhold Rudenberg, the scientific director of Siemens, had patented the electron microscope in 1931, stimulated by family illness to make the poliomyelitis virus particle visible. In 1937 Siemens began funding Ruska and Bodo von Borries to develop an electron microscope. Siemens also employed Ruska's brother Helmut to work on applications, particularly with biological specimens.^{[2] [3]}

In the same decade Manfred von Ardenne pioneered the scanning electron microscope and his universal electron microscope.^[4]



Electron microscope constructed by Ernst Ruska in 1933

Siemens produced the first commercial Transmission Electron Microscope (TEM) in 1939, but the first practical electron microscope had been built at the University of Toronto in 1938, by Eli Franklin Burton and students Cecil Hall, James Hillier, and Albert Prebus.^[5]

Although modern electron microscopes can magnify objects up to two million times, they are still based upon Ruska's prototype. The electron microscope is an essential item of equipment in many laboratories. Researchers use them to examine biological materials (such as microorganisms and cells), a variety of large molecules, medical biopsy samples, metals and crystalline structures and the characteristics of various surfaces. The electron microscope is also used extensively for inspection, quality assurance and failure analysis applications in industry, including, in particular, semiconductor device fabrication.

Types

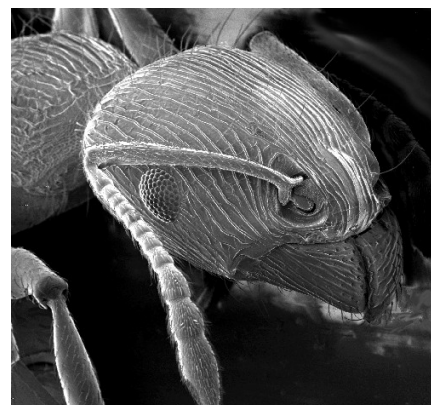
Transmission Electron Microscope (TEM)

The original form of electron microscope, the transmission electron microscope (TEM) uses a high voltage electron beam to create an image. The electrons are emitted by an electron gun, commonly fitted with a tungsten filament cathode as the electron source. The electron beam is accelerated by an anode typically at +100keV (40 to 400 keV) with respect to the cathode, focused by electrostatic and electromagnetic lenses, and transmitted through the specimen that is in part transparent to electrons and in part scatters them out of the beam. When it emerges from the specimen, the electron beam carries information about the structure of the specimen that is magnified by the objective lens system of the microscope. The spatial variation in this information (the "image") is viewed by projecting the magnified electron image onto a fluorescent viewing screen coated with a phosphor or scintillator material such as zinc sulfide. The image can be photographically recorded by exposing a photographic film or plate directly to the electron beam, or a high-resolution phosphor may be coupled by means of a lens optical system or a fibre optic light-guide to the sensor of a CCD (charge-coupled device) camera. The image detected by the CCD may be displayed on a monitor or computer.

Resolution of the TEM is limited primarily by spherical aberration, but a new generation of aberration correctors have been able to partially overcome spherical aberration to increase resolution. Software correction of spherical aberration for the High Resolution TEM (HRTEM) has allowed the production of images with sufficient resolution to show carbon atoms in diamond separated by only 0.89 ångström (89 picometers) and atoms in silicon at 0.78 ångström (78 picometers)^{[6] [7]} at magnifications of 50 million times.^[8] The ability to determine the positions of atoms within materials has made the HRTEM an important tool for nano-technologies research and development.^[9]

Scanning Electron Microscope (SEM)

Unlike the TEM, where electrons of the high voltage beam carry the image of the specimen, the electron beam of the Scanning Electron Microscope (SEM)^[10] does not at any time carry a complete image of the specimen. The SEM produces images by probing the specimen with a focused electron beam that is scanned across a rectangular area of the specimen (raster scanning). At each point on the specimen the incident electron beam loses some energy, and that lost energy is converted into other forms, such as heat, emission of low-energy secondary electrons, light emission (cathodoluminescence) or x-ray emission. The display of the SEM maps the varying intensity of any of these signals into the image in a position corresponding to the position of the beam on the specimen when the signal was generated. In the SEM image of an ant shown at right, the image was constructed from signals produced by a secondary electron detector, the normal or conventional imaging mode in most SEMs.



An image of an ant from a scanning electron microscope

Generally, the image resolution of an SEM is about an order of magnitude poorer than that of a TEM. However, because the SEM image relies on surface processes rather than transmission it is able to image bulk samples up to several centimetres in size (depending on instrument design) and has a much greater depth of view, and so can produce images that are a good representation of the 3D structure of the sample.

Reflection Electron Microscope (REM)

In the **Reflection Electron Microscope** (REM) as in the TEM, an electron beam is incident on a surface, but instead of using the transmission (TEM) or secondary electrons (SEM), the reflected beam of elastically scattered electrons is detected. This technique is typically coupled with Reflection High Energy Electron Diffraction (RHEED) and *Reflection high-energy loss spectrum (RHELS)*. Another variation is Spin-Polarized Low-Energy Electron Microscopy (SPLEEM), which is used for looking at the microstructure of magnetic domains.^[11]

Scanning Transmission Electron Microscope (STEM)

The STEM rasters a focused incident probe across a specimen that (as with the TEM) has been thinned to facilitate detection of electrons scattered *through* the specimen. The high resolution of the TEM is thus possible in STEM. The focusing action (and aberrations) occur before the electrons hit the specimen in the STEM, but afterward in the TEM. The STEM's use of SEM-like beam rastering simplifies annular dark-field imaging, and other analytical techniques, but also means that image data is acquired in serial rather than in parallel fashion.

Sample preparation

Materials to be viewed under an electron microscope may require processing to produce a suitable sample. The technique required varies depending on the specimen and the analysis required:

- **Chemical Fixation** for biological specimens aims to stabilize the specimen's mobile macromolecular structure by chemical crosslinking of proteins with aldehydes such as formaldehyde and glutaraldehyde, and lipids with osmium tetroxide.
- **Cryofixation** - freezing a specimen so rapidly, to liquid nitrogen or even liquid helium temperatures, that the water forms vitreous (non-crystalline) ice. This preserves the specimen in a snapshot of its solution state. An entire field called cryo-electron microscopy has branched from this technique. With the development of cryo-electron microscopy of vitreous sections (CEMOVIS), it is now possible to observe samples from virtually any biological specimen close to its native state.
- **Dehydration** - freeze drying, or replacement of water with organic solvents such as ethanol or acetone, followed by critical point drying or infiltration with embedding resins.
- **Embedding, biological specimens** - after dehydration, tissue for observation in the transmission electron microscope is embedded so it can be sectioned ready for viewing. To do this the tissue is passed through a 'transition solvent' such as epoxy propane and then infiltrated with a resin such as Araldite epoxy resin; tissues may also be embedded directly in water-miscible acrylic resin. After the resin has been polymerised (hardened) the sample is thin sectioned (ultrathin sections) and stained - it is then ready for viewing.
- **Embedding, materials** - after embedding in resin, the specimen is usually ground and polished to a mirror-like finish using ultra-fine abrasives. The polishing process must be performed carefully to minimize scratches and other polishing artifacts that reduce image quality.
- **Sectioning** - produces thin slices of specimen, semitransparent to electrons. These can be cut on an ultramicrotome with a diamond knife to produce ultrathin slices about 60-90nm thick. Disposable glass knives are also used because they can be made in the lab and are much cheaper.
- **Staining** - uses heavy metals such as lead, uranium or tungsten to scatter imaging electrons and thus give contrast between different structures, since many (especially biological) materials are nearly "transparent" to electrons (weak phase objects). In biology, specimens can be stained "en bloc" before embedding and also later after sectioning. Typically thin sections are stained for several minutes with an aqueous or alcoholic solution of uranyl acetate followed by aqueous lead citrate.
- **Freeze-fracture or freeze-etch** - a preparation method particularly useful for examining lipid membranes and their incorporated proteins in "face on" view. The fresh tissue or cell suspension is frozen rapidly (cryofixed), then fractured by simply breaking or by using a microtome while maintained at liquid nitrogen temperature. The cold fractured surface (sometimes "etched" by increasing the temperature to about -100°C for several



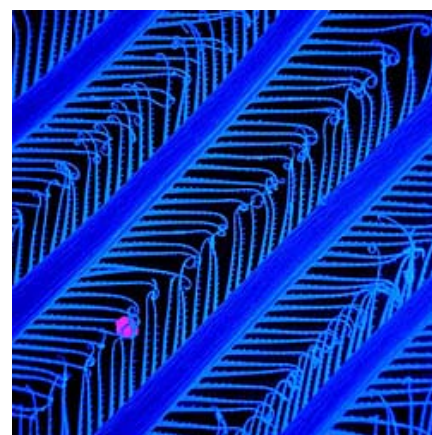
An insect coated in gold for viewing with a scanning electron microscope.

minutes to let some ice sublime) is then shadowed with evaporated platinum or gold at an average angle of 45° in a high vacuum evaporator. A second coat of carbon, evaporated perpendicular to the average surface plane is often performed to improve stability of the replica coating. The specimen is returned to room temperature and pressure, then the extremely fragile "pre-shadowed" metal replica of the fracture surface is released from the underlying biological material by careful chemical digestion with acids, hypochlorite solution or SDS detergent. The still-floating replica is thoroughly washed from residual chemicals, carefully fished up on EM grids, dried then viewed in the TEM.

- *Ion Beam Milling* - thins samples until they are transparent to electrons by firing ions (typically argon) at the surface from an angle and sputtering material from the surface. A subclass of this is Focused ion beam milling, where gallium ions are used to produce an electron transparent membrane in a specific region of the sample, for example through a device within a microprocessor. Ion beam milling may also be used for cross-section polishing prior to SEM analysis of materials that are difficult to prepare using mechanical polishing.
 - *Conductive Coating* - An ultrathin coating of electrically-conducting material, deposited either by high vacuum evaporation or by low vacuum sputter coating of the sample. This is done to prevent the accumulation of static electric fields at the specimen due to the electron irradiation required during imaging. Such coatings include gold, gold/palladium, platinum, tungsten, graphite etc. and are especially important for the study of specimens with the scanning electron microscope. Another reason for coating, even when there is more than enough conductivity, is to improve contrast, a situation more common with the operation of a FESEM (field emission SEM). When an osmium coater is used, a layer far thinner than would be possible with any of the previously mentioned sputtered coatings is possible.^[12]
-

Disadvantages

Electron microscopes are expensive to build and maintain, but the capital and running costs of confocal light microscope systems now overlaps with those of basic electron microscopes. They are dynamic rather than static in their operation, requiring extremely stable high-voltage supplies, extremely stable currents to each electromagnetic coil/lens, continuously-pumped high- or ultra-high-vacuum systems, and a cooling water supply circulation through the lenses and pumps. As they are very sensitive to vibration and external magnetic fields, microscopes designed to achieve high resolutions must be housed in stable buildings (sometimes underground) with special services such as magnetic field cancelling systems. Some desktop low voltage electron microscopes have TEM capabilities at very low voltages (around 5 kV) without stringent voltage supply, lens coil current, cooling water or vibration isolation requirements and as such are much less expensive to buy and far easier to install and maintain, but do not have the same ultra-high (atomic scale) resolution capabilities as the larger instruments.



Pseudocolored SEM image of the feeding basket of Antarctic krill. Real electron microscope images do not carry any color information; they are greyscale. The first degree filter setae carry in v-form two rows of second degree setae, pointing towards the inside of the feeding basket. The purple ball is one micrometer in diameter. To display the total area of this structure one would have to tile this image 7500 times.

The samples largely have to be viewed in vacuum, as the molecules that make up air would scatter the electrons. One exception is the environmental scanning electron microscope, which allows hydrated samples to be viewed in a low-pressure (up to 20 Torr/2.7 kPa), wet environment.

Scanning electron microscopes usually image conductive or semi-conductive materials best. Non-conductive materials can be imaged by an environmental scanning electron microscope. A common preparation technique is to coat the sample with a several-nanometer layer of conductive material, such as gold, from a sputtering machine; however, this process has the potential to disturb delicate samples.

Small, stable specimens such as carbon nanotubes, diatom frustules and small mineral crystals (asbestos fibres, for example) require no special treatment before being examined in the electron microscope. Samples of hydrated materials, including almost all biological specimens have to be prepared in various ways to stabilize them, reduce their thickness (ultrathin sectioning) and increase their electron optical contrast (staining). These processes may result in *artifacts*, but these can usually be identified by comparing the results obtained by using radically different specimen preparation methods. It is generally believed by scientists working in the field that as results from various preparation techniques have been compared and that there is no reason that they should all produce similar artifacts, it is reasonable to believe that electron microscopy features correspond with those of living cells. In addition, higher-resolution work has been directly compared to results from X-ray crystallography, providing independent confirmation of the validity of this technique. Since the 1980s, analysis of cryofixed, vitrified specimens has also become increasingly used by scientists, further confirming the validity of this technique.^{[13] [14] [15]}

Applications

Semiconductor and data storage

- Circuit edit
- Defect analysis
- Failure analysis

Biology and life sciences

- Diagnostic electron microscopy
- Cryobiology
- Protein localization
- Electron tomography
- Cellular tomography
- Cryo-electron microscopy
- Toxicology
- Biological production and viral load monitoring
- Particle analysis
- Pharmaceutical QC
- Structural biology
- 3D tissue imaging
- Virology
- Vitrification

Research

- Electron beam induced depostion
- Materials qualification
- Materials and sample preparation
- Nanoprototyping
- Nanometrology
- Device testing and characterization

Industry

- High-resolution imaging
- 2D & 3D micro-characterization
- Macro sample to nanometer metrology
- Particle detection and characterization
- Direct beam-writing fabrication
- Dynamic materials experiments
- Sample preparation
- Forensics
- Mining (mineral liberation analysis)
- Chemical/Petrochemical

See also

- Category:Electron microscope images
- Field emission microscope
- Scanning tunneling microscope

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External links

- Cell Centered Database - Electron microscopy data (<http://ccdb.ucsd.edu/sand/main?typeid=4&event=showMPByType&start=1>)

General

- Nanohedron.com | Nano image gallery (<http://www.nanohedron.com/>) beautiful images generated with electron microscopes.
- electron microscopy (<http://www.microscopy.ethz.ch>) Website of the ETH Zurich: Very good graphics and images, which illustrate various procedures.
- Environmental Scanning Electron Microscope (ESEM) (<http://www.danilatos.com>)
- X-ray element analysis in electron microscope (http://www.microanalyst.net/index_e.phtml) – Information portal with X-ray microanalysis and EDX contents

History

- John H.L. Watson: Very early Electron Microscopy in the Department of Physics, the University of Toronto – A personal recollection (<http://www.physics.utoronto.ca/overview/history/microsco>)
- Rubin Borasky Electron Microscopy Collection, 1930-1988 (<http://americanhistory.si.edu/archives/d8452.htm>) Archives Center, National Museum of American History, Smithsonian Institution.

Other

- The Royal Microscopical Society, Electron Microscopy Section (UK) (<http://www.rms.org.uk/em.shtml>)
 - Albert Lleal microphotography. Scanning Electron Microphotography Coloured SEM (<http://www.albertlleal.com/microphotography.html>)
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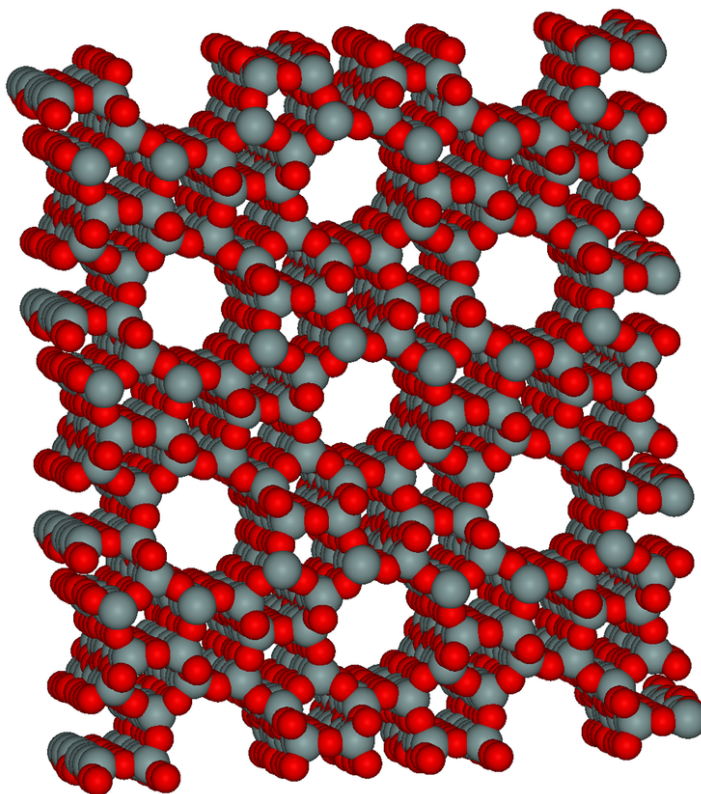
X-ray crystallography

X-ray crystallography is a method of determining the arrangement of atoms within a crystal, in which a beam of X-rays strikes a crystal and scatters into many different directions. From the angles and intensities of these scattered beams, a crystallographer can produce a three-dimensional picture of the density of electrons within the crystal. From this electron density, the mean positions of the atoms in the crystal can be determined, as well as their chemical bonds, their disorder and various other information.

Since many materials can form crystals — such as salts, metals, minerals, semiconductors, as well as various inorganic, organic and biological molecules — X-ray crystallography has been

fundamental in the development of many scientific fields. In its first decades of use, this method determined the size of atoms, the lengths and types of chemical bonds, and the atomic-scale differences among various materials, especially minerals and alloys. The method also revealed the structure and functioning of many biological molecules, including vitamins, drugs, proteins and nucleic acids such as DNA. X-ray crystallography is still the chief method for characterizing the atomic structure of new materials and in discerning materials that appear similar by other experiments. X-ray crystal structures can also account for unusual electronic or elastic properties of a material, shed light on chemical interactions and processes, or serve as the basis for designing pharmaceuticals against diseases.

After a crystal has been obtained or grown in the laboratory, it is mounted on a goniometer and gradually rotated while being bombarded with X-rays, producing a diffraction pattern of regularly spaced spots known as *reflections*. The two-dimensional images taken at different rotations are converted into a three-dimensional model of the density of electrons within the crystal using the mathematical method of Fourier transforms, combined with chemical data known for the sample. Poor resolution (fuzziness) or even errors may result if the crystals are too small, or not uniform enough in their internal makeup.



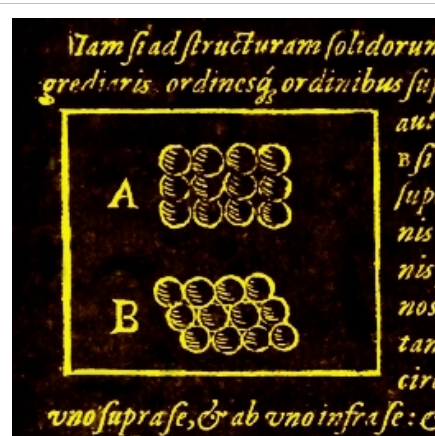
X-ray crystallography can locate every atom in a zeolite, an aluminosilicate with many important applications, such as water purification.

X-ray crystallography is related to several other methods for determining atomic structures. Similar diffraction patterns can be produced by scattering electrons or neutrons, which are likewise interpreted as a Fourier transform. If single crystals of sufficient size cannot be obtained, various X-ray scattering methods can be applied to obtain less detailed information; such methods include fiber diffraction, powder diffraction and small-angle X-ray scattering (SAXS). In all these methods, the scattering is elastic; the scattered X-rays have the same wavelength as the incoming X-ray. By contrast, *inelastic* X-ray scattering methods are useful in studying excitations of the sample, rather than the distribution of its atoms.

History

Early scientific history of crystals and X-rays

Crystals have long been admired for their regularity and symmetry, but they were not investigated scientifically until the 17th century. Johannes Kepler hypothesized in his work *Strena seu de Nive Sexangula* (1611) that the hexagonal symmetry of snowflake crystals was due to a regular packing of spherical water particles.^[1]



Drawing of square (Figure A, above) and hexagonal (Figure B, below) packing from Kepler's work, *Strena seu de Nive Sexangula*.

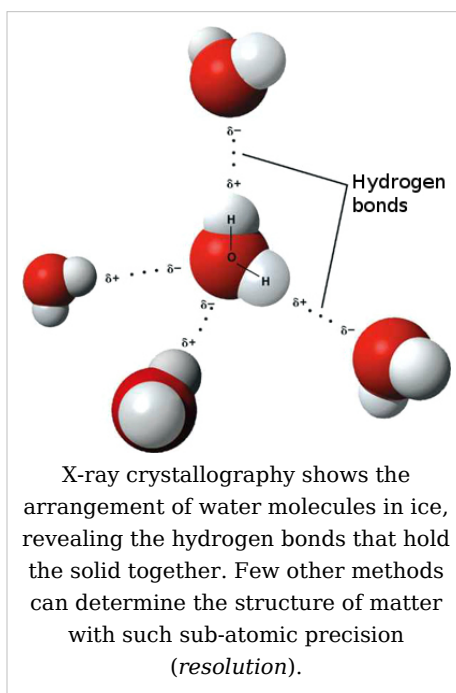


As shown by X-ray crystallography, the hexagonal symmetry of snowflakes results from the tetrahedral arrangement of hydrogen bonds about each water molecule. The water molecules are arranged similarly to the silicon atoms in the tridymite polymorph of SiO_2 . The resulting crystal structure has hexagonal symmetry when viewed along a principal axis.

Crystal symmetry was first investigated experimentally by Nicolas Steno (1669), who showed that the angles between the faces are the same in every exemplar of a particular type of crystal,^[2] and by René Just Haüy (1784), who discovered that every face of a crystal can be described by simple stacking patterns of blocks of the same shape and size. Hence, William Hallows Miller in 1839 was able to give each face a unique label of three small integers, the Miller indices which are still used today for identifying crystal faces. Haüy's study led to the correct idea that crystals are a regular three-dimensional array (a Bravais lattice) of atoms and molecules; a single unit cell is repeated indefinitely along three principal directions that are not necessarily perpendicular. In the 19th century, a complete catalog of the possible symmetries of a crystal was worked out by Johann Hessel,^[3] Auguste Bravais,^[4] Yevgraf Fyodorov,^[5] Arthur Schönflies^[6] and (belatedly) William Barlow. On the basis of the available data and physical reasoning, Barlow proposed several crystal structures in the 1880s that were validated later by

X-ray crystallography;^[7] however, the available data were too few in the 1880s to accept his models as conclusive.

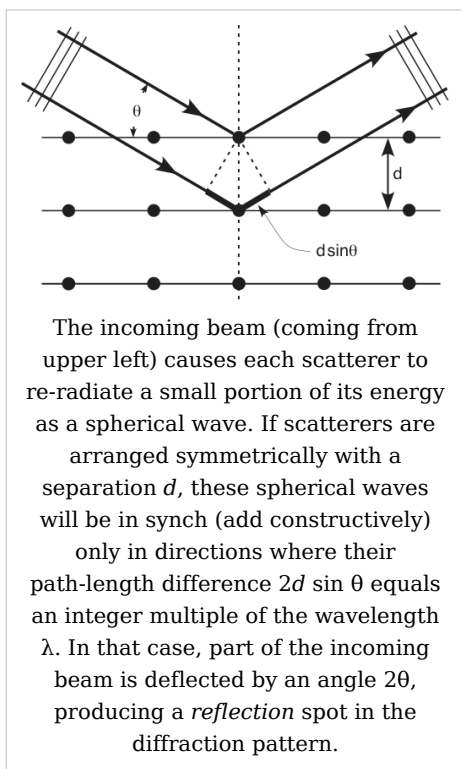
X-rays were discovered by Wilhelm Conrad Röntgen in 1895, just as the studies of crystal symmetry were being concluded. Physicists were initially uncertain of the nature of X-rays, although it was soon suspected (correctly) that they were waves of electromagnetic radiation, in other words, another form of light. At that time, the wave model of light — specifically, the Maxwell theory of electromagnetic radiation — was well accepted among scientists, and experiments by Charles Glover Barkla showed that X-rays exhibited phenomena associated with electromagnetic waves, including transverse polarization and spectral lines akin to those observed in the visible wavelengths. Single-slit experiments in the laboratory of Arnold Sommerfeld suggested the wavelength of X-rays was roughly 1 Angström, one ten millionth of a millimetre. However, X-rays are composed of photons, and thus are not only waves of electromagnetic radiation but also exhibit particle-like properties. The photon concept was introduced by Albert Einstein in 1905,^[8] but it was not broadly accepted until 1922,^[9] ^[10] when Arthur Compton confirmed it by the scattering of X-rays from electrons.^[11] Therefore, these particle-like properties of X-rays,



such as their ionization of gases, caused William Henry Bragg to argue in 1907 that X-rays were *not* electromagnetic radiation.^[12] Nevertheless, Bragg's view was not broadly

accepted and the observation of X-ray diffraction in 1912^[13] confirmed for most scientists that X-rays were a form of electromagnetic radiation.

X-ray analysis of crystals



Crystals are regular arrays of atoms, and X-rays can be considered waves of electromagnetic radiation. Atoms scatter X-ray waves, primarily through the atoms' electrons. Just as an ocean wave striking a lighthouse produces secondary circular waves emanating from the lighthouse, so an X-ray striking an electron produces secondary spherical waves emanating from the electron. This phenomenon is known as elastic scattering, and the electron (or lighthouse) is known as the *scatterer*. A regular array of scatterers produces a regular array of spherical waves. Although these waves cancel one another out in most directions through destructive interference, they add constructively in a few specific directions, determined by Bragg's law:

$$2d \sin \theta = n\lambda$$

where d is the spacing between diffracting planes, θ is the incident angle, n is any integer, and λ is the wavelength of the beam. These specific directions appear as spots on the diffraction pattern, often called *reflections*. Thus, X-ray diffraction results from an electromagnetic wave (the X-ray) impinging on a regular array of scatterers (the repeating arrangement of atoms within the crystal).

X-rays are used to produce the diffraction pattern because their wavelength λ is typically the same order of magnitude (1-100 Ångströms) as the spacing d between planes in the crystal. In principle, any wave impinging on a regular array of scatterers produces diffraction, as predicted first by Francesco Maria Grimaldi in 1665. To produce significant diffraction, the spacing between the scatterers and the wavelength of the impinging wave should be roughly similar in size. For illustration, the diffraction of sunlight through a bird's feather was first reported by James Gregory in the later 17th century. The first man-made diffraction gratings for visible light were constructed by David Rittenhouse in 1787, and Joseph von Fraunhofer in 1821. However, visible light has too long a wavelength (typically, 5500 Ångströms) to observe diffraction from crystals. However, prior to the first X-ray diffraction experiments, the spacings between lattice planes in a crystal were not known with certainty.

The idea that crystals could be used as a diffraction grating for X-rays arose in 1912 in a conversation between Paul Peter Ewald and Max von Laue in the English Garden in Munich. Ewald had proposed a resonator model of crystals for his thesis, but this model could not be validated using visible light, since the wavelength was much larger than the

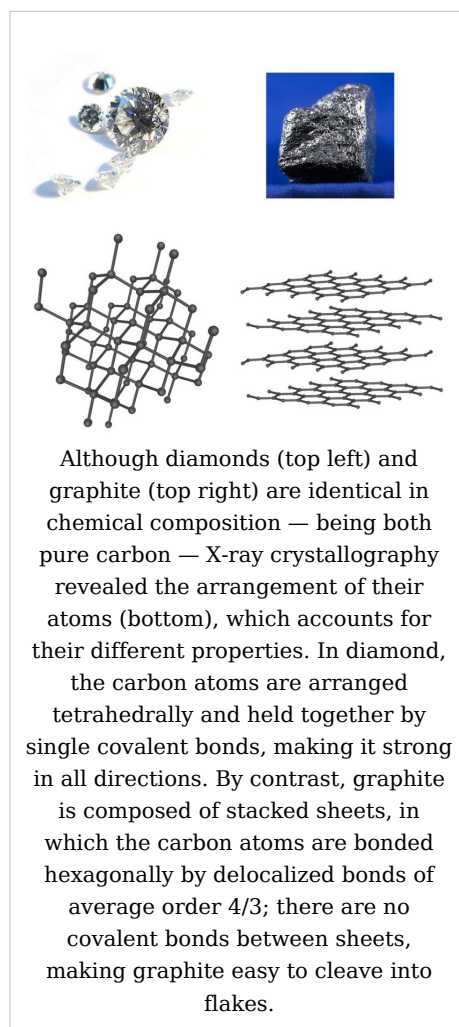
spacing between the resonators. Von Laue realized that electromagnetic radiation of a shorter wavelength was needed to observe such small spacings, and suggested that X-rays might have a wavelength comparable to the unit-cell spacing in crystals. Von Laue worked with two technicians, Walter Friedrich and his assistant Paul Knipping, to shine a beam of X-rays through a copper sulphate crystal and record its diffraction on a photographic plate. After being developed, the plate showed a large number of well-defined spots arranged in a pattern of intersecting circles around the spot produced by the central beam.^[13] ^[14] Von Laue developed a law that connects the scattering angles and the size and orientation of the unit-cell spacings in the crystal, for which he was awarded the Nobel Prize in Physics in 1914.^[15]

As described in the mathematical derivation below, the X-ray scattering is determined by the density of electrons within the crystal. Since the energy of an X-ray is much greater than that of an atomic electron, the scattering may be modeled as Thomson scattering, the interaction of an electromagnetic ray with a free electron. This model is generally adopted to describe the polarization of the scattered radiation. The intensity of Thomson scattering declines as $1/m^2$ with the mass m of the charged particle that is scattering the radiation; hence, the atomic nuclei, which are thousands of times heavier than an electron, contribute negligibly to the scattered X-rays.

Development from 1912 to 1920

After Von Laue's pioneering research, the field developed rapidly, most notably by physicists William Lawrence Bragg and his father William Henry Bragg. In 1912-1913, the younger Bragg developed Bragg's law, which connects the observed scattering with reflections from evenly spaced planes within the crystal.^[16] The earliest structures were generally simple and marked by one-dimensional symmetry. However, as computational and experimental methods improved over the next decades, it became feasible to deduce reliable atomic positions for more complicated two- and three-dimensional arrangements of atoms in the unit-cell.

The potential of X-ray crystallography for determining the structure of molecules and minerals — then only known vaguely from chemical and hydrodynamic experiments — was realized immediately. The earliest structures were simple inorganic crystals and minerals, but even these revealed fundamental laws of physics and chemistry. The first atomic-resolution structure to be solved (in 1914) was that of table salt.^[17] (When an atomic structure is determined by X-ray crystallography, it is said to be "solved".) The distribution of electrons in the table-salt structure



showed that crystals are not necessarily comprised of covalently bonded molecules, and proved the existence of ionic compounds.^[18] The structure of diamond was solved in the same year,^[19] proving the tetrahedral arrangement of its chemical bonds and showing that the C-C single bond was 1.52 Å. Other early structures included copper,^[20] calcium fluoride (CaF_2 , also known as *fluorite*), calcite (CaCO_3) and pyrite (FeS_2)^[21] in 1914; spinel (MgAl_2O_4) in 1915;^[22] the rutile and anatase forms of titanium dioxide (TiO_2) in 1916;^[23] pyrochroite and, by extension, brucite [$\text{Mn}(\text{OH})_2$ and $\text{Mg}(\text{OH})_2$, respectively] in 1919;^[24] and wurtzite (hexagonal ZnS) in 1920.^[25]

The structure of graphite was solved in 1916^[26] by the related method of powder diffraction,^[27] which was developed by Peter Debye and Paul Scherrer and, independently, by Albert Hull in 1917.^[28] The structure of graphite was determined from single-crystal diffraction in 1924 by two groups independently.^[29] ^[30] Hull also used the powder method to determine the structures of various metals, such as iron^[31] and magnesium.^[32]

Contributions to chemistry and material science

X-ray crystallography has led to a better understanding of chemical bonds and non-covalent interactions. The initial studies revealed the typical radii of atoms, and confirmed many theoretical models of chemical bonding, such as the tetrahedral bonding of carbon in the diamond structure,^[19] the octahedral bonding of metals observed in ammonium hexachloroplatinate (IV),^[33] and the resonance observed in the planar carbonate group^[21] and in aromatic molecules.^[34] ^[35] Kathleen Lonsdale's 1928 structure of hexamethylbenzene^[35] established the hexagonal symmetry of benzene and showed a clear difference in bond length between the aliphatic C-C bonds and aromatic C-C bonds; this finding led to the idea of resonance between chemical bonds, which had profound consequences for the development of chemistry.^[36] Her conclusions were anticipated by William Henry Bragg, who published models of naphthalene and anthracene in 1921 based on other molecules, an early form of molecular replacement.^[34]

Also in the 1920s, Victor Moritz Goldschmidt and later Linus Pauling developed rules for eliminating chemically unlikely structures and for determining the relative sizes of atoms. These rules led to the structure of brookite (1928) and an understanding of the relative stability of the rutile, brookite and anatase forms of titanium oxide.

The distance between two covalently bonded atoms is a sensitive measure of the bond strength and its bond order; thus, X-ray crystallographic studies have led to the discovery of even more exotic types of bonding in inorganic chemistry, such as metal-metal double bonds,^[37] metal-metal quadruple bonds,^[38] and three-center, two-electron bonds.^[39] X-ray crystallography — or, strictly speaking, an inelastic Compton scattering experiment — has also provided evidence for the partially covalent character of hydrogen bonds.^[40] In the field of organometallic chemistry, the X-ray structure of ferrocene initiated scientific studies of sandwich compounds,^[41] while that of Zeise's salt stimulated research into "back bonding" and metal- π complexes in general.^[42] Finally, X-ray crystallography had a pioneering role in the development of supramolecular chemistry, particularly in clarifying the structures of the crown ethers and the principles of host-guest chemistry.

In material sciences, many complicated inorganic and organometallic systems have been analyzed using single-crystal methods, such as fullerenes, metalloporphyrins, and other complicated compounds. Single-crystal diffraction is also used in the pharmaceutical industry, due to recent problems with polymorphs. The major factors affecting the quality of

single-crystal structures are the crystal's size and regularity; recrystallization is a commonly used technique to improve these factors in small-molecule crystals. The Cambridge Structural Database contains over 400,000 structures; over 99% of these structures were determined by X-ray diffraction.

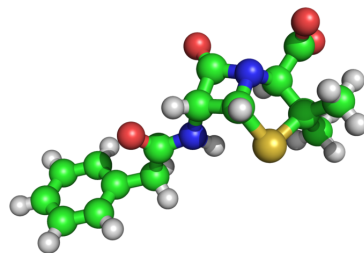
Mineralogy and metallurgy

Since the 1920s, X-ray diffraction has been the principal method for determining the arrangement of atoms in minerals and metals. The application of X-ray crystallography to mineralogy began with the structure of garnet, which was determined in 1924 by Menzer. A systematic X-ray crystallographic study of the silicates was undertaken in the 1920s. This study showed that, as the Si/O ratio is altered, the silicate crystals exhibit significant changes in their atomic arrangements. Machatschki extended these insights to minerals in which aluminium substitutes for the silicon atoms of the silicates. The first application of X-ray crystallography to metallurgy likewise occurred in the mid-1920s.^[43] Most notably, Linus Pauling's structure of the alloy Mg_2Sn ^[44] led to his theory of the stability and structure of complex ionic crystals.^[45]

Early organic and small biological molecules

The first structure of an organic compound, hexamethylenetetramine, was solved in 1923.^[46] This was followed by several studies of long-chain fatty acids, which are an important component of biological membranes.^[47] In the 1930s, the structures of much larger molecules with two-dimensional complexity began to be solved. A significant advance was the structure of phthalocyanine,^[48] a large planar molecule that is closely related to porphyrin molecules important in biology, such as heme, corrin and chlorophyll.

X-ray crystallography of biological molecules took off with Dorothy Crowfoot Hodgkin, who solved the structures of cholesterol (1937), vitamin B12 (1945) and penicillin (1954), for which she was awarded the Nobel Prize in Chemistry in 1964. In 1969, she succeeded in solving the structure of insulin, on which she worked for over thirty years.^[49]



The three-dimensional structure of penicillin, for which Dorothy Crowfoot Hodgkin was awarded the Nobel Prize in Chemistry in 1964. The green, white, red, yellow and blue spheres represent atoms of carbon, hydrogen, oxygen, sulfur and nitrogen, respectively.



Ribbon diagram of the structure of myoglobin, showing colored alpha helices. Such proteins are long, linear molecules with thousands of atoms; yet the relative position of each atom has been determined with sub-atomic resolution by X-ray crystallography. Since it is difficult to visualize all the atoms at once, the ribbon shows the rough path of the protein polymer from its N-terminus (blue) to its C-terminus (red).

Protein crystallography

Crystal structures of proteins (which are irregular and hundreds of times larger than cholesterol) began to be solved in the late 1950s, beginning with the structure of sperm whale myoglobin by Max Perutz and Sir John Cowdery Kendrew, for which they were awarded the Nobel Prize in Chemistry in 1962.^[50] Since that success, over 48970 X-ray crystal structures of proteins, nucleic acids and other biological molecules have been determined.^[51] For comparison, the nearest competing method, nuclear magnetic resonance (NMR) spectroscopy has produced 7806 structures.^[52] Moreover, crystallography can solve structures of arbitrarily large molecules, whereas solution-state NMR is restricted to relatively small molecules (less than 70 kDa). X-ray crystallography is now used routinely by scientists to determine how a pharmaceutical interacts with its protein target and what changes might be advisable to improve it.^[53] However, intrinsic membrane proteins remain challenging to crystallize because they require detergents or other means to solubilize them in isolation, and such detergents often interfere with crystallization. Such membrane proteins

are a large component of the genome and include many proteins of great physiological importance, such as ion channels and receptors.^{[54] [55]}

Relationship to other scattering techniques

Elastic vs. inelastic scattering

X-ray crystallography is a form of elastic scattering; the outgoing X-rays have the same energy as the incoming X-rays, only with altered direction. Since the energy of a photon is inversely proportional to its wavelength, elastic scattering means that the outgoing photons have the same wavelength as the incoming photons. By contrast, *inelastic scattering* occurs when energy is transferred from the incoming X-ray to the crystal, e.g., by exciting an inner-shell electron to a higher energy level. Such inelastic scattering changes the wavelength of the outgoing beam, making it longer and less energetic. Inelastic scattering is useful for probing such excitations of matter, but are not as useful in determining the distribution of scatterers within the matter, which is the goal of X-ray crystallography.

X-rays range in wavelength from 10 to 0.01 nanometers; a typical wavelength used for crystallography is roughly 1 Å (0.1 nm), which is on the scale of covalent chemical bonds and the radius of a single atom. Longer-wavelength photons (such as ultraviolet radiation) would not have sufficient resolution to determine the atomic positions. At the other extreme, shorter-wavelength photons such as gamma rays are difficult to produce in large numbers, difficult to focus, and interact too strongly with matter, producing

particle-antiparticle pairs. Therefore, X-rays are the "sweetspot" for wavelength when determining atomic-resolution structures from the scattering of electromagnetic radiation.

Other types of X-ray scattering

X-ray diffraction involves the scattering of X-rays from a single crystal. Other forms of elastic X-ray scattering include powder diffraction, SAXS and several types of X-ray fiber diffraction, which was used by Rosalind Franklin in determining the double-helix structure of DNA. In general, X-ray diffraction produces isolated spots ("reflections"), while the other methods produce smooth, continuous scattering. In general, X-ray diffraction offers more structural information than these other techniques; however, it requires a sufficiently large and regular crystal, which is not always possible to obtain.

All of these scattering methods generally use *monochromatic* X-rays, which are restricted to a single wavelength with minor deviations. A broad spectrum of X-rays (that is, a blend of X-rays with different wavelengths) can also be used to carry out X-ray diffraction, a technique known as the Laue method. This is the method used in the original discovery of X-ray diffraction. Laue scattering provides much structural information with only a short exposure to the X-ray beam, and is therefore used in structural studies of very rapid events (time-resolved X-ray crystallography). However, it is not as well-suited as monochromatic scattering for determining the full atomic structure of a crystal. It is better suited to crystals with relatively simple atomic arrangements, such as minerals.

The Laue back reflection mode records X-rays scattered backwards also from a broad spectrum source. This is useful if the sample is too thick or bulky for X-rays to transmit through it. The diffracting planes in the crystal are determined by knowing that the normal to the diffracting plane bisects the angle between the incident beam and the diffracted beam. A Greninger chart can be used ^[56] to interpret the back reflection Laue photograph. The X-calibre RTXDB and MWL 110 are commercial systems for Laue back reflection pattern recording. This technique can be used in materials analysis or nondestructive testing.

Electron and neutron diffraction

Other particles, such as electrons and neutrons, may be used to produce a diffraction pattern. Although electron, neutron, and X-ray scattering use very different equipment, the resulting diffraction patterns are analyzed using the same coherent diffraction imaging techniques.

As derived below, the electron density within the crystal and the diffraction patterns are related by a simple mathematical method, the Fourier transform, which allows the density to be calculated relatively easily from the patterns. However, this works only if the scattering is *weak*, i.e., if the scattered beams are much less intense than the incoming beam. Weakly scattered beams pass through the remainder of the crystal without undergoing a second scattering event. Such re-scattered waves are called "secondary scattering" and hinder the calculation of the density of scatterers. Any sufficiently thick crystal will produce secondary scattering but since X-rays interact relatively weakly with the electrons, this is generally not a significant concern. By contrast, electron beams may produce strong secondary scattering even for very small crystals (e.g., 100 μm) used in X-ray crystallography. In such cases, extremely thin samples, roughly 100 nanometers or less, must be used to avoid secondary scattering; the primary scattered electron beams

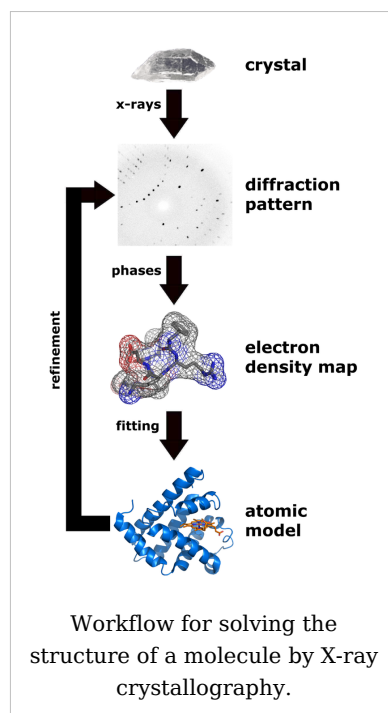
leave the sample before they have a chance to undergo secondary scattering. Since this thickness corresponds roughly to the diameter of many viruses, a promising direction is the electron diffraction of isolated macromolecular assemblies, such as viral capsids and molecular machines, which may be carried out with a cryo-electron microscope.

Neutron diffraction is an excellent method for structure determination, although it has been difficult to obtain intense, monochromatic beams of neutrons in sufficient quantities. Traditionally, nuclear reactors have been used, although the new Spallation Neutron Source holds much promise in the near future. Being uncharged, neutrons scatter much more readily from the atomic nuclei rather than from the electrons. Therefore, neutron scattering is very useful for observing the positions of light atoms with few electrons, especially hydrogen, which is essentially invisible in the X-ray diffraction of larger molecules. Neutron scattering also has the remarkable property that the solvent can be made invisible by adjusting the ratio of normal water, H_2O , and heavy water, D_2O .

Methods

Overview of single-crystal X-ray diffraction

The oldest and most precise method of X-ray crystallography is *single-crystal X-ray diffraction*, in which a beam of X-rays strikes a single crystal, producing scattered beams. When they land on a piece of film or other detector, these beams make a *diffraction pattern* of spots; the strengths and angles of these beams are recorded as the crystal is gradually rotated.^[57] Each spot is called a *reflection*, since it corresponds to the reflection of the X-rays from one set of evenly spaced planes within the crystal. For single crystals of sufficient purity and regularity, X-ray diffraction data can determine the mean chemical bond lengths and angles to within a few thousandths of an Ångström and to within a few tenths of a degree, respectively. The atoms in a crystal are also not static, but oscillate about their mean positions, usually by less than a few tenths of an Ångström. X-ray crystallography allows the size of these oscillations to be measured quantitatively.



Procedure

The technique of single-crystal X-ray crystallography has three basic steps. The first — and often most difficult — step is to obtain an adequate crystal of the material under study. The crystal should be sufficiently large (typically larger than 100 micrometres in all dimensions), pure in composition and regular in structure, with no significant internal imperfections such as cracks or twinning. A small or irregular crystal will give fewer and less reliable data, from which it may be impossible to determine the atomic arrangement.

In the second step, the crystal is placed in an intense beam of X-rays, usually of a single wavelength (*monochromatic X-rays*), producing the regular pattern of reflections. As the

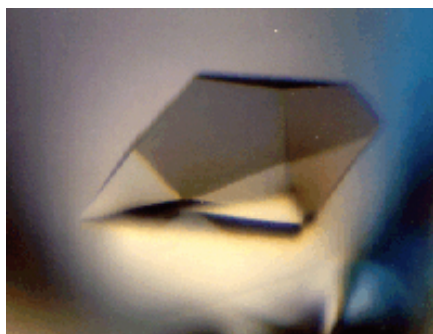
crystal is gradually rotated, previous reflections disappear and new ones appear; the intensity of every spot is recorded at every orientation of the crystal. Multiple data sets may have to be collected, with each set covering slightly more than half a full rotation of the crystal and typically containing tens of thousands of reflection intensities.

In the third step, these data are combined computationally with complementary chemical information to produce and refine a model of the arrangement of atoms within the crystal. The final, refined model of the atomic arrangement — now called a *crystal structure* — is usually stored in a public database.

Limitations

As the crystal's repeating unit, its unit cell, becomes larger and more complex, the atomic-level picture provided by X-ray crystallography becomes less well-resolved (more "fuzzy") for a given number of observed reflections. Two limiting cases of X-ray crystallography—"small-molecule" and "macromolecular" crystallography—are often discerned. *Small-molecule crystallography* typically involves crystals with fewer than 100 atoms in their asymmetric unit; such crystal structures are usually so well resolved that the atoms can be discerned as isolated "blobs" of electron density. By contrast, *macromolecular crystallography* often involves tens of thousands of atoms in the unit cell. Such crystal structures are generally less well-resolved (more "smeared out"); the atoms and chemical bonds appear as tubes of electron density, rather than as isolated atoms. In general, small molecules are also easier to crystallize than macromolecules; however, X-ray crystallography has proven possible even for viruses with hundreds of thousands of atoms.

Crystallization



A protein crystal seen under a microscope. Crystals used in X-ray crystallography are generally smaller than a millimeter across.

Although crystallography can be used to characterize the disorder in an impure or irregular crystal, crystallography generally requires a pure crystal of high regularity to solve for the structure of a complicated arrangement of atoms. Pure, regular crystals can sometimes be obtained from natural or man-made materials, such as samples of metals, minerals or other macroscopic materials. The regularity of such crystals can sometimes be improved with annealing and other methods. However, in many cases, obtaining a diffraction-quality crystal is the chief barrier to solving its atomic-resolution structure.^[58]

Small-molecule and macromolecular crystallography differ in the range of possible techniques used to produce diffraction-quality crystals. Small molecules generally have few degrees of conformational freedom, and may be crystallized by a wide range of methods, such as chemical vapor deposition and recrystallisation. By contrast, macromolecules generally have many degrees of freedom and their crystallization must be carried out to maintain a stable structure. For example, proteins and larger RNA molecules cannot be crystallized if their tertiary structure has been unfolded; therefore, the range of crystallization conditions is restricted to solution conditions in which such molecules remain folded.

Protein crystals are almost always grown in solution. The most common approach is to lower the solubility of its component molecules very gradually; however, if this is done too quickly, the molecules will precipitate from solution, forming a useless dust or amorphous gel on the bottom of the container. Crystal growth in solution is characterized by two steps: *nucleation* of a microscopic crystallite (possibly having only 100 molecules), followed by *growth* of that crystallite, ideally to a diffraction-quality crystal.^[59] The solution conditions that favor the first step (nucleation) are not always the same conditions that favor the second step (its subsequent growth). The crystallographer's goal is to identify solution conditions that favor the development of a single, large crystal, since larger crystals offer improved resolution of the molecule. Consequently, the solution conditions should *disfavor* the first step (nucleation) but *favor* the second (growth), so that only one large crystal forms per droplet. If nucleation is favored too much, a shower of small crystallites will form in the droplet, rather than one large crystal; if favored too little, no crystal will form whatsoever.

It is extremely difficult to predict good conditions for nucleation or growth of well-ordered crystals.^[60] In practice, favorable conditions are identified by *screening*; a very large batch of the molecules is prepared, and a wide variety of crystallization solutions are tested.^[61] Hundreds, even thousands, of solution conditions are generally tried before finding one that succeeds in crystallizing the molecules. The various conditions can use one or more physical mechanisms to lower the solubility of the molecule; for example, some may change the pH, some contain salts of the Hofmeister series or chemicals that lower the dielectric constant of the solution, and still others contain large polymers such as polyethylene glycol that drive the molecule out of solution by entropic effects. It is also common to try several temperatures for encouraging crystallization, or to gradually lower the temperature so that the solution becomes supersaturated. These methods require large amounts of the target molecule, as they use high concentration of the molecule(s) to be crystallized. Due to the difficulty in obtaining such large quantities (milligrams) of crystallisation grade protein, dispensing robots have been developed that are capable of accurately dispensing crystallisation trial drops that are of the order on 100 nanoliters in volume. This means that roughly 10-fold less protein is used per-experiment when compared to crystallisation trials setup by hand (on the order on 1 microliter).^[62]

Several factors are known to inhibit or mar crystallization. The growing crystals are generally held at a constant temperature and protected from shocks or vibrations that might disturb their crystallization. Impurities in the molecules or in the crystallization solutions are often inimical to crystallization. Conformational flexibility in the molecule also tends to make crystallization less likely, due to entropy. Ironically, molecules that tend to self-assemble into regular helices are often unwilling to assemble into crystals. Crystals can be marred by twinning, which can occur when a unit cell can pack equally favorably in multiple orientations; although recent advances in computational methods have begun to allow the structures of twinned crystals to be solved, it is still very difficult. Having failed to crystallize a target molecule, a crystallographer may try again with a slightly modified version of the molecule; even small changes in molecular properties can lead to large differences in crystallization behavior.

Data collection

Mounting the crystal

Once they are full-grown, the crystals are mounted so that they may be held in the X-ray beam and rotated. There are several methods of mounting. Although crystals were once loaded into glass capillaries with the crystallization solution (the mother liquor), a more modern approach is to scoop the crystal up in a tiny loop, made of nylon or plastic and attached to a solid rod, that is then flash-frozen with liquid nitrogen.^[63] This freezing reduces the radiation damage of the X-rays, as well as the noise in the Bragg peaks due to thermal motion (the Debye-Waller effect). However, untreated crystals often crack if flash-frozen; therefore, they are generally pre-soaked in a cryoprotectant solution before freezing.^[64] Unfortunately, this pre-soak may itself cause the crystal to crack, ruining it for crystallography. Generally, successful cryo-conditions are identified by trial and error.

The capillary or loop is mounted on a goniometer, which allows it to be positioned accurately within the X-ray beam and rotated. Since both the crystal and the beam are often very small, the crystal must be centered within the beam to within roughly 25 micrometres accuracy, which is aided by a camera focused on the crystal. The most common type of goniometer is the "kappa goniometer", which offers three angles of rotation: the ω angle, which rotates about an axis roughly perpendicular to the beam; the κ angle, about an axis at roughly 50° to the ω axis; and, finally, the φ angle about the loop/capillary axis. When the κ angle is zero, the ω and φ axes are aligned. The κ rotation allows for convenient mounting of the crystal, since the arm in which the crystal is mounted may be swung out towards the crystallographer. The oscillations carried out during data collection (mentioned below) involve the ω axis only. An older type of goniometer is the four-circle goniometer, and its relatives such as the six-circle goniometer.

X-ray sources

The mounted crystal is then irradiated with a beam of monochromatic X-rays. The brightest and most useful X-ray sources are synchrotrons; their much higher luminosity allows for better resolution. They also make it convenient to tune the wavelength of the radiation, which is useful for multi-wavelength anomalous dispersion (MAD) phasing, described below. Synchrotrons are generally national facilities, each with several dedicated beamlines where data is collected around the clock, seven days a week.

Smaller, weaker X-ray sources are often used in laboratories to check the quality of crystals before bringing them to a synchrotron and sometimes to solve a crystal structure. In such systems, electrons are boiled off of a cathode and accelerated through a strong electric potential of roughly 50 kV; having reached a high speed, the electrons collide with a metal plate, emitting *bremsstrahlung* and some strong spectral lines corresponding to the excitation of inner-shell electrons of the metal. The most common metal used is copper, which can be kept cool easily, due to its high thermal conductivity, and which produces strong K_α and K_β lines. The K_β line is



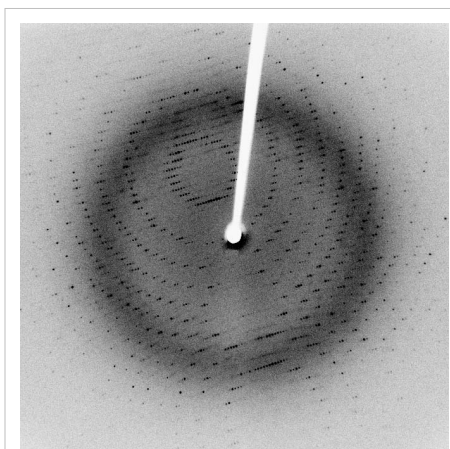
A diffractometer

sometimes suppressed with a thin layer (0.0005 in. thick) of nickel foil. The simplest and cheapest variety of sealed X-ray tube has a stationary anode (the Crookes tube) and produces *circa* 2 kW of X-ray radiation. The more expensive variety has a rotating-anode type source that produces *circa* 14 kW of X-ray radiation.

X-rays are generally filtered (by use of X-Ray Filters) to a single wavelength (made monochromatic) and collimated to a single direction before they are allowed to strike the crystal. The filtering not only simplifies the data analysis, but also removes radiation that degrades the crystal without contributing useful information. Collimation is done either with a collimator (basically, a long tube) or with a clever arrangement of gently curved mirrors. Mirror systems are preferred for small crystals (under 0.3 mm) or with large unit cells (over 150 Å).

Recording the reflections

When a crystal is mounted and exposed to an intense beam of X-rays, it scatters the X-rays into a pattern of spots or *reflections* that can be observed on a screen behind the crystal. A similar pattern may be seen by shining a laser pointer at a compact disc. The relative intensities of these spots provide the information to determine the arrangement of molecules within the crystal in atomic detail. The intensities of these reflections may be recorded with photographic film, an area detector or with a **charge-coupled device (CCD)** image sensor. The peaks at small angles correspond to low-resolution data, whereas those at high angles represent high-resolution data; thus, an upper limit on the eventual resolution of the structure can be determined from the first few images. Some measures of diffraction quality can be determined at this point, such as the mosaicity of the crystal and its overall disorder, as observed in the peak widths. Some pathologies of the crystal that would render it unfit for solving the structure can also be diagnosed quickly at this point.



An X-ray diffraction pattern of a crystallized enzyme. The pattern of spots (called *reflections*) can be used to determine the structure of the enzyme.

One image of spots is insufficient to reconstruct the whole crystal; it represents only a small slice of the full Fourier transform. To collect all the necessary information, the crystal must be rotated step-by-step through 180°, with an image recorded at every step; actually, slightly more than 180° is required to cover reciprocal space, due to the curvature of the Ewald sphere. However, if the crystal has a higher symmetry, a smaller angle such as 90° or 45° may be recorded. The axis of the rotation should generally be changed at least once, to avoid developing a "blind spot" in reciprocal space close to the rotation axis. It is customary to rock the crystal slightly (by 0.5-2°) to catch a broader region of reciprocal space.

Multiple data sets may be necessary for certain phasing methods. For example, MAD phasing requires that the scattering be recorded at least three (and usually four, for redundancy) wavelengths of the incoming X-ray radiation. A single crystal may degrade too much during the collection of one data set, owing to radiation damage; in such cases, data sets on multiple crystals must be taken.^[65]

Data analysis

Crystal symmetry, unit cell, and image scaling

Having recorded a series of diffraction patterns from the crystal, each corresponding to a different crystal orientation, the crystallographer must now convert these two-dimensional images into a three-dimensional model of the density of electrons throughout the crystal using the mathematical technique of Fourier transforms. (The relevance of this technique is explained below.) Roughly speaking, each spot corresponds to a different type of variation in the electron density; the crystallographer must determine *which* variation corresponds to *which* spot (*indexing*), the relative strengths of the spots in different images (*merging and scaling*) and how the variations should be combined to yield the total electron density (*phasing*).

In order to process the data, a crystallographer must first *index* the reflections within the multiple images recorded. This means identifying the dimensions of the unit cell and which image peak corresponds to which position in reciprocal space. A byproduct of indexing is to determine the symmetry of the crystal, i.e., its *space group*. Some space groups can be eliminated from the beginning, since they require symmetries known to be absent in the molecule itself. For example, reflection symmetries cannot be observed in chiral molecules; thus, only 65 space groups of 243 possible are allowed for protein molecules which are almost always chiral. Indexing is generally accomplished using an *autoindexing* routine.^[66] Having assigned symmetry, the data is then *integrated*. This converts the hundreds of images containing the thousands of reflections into a single file, consisting of (at the very least) records of the Miller index of each reflection, and an intensity for each reflection (at this state the file often also includes error estimates and measures of partiality (what part of a given reflection was recorded on that image)).

A full data set may consist of hundreds of separate images taken at different orientations of the crystal. The first step is to merge and scale these various images, that is, to identify which peaks appear in two or more images (*merging*) and to scale the relative images so that they have a consistent intensity scale. Optimizing the intensity scale is critical because the relative intensity of the peaks is the key information from which the structure is determined. The repetitive technique of crystallographic data collection and the often high symmetry of crystalline materials cause the diffractometer to record many symmetry-equivalent reflections multiple times. This allows a merging or symmetry related R-factor to be calculated based upon how similar are the measured intensities of symmetry equivalent reflections, thus giving a score to assess the quality of the data.

Initial phasing

The data collected from a diffraction experiment is a reciprocal space representation of the crystal lattice. The position of each diffraction 'spot' is governed by the size and shape of the unit cell, and the inherent symmetry within the crystal. The intensity of each diffraction 'spot' is recorded, and this intensity is proportional to the square of the *structure factor* amplitude. The structure factor is a complex number containing information relating to both the amplitude and phase of a wave. In order to obtain an interpretable *electron density map*, both amplitude and phase must be known (an electron density map allows a crystallographer to build a starting model of the molecule). The phase cannot be directly recorded during a diffraction experiment: this is known as the phase problem. Initial phase estimates can be obtained in a variety of ways:

- **Ab initio phasing**, aka **direct methods** - This is usually the method of choice for small molecules (<1000 non-hydrogen atoms), and has been used successfully to solve the phase problems for small proteins. If the resolution of the data is better than 1.4 Å (140 pm), direct methods can be used to obtain phase information, by exploiting known phase relationships between certain groups of reflections.^{[67] [68]}
- **Molecular replacement** - if a structure exists of a related structure, it can be used as a search model in molecular replacement to determine the orientation and position of the molecules within the unit cell. The phases obtained this way can be used to generate *electron density maps*.^[69]
- **Anomalous X-ray scattering** (*MAD or SAD phasing*) - the X-ray wavelength may be scanned past an absorption edge of an atom, which changes the scattering in a known way. By recording full sets of reflections at three different wavelengths (far below, far above and in the middle of the absorption edge) one can solve for the substructure of the anomalously diffracting atoms and thence the structure of the whole molecule. The most popular method of incorporating anomalous scattering atoms into proteins is to express the protein in a methionine auxotroph (a host incapable of synthesising methionine) in a media rich in Seleno-methionine, which contains Selenium atoms. A MAD experiment can then be conducted around the absorption edge, which should then yield the position of any methionine residues within the protein, providing initial phases.^[70]
- **Heavy atom methods** (ie MIR) - If electron-dense metal atoms can be introduced into the crystal, direct methods or Patterson-space methods can be used to determine their location and to obtain initial phases. Typically, a crystallographer can introduce such heavy atoms either by soaking the crystal in a heavy atom-containing solution, or by co-crystallization (growing the crystals in the presence of a heavy atom). As in MAD phasing, the changes in the scattering amplitudes can be interpreted to yield the phases. Although this is the original method by which protein crystal structures were solved, it has largely been superseded by MAD phasing with selenomethionine.^[69]

While all four of the above methods are used to solve the phase problem for protein crystallography, small molecule crystallography generally yields data suitable for structure solution using direct methods (*ab initio* phasing).

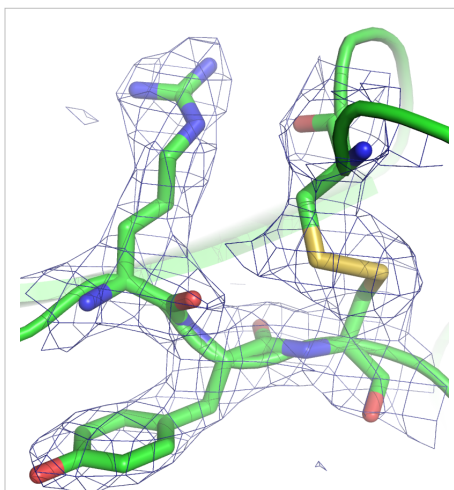
Model building and phase refinement

Having obtained initial phases, an initial model can be built. This model can be used to refine the phases, leading to an improved model, and so on. Given a model of some atomic positions, these positions and their respective Debye-Waller factors (accounting for the thermal motion of the atom - aka **B**-factors) can be refined to fit the observed diffraction data, ideally yielding a better set of phases. A new model can then be fit to the new electron density map and a further round of refinement is carried out. This continues until the correlation between the diffraction data and the model is maximized. The agreement is measured by an *R*-factor defined as

$$R = \frac{\sum_{\text{all reflections}} |F_o - F_c|}{\sum_{\text{all reflections}} |F_o|}$$

A similar quality criterion is R_{free} , which is calculated from a subset (~10%) of reflections that were not included in the structure refinement. Both *R* factors depend on the resolution of the data. As a rule of thumb, R_{free} should be approximately the resolution in Ångströms divided by 10; thus, a data-set with 2 Å resolution should yield a final R_{free} of roughly 0.2. Chemical bonding features such as stereochemistry, hydrogen bonding and distribution of bond lengths and angles are complementary measures of the model quality. Phase bias is a serious problem in such iterative model building. *Omit maps* are a common technique used to check for this.

It may not be possible to observe every atom of the crystallized molecule - it must be remembered that the resulting electron density is an average of all the molecules within the crystal. In some cases, there is too much residual disorder in those atoms, and the resulting electron density for atoms existing in many conformations is smeared to such an extent that it is no longer detectable in the electron density map. Weakly scattering atoms such as hydrogen are routinely invisible. It is also possible for a single atom to appear multiple times in an electron density map, e.g., if a protein sidechain has multiple (<4) allowed conformations. In still other cases, the crystallographer may detect that the covalent structure deduced for the molecule was incorrect, or changed. For example, proteins may be cleaved or undergo post-translational modifications that were not detected prior to the crystallization.



A protein crystal structure at 2.7 Å resolution. The mesh encloses the region in which the electron density exceeds a given threshold. The straight segments represent chemical bonds between the non-hydrogen atoms of an arginine (upper left), a tyrosine (lower left), a disulfide bond (upper right, in yellow), and some peptide groups (running left-right in the middle). The two curved green tubes represent spline fits to the polypeptide backbone.

Deposition of the structure

Once the model of a molecule's structure has been finalized, it is often deposited in a crystallographic database such as the Protein Data Bank (for protein structures) or the Cambridge Structural Database (for small molecules). Many structures obtained in private commercial ventures to crystallize medically relevant proteins, are not deposited in public crystallographic databases.

Diffraction theory

The main goal of X-ray crystallography is to determine the density of electrons $f(\mathbf{r})$ throughout the crystal, where $\mathbf{r}$ represents the three-dimensional position vector within the crystal. To do this, X-ray scattering is used to collect data about its Fourier transform $F(\mathbf{q})$, which is inverted mathematically to obtain the density defined in real space, using the formula

$$f(\mathbf{r}) = \int \frac{d\mathbf{q}}{(2\pi)^3} F(\mathbf{q}) e^{i\mathbf{q}\cdot\mathbf{r}}$$

where the integral is summed over all possible values of $\mathbf{q}$. The three-dimensional real vector $\mathbf{q}$ represents a point in reciprocal space, that is, to a particular oscillation in the electron density as one moves in the direction in which $\mathbf{q}$ points. The length of $\mathbf{q}$ corresponds to 2π divided by the wavelength of the oscillation. The corresponding formula for a Fourier transform will be used below

$$F(\mathbf{q}) = \int d\mathbf{r} f(\mathbf{r}) e^{-i\mathbf{q}\cdot\mathbf{r}}$$

where the integral is summed over all possible values of the position vector $\mathbf{r}$ within the crystal.

The Fourier transform $F(\mathbf{q})$ is generally a complex number, and therefore has a magnitude $|F(\mathbf{q})|$ and a phase $\phi(\mathbf{q})$ related by the equation

$$F(\mathbf{q}) = |F(\mathbf{q})| e^{i\phi(\mathbf{q})}$$

The intensities of the reflections observed in X-ray diffraction give us the magnitudes $|F(\mathbf{q})|$ but not the phases $\phi(\mathbf{q})$. To obtain the phases, full sets of reflections are collected with known alterations to the scattering, either by modulating the wavelength past a certain absorption edge or by adding strongly scattering (i.e., electron-dense) metal atoms such as mercury. Combining the magnitudes and phases yields the full Fourier transform $F(\mathbf{q})$, which may be inverted to obtain the electron density $f(\mathbf{r})$.

Crystals are often idealized as being *perfectly* periodic. In that ideal case, the atoms are positioned on a perfect lattice, the electron density is perfectly periodic, and the Fourier transform $F(\mathbf{q})$ is zero except when $\mathbf{q}$ belongs to the reciprocal lattice (the so-called *Bragg peaks*). In reality, however, crystals are not perfectly periodic; atoms vibrate about their mean position, and there may be disorder of various types, such as mosaicity, dislocations, various point defects, and heterogeneity in the conformation of crystallized molecules. Therefore, the Bragg peaks have a finite width and there may be significant *diffuse scattering*, a continuum of scattered X-rays that fall between the Bragg peaks.

Intuitive understanding by Bragg's law

An intuitive understanding of X-ray diffraction can be obtained from the Bragg model of diffraction. In this model, a given reflection is associated with a set of evenly spaced sheets running through the crystal, usually passing through the centers of the atoms of the crystal lattice. The orientation of a particular set of sheets is identified by its three Miller indices (h, k, l), and let their spacing be noted by d . William Lawrence Bragg proposed a model in which the incoming X-rays are scattered specularly (mirror-like) from each plane; from that assumption, X-rays scattered from adjacent planes will combine constructively (constructive interference) when the angle θ between the plane and the X-ray results in a path-length difference that is an integer multiple n of the X-ray wavelength λ .

$$2d \sin \theta = n\lambda$$

A reflection is said to be *indexed* when its Miller indices (or, more correctly, its reciprocal lattice vector components) have been identified from the known wavelength and the scattering angle 2θ . Such indexing gives the unit-cell parameters, the lengths and angles of the unit-cell, as well as its space group. Since Bragg's law does not interpret the relative intensities of the reflections, however, it is generally inadequate to solve for the arrangement of atoms within the unit-cell; for that, a Fourier transform method must be carried out.

Scattering as a Fourier transform

The incoming X-ray beam has a polarization and should be represented as a vector wave; however, for simplicity, let it be represented here as a scalar wave. We also ignore the complication of the time dependence of the wave and just focus on the wave's spatial dependence. Plane waves can be represented by a wave vector $\mathbf{k}_{\text{in}}$, and so the strength of the incoming wave at time $t=0$ is given by

$$Ae^{i\mathbf{k}_{\text{in}} \cdot \mathbf{r}}$$

At position $\mathbf{r}$ within the sample, let there be a density of scatterers $f(\mathbf{r})$; these scatterers should produce a scattered spherical wave of amplitude proportional to the local amplitude of the incoming wave times the number of scatterers in a small volume dV about $\mathbf{r}$

$$\text{amplitude of scattered wave} = Ae^{i\mathbf{k} \cdot \mathbf{r}} S f(\mathbf{r}) dV$$

where S is the proportionality constant.

Let's consider the fraction of scattered waves that leave with an outgoing wave-vector of $\mathbf{k}_{\text{out}}$ and strike the screen at $\mathbf{r}_{\text{screen}}$. Since no energy is lost (elastic, not inelastic scattering), the wavelengths are the same as are the magnitudes of the wave-vectors $|\mathbf{k}_{\text{in}}| = |\mathbf{k}_{\text{out}}|$. From the time that the photon is scattered at $\mathbf{r}$ until it is absorbed at $\mathbf{r}_{\text{screen}}$, the photon undergoes a change in phase

$$e^{i\mathbf{k}_{\text{out}} \cdot (\mathbf{r}_{\text{screen}} - \mathbf{r})}$$

The net radiation arriving at $\mathbf{r}_{\text{screen}}$ is the sum of all the scattered waves throughout the crystal

$$AS \int d\mathbf{r} f(\mathbf{r}) e^{i\mathbf{k}_{\text{in}} \cdot \mathbf{r}} e^{i\mathbf{k}_{\text{out}} \cdot (\mathbf{r}_{\text{screen}} - \mathbf{r})} = AS e^{i\mathbf{k}_{\text{out}} \cdot \mathbf{r}_{\text{screen}}} \int d\mathbf{r} f(\mathbf{r}) e^{i(\mathbf{k}_{\text{in}} - \mathbf{k}_{\text{out}}) \cdot \mathbf{r}}$$

which may be written as a Fourier transform

$$AS e^{i\mathbf{k}_{\text{out}} \cdot \mathbf{r}_{\text{screen}}} \int d\mathbf{r} f(\mathbf{r}) e^{-i\mathbf{q} \cdot \mathbf{r}} = AS e^{i\mathbf{k}_{\text{out}} \cdot \mathbf{r}_{\text{screen}}} F(\mathbf{q})$$

where $\mathbf{q} = \mathbf{k}_{\text{out}} - \mathbf{k}_{\text{in}}$. The measured intensity of the reflection will be square of this amplitude

$$A^2 S^2 |F(\mathbf{q})|^2$$

Friedel and Bijvoet mates

For every reflection corresponding to a point $\mathbf{q}$ in the reciprocal space, there is another reflection of the same intensity at the opposite point $-\mathbf{q}$. This opposite reflection is known as the *Friedel mate* of the original reflection. This symmetry results from the mathematical fact that the density of electrons $f(\mathbf{r})$ at a position $\mathbf{r}$ is always a real number. As noted above, $f(\mathbf{r})$ is the inverse transform of its Fourier transform $F(\mathbf{q})$; however, such an inverse transform is a complex number in general. To ensure that $f(\mathbf{r})$ is real, the Fourier transform $F(\mathbf{q})$ must be such that the Friedel mates $F(-\mathbf{q})$ and $F(\mathbf{q})$ are complex conjugates of one another. Thus, $F(-\mathbf{q})$ has the same magnitude as $F(\mathbf{q})$ —that is, $|F|(\mathbf{q}) = |F|(-\mathbf{q})$ —but they have the opposite phase, i.e., $\varphi(\mathbf{q}) = -\varphi(-\mathbf{q})$

$$F(-\mathbf{q}) = |F(-\mathbf{q})| e^{i\phi(-\mathbf{q})} = F^*(\mathbf{q}) = |F(\mathbf{q})| e^{-i\phi(\mathbf{q})}$$

The equality of their magnitudes ensures that the Friedel mates have the same intensity $|F|^2$. This symmetry allows one to measure the full Fourier transform from only half the reciprocal space, e.g., by rotating the crystal slightly more than a 180° , instead of a full turn. In crystals with significant symmetry, even more reflections may have the same intensity (Bijvoet mates); in such cases, even less of the reciprocal space may need to be measured, e.g., slightly more than 90° .

The Friedel-mate constraint can be derived from the definition of the inverse Fourier transform

$$f(\mathbf{r}) = \int \frac{d\mathbf{q}}{(2\pi)^3} F(\mathbf{q}) e^{i\mathbf{q} \cdot \mathbf{r}} = \int \frac{d\mathbf{q}}{(2\pi)^3} |F(\mathbf{q})| e^{i\phi(\mathbf{q})} e^{i\mathbf{q} \cdot \mathbf{r}}$$

Since Euler's formula states that $e^{ix} = \cos(x) + i \sin(x)$, the inverse Fourier transform can be separated into a sum of a purely real part and a purely imaginary part

$$f(\mathbf{r}) = \int \frac{d\mathbf{q}}{(2\pi)^3} |F(\mathbf{q})| e^{i(\phi + \mathbf{q} \cdot \mathbf{r})} = \int \frac{d\mathbf{q}}{(2\pi)^3} |F(\mathbf{q})| \cos(\phi + \mathbf{q} \cdot \mathbf{r}) + i \int \frac{d\mathbf{q}}{(2\pi)^3} |F(\mathbf{q})| \sin(\phi + \mathbf{q} \cdot \mathbf{r}) = I_{\cos}$$

The function $f(\mathbf{r})$ is real if and only if the second integral $I_{\sin}$ is zero for all values of $\mathbf{r}$. In turn, this is true if and only if the above constraint is satisfied

$$I_{\sin} = \int \frac{d\mathbf{q}}{(2\pi)^3} |F(\mathbf{q})| \sin(\phi + \mathbf{q} \cdot \mathbf{r}) = \int \frac{d\mathbf{q}}{(2\pi)^3} |F(-\mathbf{q})| \sin(-\phi - \mathbf{q} \cdot \mathbf{r}) = -I_{\sin}$$

since $I_{\sin} = -I_{\sin}$ implies that $I_{\sin} = 0$.

Ewald's sphere

Each X-ray diffraction image represents only a slice, a spherical slice of reciprocal space, as may be seen by the Ewald sphere construction. Both $\mathbf{k}_{\text{out}}$ and $\mathbf{k}_{\text{in}}$ have the same length, due to the elastic scattering, since the wavelength has not changed. Therefore, they may be represented as two radial vectors in a sphere in reciprocal space, which shows the values of $\mathbf{q}$ that are sampled in a given diffraction image. Since there is a slight spread in the incoming wavelengths of the incoming X-ray beam, the values of $|F(\mathbf{q})|$ can be measured only for $\mathbf{q}$ vectors located between the two spheres corresponding to those radii. Therefore, to obtain a full set of Fourier transform data, it is necessary to rotate the crystal through

slightly more than 180°, or sometimes less if sufficient symmetry is present. A full 360° rotation is not needed because of a symmetry intrinsic to the Fourier transforms of real functions (such as the electron density), but "slightly more" than 180° is needed to cover all of reciprocal space within a given resolution because of the curvature of the Ewald sphere (*add Figure to illustrate this*). In practice, the crystal is rocked by a small amount (0.25-1°) to incorporate reflections near the boundaries of the spherical Ewald shells.

Patterson function

A well-known result of Fourier transforms is the autocorrelation theorem, which states that the autocorrelation $c(\mathbf{r})$ of a function $f(\mathbf{r})$

$$c(\mathbf{r}) = \int d\mathbf{x} f(\mathbf{x}) f(\mathbf{x} + \mathbf{r}) = \int \frac{d\mathbf{q}}{(2\pi)^3} C(\mathbf{q}) e^{i\mathbf{q}\cdot\mathbf{r}}$$

has a Fourier transform $C(\mathbf{q})$ that is the squared magnitude of $F(\mathbf{q})$

$$C(\mathbf{q}) = |F(\mathbf{q})|^2$$

Therefore, the autocorrelation function $c(\mathbf{r})$ of the electron density (also known as the *Patterson function*^[71]) can be computed directly from the reflection intensities, without computing the phases. In principle, this could be used to determine the crystal structure directly; however, it is difficult to realize in practice. The autocorrelation function corresponds to the distribution of vectors between atoms in the crystal; thus, a crystal of N atoms in its unit cell may have $N(N-1)$ peaks in its Patterson function. Given the inevitable errors in measuring the intensities, and the mathematical difficulties of reconstructing atomic positions from the interatomic vectors, this technique is rarely used to solve structures, except for the simplest crystals.

Advantages of a crystal

In principle, an atomic structure could be determined from applying X-ray scattering to non-crystalline samples, even to a single molecule. However, crystals offer a much stronger signal due to their periodicity.

A crystalline sample is by definition periodic; a crystal is composed of many unit cells repeated indefinitely in three independent directions. Such periodic systems have a Fourier transform that is concentrated at periodically repeating points in reciprocal space known as *Bragg peaks*; the Bragg peaks correspond to the reflection spots observed in the diffraction image. Since the amplitude at these reflections grows linearly with the number N of scatterers, the observed *intensity* of these spots should grow quadratically, like N^2 . In other words, using a crystal concentrates the weak scattering of the individual unit cells into a much more powerful, coherent reflection that can be observed above the noise. This is an example of constructive interference.

In a non-crystalline sample, molecules within that sample would be in random orientations and therefore would have a continuous Fourier spectrum that spreads its amplitude more uniformly and with a much reduced intensity, as is observed in SAXS. More importantly, the orientational information is lost. In the crystal, the molecules adopt the same orientation within the crystal, whereas in a liquid, powder or amorphous state, the observed signal is averaged over the possible orientations of the molecules. Although theoretically possible with sufficiently low-noise data, it is generally difficult to obtain atomic-resolution structures of complicated, asymmetric molecules from such rotationally averaged

scattering data. An intermediate case is fiber diffraction in which the subunits are arranged periodically in at least one dimension.

See also

- Bragg diffraction
- Bravais lattice
- Crystallographic database
- Crystallographic point groups
- Difference density map
- Electron diffraction
- Neutron diffraction
- Powder diffraction
- Scherrer Equation
- Small angle X-ray scattering (SAXS)
- Structure determination
- Wide angle X-ray scattering (WAXS)
- Ptychography

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External links

Tutorials

- Simple, non technical introduction (<http://stein.bioch.dundee.ac.uk/~charlie/index.php?section=1>)
- "Small Molecule Crystalization" (<http://acaschool.iit.edu/lectures04/JLiangXtal.pdf>) (PDF) at Illinois Institute of Technology website
- International Union of Crystallography (<http://iucr.org>)
- Crystallography 101 (<http://www.ruppweb.org/Xray/101index.html>)
- Interactive structure factor tutorial (<http://www.ysbl.york.ac.uk/~cowtan/sfapplet/sfintro.html>), demonstrating properties of the diffraction pattern of a 2D crystal.
- Picturebook of Fourier Transforms (<http://www.ysbl.york.ac.uk/~cowtan/fourier/fourier.html>), illustrating the relationship between crystal and diffraction pattern in 2D.
- Lecture notes on X-ray crystallography and structure determination (http://www.chem.uwec.edu/Chem406_F06/Pages/lectnotes.html#lecture7)

Primary databases

- Protein Data Bank (<http://www.rcsb.org/pdb/home/home.do>) (PDB)
- Nucleic Acid Databank (<http://ndbserver.rutgers.edu/>) (NDB)
- Cambridge Structural Database (<http://www.ccdc.cam.ac.uk/products/csd/>) (CSD)
- Inorganic Crystal Structure Database (<http://www.fiz-karlsruhe.de/icsd.html>) (ICSD)
- Biological Macromolecule Crystallization Database (<http://xpdb.nist.gov:8060/BMCD4/>) (BMCD)

Derivative databases

- PDBsum (<http://www.ebi.ac.uk/thornton-srv/databases/pdbsum/>)
- Proteopedia - the collaborative, 3D encyclopedia of proteins and other molecules (<http://www.proteopedia.org>)
- RNABase (<http://www.rnabase.org/>)
- HIC-Up database of PDB ligands (<http://xray.bmc.uu.se/hicup/>)
- Structural Classification of Proteins database
- CATH Protein Structure Classification
- List of transmembrane proteins with known 3D structure (http://blanco.biomol.uci.edu/Membrane_Proteins_xtal.html)
- Orientations of Proteins in Membranes database

Structural validation

- WHAT-IF structural validation suite (<http://swift.cmbi.kun.nl/WIWWWI/>)
 - Biotech structural validation suite (<http://biotech.ebi.ac.uk/>) (formerly ProCheck)
 - MolProbity structural validation suite (<http://molprobity.biochem.duke.edu/>)
 - ProSA-web (<https://prosa.services.came.sbg.ac.at/prosa.php>)
 - NQ-Flipper (<https://flipper.services.came.sbg.ac.at/>) (check for unfavorable rotamers of Asn and Gln residues)
 - DALI server (<http://www.ebi.ac.uk/dali/>) (identifies proteins similar to a given protein)
-

X-ray microscope

An **X-ray microscope** uses electromagnetic radiation in the soft X-ray band to produce images of very small objects.

Unlike visible light, X-rays do not reflect or refract easily, and they are invisible to the human eye. Therefore the basic process of an X-ray microscope is to expose film or use a charge-coupled device (CCD) detector to detect X-rays that pass through the specimen. It is a contrast imaging technology using the difference in absorption of soft x-ray in the water window region (wavelength region: 2.3 - 4.4 nm, photon energy region: 0.28 - 0.53 keV) by the carbon atom (main element composing the living cell) and the oxygen atom (main element for water).

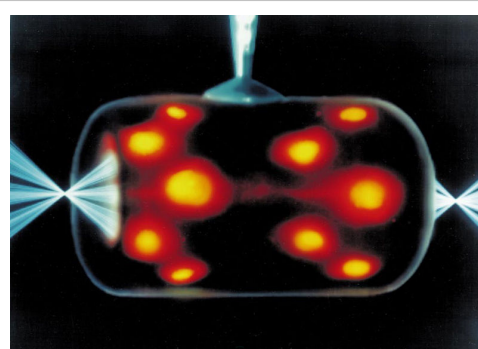
Early X-ray microscopes by Paul Kirkpatrick and Albert Baez used grazing-incidence reflective optics to focus the X-rays, which grazed X-rays off parabolic curved mirrors at a very high angle of incidence. An alternative method of focusing X-rays is to use a tiny fresnel zone plate of concentric gold or nickel rings on a silicon dioxide substrate. Sir Lawrence Bragg produced some of the first usable X-ray images with his apparatus in the late 1940's.

In the 1950's Newberry produced a shadow X-ray microscope which placed the specimen between the source and a target plate, this became the basis for the first commercial X-ray microscopes from the General Electric Company.

The Advanced Light Source (ALS)[1] in Berkeley CA is home to XM-1 (<http://www.cxro.lbl.gov/BL612/>), a full field soft X-ray microscope operated by the Center for X-ray Optics [2] and dedicated to various applications in modern nanoscience, such as nanomagnetic materials, environmental and materials sciences and biology. XM-1 uses an X-ray lens to focus X-rays on a CCD, in a manner similar to an optical microscope. XM-1 still holds the world record in spatial resolution with Fresnel zone plates down to 15nm and is able to combine high spatial resolution with a sub-100ps time resolution to study e.g. ultrafast spin dynamics.

The ALS is also home to the world's first soft x-ray microscope designed for biological and biomedical research. This new instrument, XM-2 was designed and built by scientists from the National Center for X-ray Tomography (<http://ncxt.lbl.gov>). XM-2 is capable of producing 3-Dimensional tomograms of cells.

Sources of soft X-rays suitable for microscopy, such as synchrotron radiation sources, have fairly low brightness of the required wavelengths, so an alternative method of image formation is scanning transmission soft X-ray microscopy. Here the X-rays are focused to a point and the sample is mechanically scanned through the produced focal spot. At each point the transmitted X-rays are recorded with a detector such as a proportional counter or an avalanche photodiode. This type of Scanning Transmission X-ray Microscope (STXM)



Indirect drive laser inertial confinement fusion uses a "hohlraum" which is irradiated with laser beam cones from either side on its inner surface to bathe a fusion microcapsule inside with smooth high intensity X-rays. The highest energy X-rays which penetrate the hohlraum can be visualized using an X-ray microscope such as here, where X-radiation is represented in orange/red.

was first developed by researchers at Stony Brook University and was employed at the National Synchrotron Light Source at Brookhaven National Laboratory.

The resolution of X-ray microscopy lies between that of the optical microscope and the electron microscope. It has an advantage over conventional electron microscopy in that it can view biological samples in their natural state. Electron microscopy is widely used to obtain images with nanometer level resolution but the relatively thick living cell cannot be observed as the sample has to be chemically fixed, dehydrated, embedded in resin, then sliced ultra thin. However, it should be mentioned that cryo-electron microscopy allows the observation of biological specimens in their hydrated natural state. Until now, resolutions of 30 nanometer are possible using the Fresnel zone plate lens which forms the image using the soft x-rays emitted from a synchrotron. Recently, more researchers have begun to use the soft x-rays emitted from laser-produced plasma rather than synchrotron radiation.

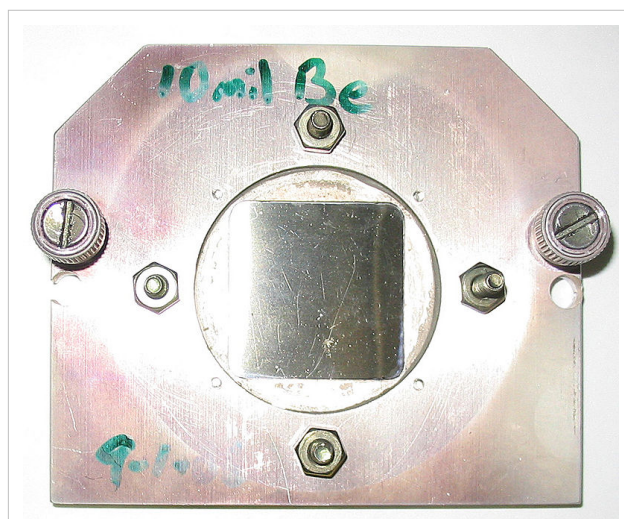
Additionally, X-rays cause fluorescence in most materials, and these emissions can be analyzed to determine the chemical elements of an imaged object. Another use is to generate diffraction patterns, a process used in X-ray crystallography. By analyzing the internal reflections of a diffraction pattern (usually with a computer program), the three-dimensional structure of a crystal can be determined down to the placement of individual atoms within its molecules. X-ray microscopes are sometimes used for these analyses because the samples are too small to be analyzed in any other way.

See also

- Synchrotron X-ray tomographic microscopy

External links

- Application of X-ray microscopy in analysis of living hydrated cells ^[18]
- Hard X-ray microbeam experiments with a sputtered-sliced Fresnel zone plate and its applications ^[3]
- Scientific applications of soft x-ray microscopy ^[4]
- Microarrays products ^[5]



A square beryllium foil mounted in a steel case to be used as a window between a vacuum chamber and an X-ray microscope. Beryllium, due to its low Z number is highly transparent to X-rays.

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- [2] <http://www.cxro.lbl.gov>
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- [4] <http://www.cxro.lbl.gov/BL612/index.php?content=research.html>
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Paracrystalline

Paracrystalline materials are defined as having short and medium range ordering in their lattice (similar to the liquid crystal phases) but lacking long-range ordering at least in one direction.^[1]

Ordering is the regularity in which atoms appear in a predictable lattice, as measured from one point. In a highly ordered, perfectly crystalline material, or single crystal, the location of every atom in the structure can be described exactly measuring out from a single origin. Conversely, in a disordered structure such as a liquid or amorphous solid, the location of the first and perhaps second nearest neighbors can be described from an origin (with some degree of uncertainty) and the ability to predict locations decreases rapidly from there out. The distance at which atom locations can be predicted is referred to as the correlation length ξ . A paracrystalline material exhibits correlation somewhere between the fully amorphous and fully crystalline.

The primary, most accessible source of crystallinity information is X-ray diffraction, although other techniques may be needed to observe the complex structure of paracrystalline materials, such as fluctuation electron microscopy^[2] in combination with Density of states modeling^[3] of electronic and vibrational states.

Paracrystalline Model

The paracrystalline model is a revision of the Continuous Random Network model first proposed by W. H. Zachariasen in 1932^[4]. The paracrystal model is defined as highly strained, microcrystalline grains surrounded by fully amorphous material^[5]. This is a higher energy state than the continuous random network model. The important distinction between this model and the microcrystalline phases is the lack of defined grain boundaries and highly strained lattice parameters, which makes calculations of molecular and lattice dynamics difficult. A general theory of paracrystals has been formulated in a basic textbook^[6], and then further developed/refined by various authors.

Applications

The paracrystal model has been useful, for example, in describing the state of partially amorphous semiconductor materials after deposition. It has also been successfully applied to: synthetic polymers, liquid crystals, biopolymers^[7],^[8] and biomembranes^[9].

See also

- X-ray scattering
- Amorphous solid
- Single Crystal
- Polycrystalline
- Crystallography
- DNA
- X-ray pattern of a B-DNA Paracrystal^[10]

Notes

- [1] Voyles, et al. Structure and physical properties of paracrystalline atomistic models of amorphous silicon. *J. Ap. Phys.*, **90**(2001) 4437, doi: 10.1063/1.1407319
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- [8] X-Ray Diffraction Patterns of Double-Helical Deoxyribonucleic Acid (DNA) Crystals and Paracrystalline Fibers <http://planetphysics.org/encyclopedia/BesselFunctionsApplicationsToDiffractionByHelicalStructures.html>
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- [10] <http://commons.wikimedia.org/wiki/File:ABDNAXrgpj.jpg>
-

X-ray scattering

1. REDIRECT X-ray scattering techniques

Neutron scattering

Neutron scattering encompasses all scientific techniques whereby the deflection of neutron radiation is used as a scientific probe. Neutrons readily interact with atomic nuclei and magnetic fields from unpaired electrons, making a useful probe of both structure and magnetic order. Neutron Scattering falls into two basic categories - elastic and inelastic. Elastic scattering is when a neutron interacts with a nucleus or electronic magnetic field but does not leave it in an excited state, meaning the emitted neutron has the same energy as the injected neutron. Scattering processes that involve an energetic excitation or relaxation by the neutron are inelastic: the injected neutron's energy is used or increased to create an excitation or by absorbing the excess energy from a relaxation, and consequently the emitted neutron's energy is reduced or increased respectively.

For several good reasons, moderated neutrons provide an ideal tool for the study of almost all forms of condensed matter. Firstly, they are readily produced at a nuclear research reactor or a spallation source. Normally in such processes neutrons are however produced with much higher energies than are needed. Therefore moderators are generally used which slow the neutrons down and therefore produce wavelengths that are comparable to the atomic spacing in solids and liquids, and kinetic energies that are comparable to those of dynamic processes in materials. Moderators can be made from Aluminium and filled with liquid hydrogen (for very long wavelength neutrons) or liquid methane (for shorter wavelength neutrons). Fluxes of $10^7/\text{s}$ - $10^8/\text{s}$ are not atypical in most neutron sources from any given moderator.

The neutrons cause pronounced interference and energy transfer effects in scattering experiments. Unlike an x-ray photon with a similar wavelength, which interacts with the electron cloud surrounding the nucleus, neutrons interact with the nucleus itself. Because the neutron is an electrically neutral particle, it is deeply penetrating, and is therefore more able to probe the bulk material. Consequently, it enables the use of a wide range of sample environments that are difficult to use with synchrotron x-ray sources. It also has the advantage that the cross sections for interaction do not increase with atomic number as they do with radiation from a synchrotron x-ray source. Thus neutrons can be used to analyse materials with low atomic numbers like proteins and surfactants. This can be done at synchrotron sources but very high intensities are needed which may cause the structures to change. Moreover, the nucleus provides a very short range, isotropic potential varying randomly from isotope to isotope, making it possible to tune the nuclear scattering contrast to suit the experiment:

The neutron has an additional advantage over the x-ray photon in the study of condensed matter. It readily interacts with internal magnetic fields in the sample. In fact, the strength of the magnetic scattering signal is often very similar to that of the nuclear scattering signal in many materials, which allows the simultaneous exploration of both nuclear and magnetic structure. Because the neutron scattering amplitude can be measured in absolute units, both the structural and magnetic properties as measured by neutrons can be

compared quantitatively with the results of other characterisation techniques.

See also

- Neutron diffraction
- Small angle neutron scattering
- Neutron Reflectometry
- Inelastic neutron scattering
 - neutron triple-axis spectrometry
 - neutron time-of-flight scattering
 - neutron backscattering
 - neutron spin echo
 - neutron resonance spin echo
- Neutron scattering facilities

External links

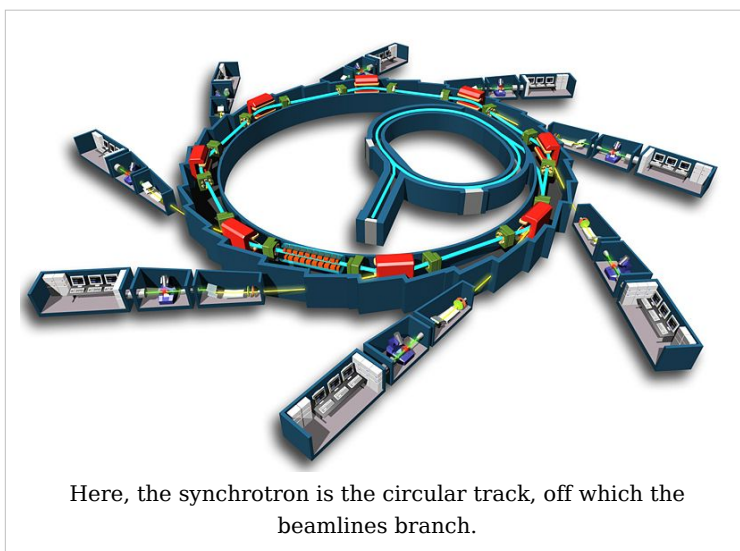
- Neutron Scattering - A primer ^[1] (LANL-hosted black and white version ^[2]) - An introductory article written by Roger Pynn (Los Alamos National Laboratory)

References

- [1] <http://knocknick.files.wordpress.com/2008/04/neutrons-a-primer-by-rogen-pynn.pdf>
[2] <http://library.lanl.gov/cgi-bin/getfile?00326651.pdf>

Synchrotron

A **synchrotron** is a particular type of cyclic particle accelerator in which the magnetic field (to turn the particles so they circulate) and the electric field (to accelerate the particles) are carefully synchronized with the travelling particle beam. The proton synchrotron was originally conceived by Sir Marcus Oliphant^[1]. The honour of the first to publish the idea belongs to Vladimir Veksler, and the first electron synchrotron was constructed by Oliphant's supervisor Edwin McMillan.



Characteristics

While a cyclotron uses a constant magnetic field and a constant-frequency applied electric field (one of these is varied in the synchrocyclotron), both of these fields are varied in the synchrotron. By increasing these parameters appropriately as the particles gain energy, their path can be held constant as they are accelerated. This allows the vacuum chamber for the particles to be a large thin torus. In reality it is easier to use some straight sections between the bending magnets and some bent sections within the magnets giving the torus the shape of a round-cornered polygon. A path of large effective radius may thus be constructed using simple straight and curved pipe segments, unlike the disc-shaped chamber of the cyclotron type devices. The shape also allows and requires the use of multiple magnets to bend the particle beams. Straight sections are required at spacings around a ring for both radiofrequency cavities, and in third generation light sources allow space for insertion devices such as wigglers and undulators.

The maximum energy that a cyclic accelerator can impart is typically limited by the strength of the magnetic field(s) and the minimum radius (maximum curvature) of the particle path.

In a cyclotron the maximum radius is quite limited as the particles start at the center and spiral outward, thus the entire path must be a self-supporting disc-shaped evacuated chamber. Since the radius is limited, the power of the machine becomes limited by the strength of the magnetic field. In the case of an ordinary electromagnet the

field strength is limited by the saturation of the core (when all magnetic domains are aligned the field may not be further increased to any practical extent). The arrangement of the single pair of magnets the full width of the device also limits the economic size of the device.

Synchrotrons overcome these limitations, using a narrow beam pipe which can be surrounded by much smaller and more tightly focusing magnets. The ability of this device to accelerate particles is limited by the fact that the particles must be charged to be accelerated at all, but charged particles under acceleration emit photons (light), thereby losing energy. The limiting beam energy is reached when the energy lost to the lateral acceleration required to maintain the beam path in a circle equals the energy added each cycle. More powerful accelerators are built by using large radius paths and by using more numerous and more powerful microwave cavities to accelerate the particle beam between corners. Lighter particles (such as electrons) lose a larger fraction of their energy when turning. Practically speaking, the energy of electron/positron accelerators is limited by this radiation loss, while it does not play a significant role in the dynamics of proton or ion accelerators. The energy of those is limited strictly by the strength of magnets and by the cost.



The interior of the Australian Synchrotron facility. Dominating the image is the storage ring, showing the optical diagnostic beamline at front right. In the middle of the storage ring is the booster synchrotron and linac

Design and operation

Particles are injected into the main ring at substantial energies by either a linear accelerator or by an intermediate synchrotron which is in turn fed by a linear accelerator. The "linac" is in turn fed by particles accelerated to intermediate energy by a simple high voltage power supply, typically a Cockcroft-Walton generator.

Starting from an appropriate initial value determined by the injection velocity the magnetic field is then increased. The particles pass through an electrostatic accelerator driven by a high alternating voltage. At particle speeds not close to the speed of light the frequency of the accelerating voltage can be made roughly proportional to the current in the bending magnets. A finer control of the frequency is performed by a servo loop which responds to the detection of the passing of the traveling group of particles. At particle speeds approaching light speed the frequency becomes more nearly constant, while the current in the bending magnets continues to increase. The maximum energy that can be applied to the particles (for a given ring size and magnet count) is determined by the saturation of the cores of the bending magnets (the point at which increasing current does not produce additional magnetic field). One way to obtain additional power is to make the torus larger and add additional bending magnets. This allows the amount of particle redirection at saturation to be less and so the particles can be more energetic. Another means of obtaining higher power is to use superconducting magnets, these not being limited by core saturation.

Large synchrotrons

One of the early large synchrotrons, now retired, is the Bevatron, constructed in 1950 at the Lawrence Berkeley Laboratory. The name of this proton accelerator comes from its power, in the range of 6.3 GeV (then called BeV for billion electron volts; the name predates the adoption of the SI prefix giga-). A number of heavy elements, unseen in the natural world, were first created with this machine. This site is also the location of one of the first large bubble chambers used to examine the results of the atomic collisions produced here.



Modern industrial-scale synchrotrons can be very large (here, Soleil near Paris)

Another early large synchrotron is the Cosmotron built at Brookhaven National Laboratory which reached 3.3 GeV in 1953.^[2]

Until August 2008, the highest energy synchrotron in the world was the Tevatron, at the Fermi National Accelerator Laboratory, in the United States. It accelerates protons and antiprotons to slightly less than 1 TeV of kinetic energy and collides them together. The Large Hadron Collider (LHC), which has been built at the European Laboratory for High Energy Physics (CERN), has roughly seven times this energy. It is housed in the 27 km

tunnel which formerly housed the Large Electron Positron (LEP) collider, so it will maintain the claim as the largest scientific device ever built. The LHC will also accelerate heavy ions (such as lead) up to an energy of 1.15 PeV.

The largest device of this type seriously proposed was the Superconducting Super Collider (SSC), which was to be built in the United States. This design, like others, used superconducting magnets which allow more intense magnetic fields to be created without the limitations of core saturation. While construction was begun, the project was cancelled in 1994, citing excessive budget overruns — this was due to naïve cost estimation and economic management issues rather than any basic engineering flaws. It can also be argued that the end of the Cold War resulted in a change of scientific funding priorities that contributed to its ultimate cancellation.

While there is still potential for yet more powerful proton and heavy particle cyclic accelerators, it appears that the next step up in electron beam energy must avoid losses due to synchrotron radiation. This will require a return to the linear accelerator, but with devices significantly longer than those currently in use. There is at present a major effort to design and build the International Linear Collider (ILC), which will consist of two opposing linear accelerators, one for electrons and one for positrons. These will collide at a total center of mass energy of 0.5 TeV.

However, synchrotron radiation also has a wide range of applications (see synchrotron light) and many 2nd and 3rd generation synchrotrons have been built especially to harness it. The largest of those 3rd generation synchrotron light sources are the European Synchrotron Radiation Facility (ESRF) in Grenoble, France, the Advanced Photon Source (APS) near Chicago, USA, and SPring-8 in Japan, accelerating electrons up to 6, 7 and 8 GeV, respectively.

Synchrotrons which are useful for cutting edge research are large machines, costing tens or hundreds of millions of dollars to construct, and each beamline (there may be 20 to 50 at a large synchrotron) costs another two or three million dollars on average. These installations are mostly built by the science funding agencies of governments of developed countries, or by collaborations between several countries in a region, and operated as infrastructure facilities available to scientists from universities and research organisations throughout the country, region, or world. More compact models, however, have been developed, such as the Compact Light Source.

List of installations

Synchrotron	Location & Country	Energy (GeV)	Circumference (m)	Commissioned	Decommissioned
Advanced Photon Source (APS)	Argonne National Laboratory, USA	7.0	1104	1995	
ISIS	Rutherford Appleton Laboratory, UK	0.8	163	1985	
Australian Synchrotron	Melbourne, Australia	3	216	2006	
LNLS	Campinas, Brazil	1.37	93.2	1997	
SESAME	Allaan, Jordan	2.5	125	Under Design	

Bevatron	Lawrence Berkeley Laboratory, USA	6	114	1954	1993
Advanced Light Source	Lawrence Berkeley Laboratory, USA	1.9	196.8	1993	
Cosmotron	Brookhaven National Laboratory, USA	3	72	1953	1968
Nimrod	Rutherford Appleton Laboratory, UK	7		1957	1978
Alternating Gradient Synchrotron (AGS)	Brookhaven National Laboratory, USA	33	800	1960	
Stanford Synchrotron Radiation Lightsource	SLAC National Accelerator Laboratory, USA	3	234	1973	
Cornell High Energy Synchrotron Source (CHESS)	Cornell University, USA	5.5	768	1979	
Soleil	Paris, France	3	354	2006	
Shanghai Synchrotron Radiation Facility (SSRF)	Shanghai, China	3.5	432	2007	
Proton Synchrotron	CERN, Switzerland	28	628.3	1959	
Tevatron	Fermi National Accelerator Laboratory, USA	1000	6300	1983	
Swiss Light Source	Paul Scherrer Institute, Switzerland	2.8	288	2001	
Large Hadron Collider (LHC)	CERN, Switzerland	7000	26659	2008	
BESSY II	Helmholtz-Zentrum Berlin in Berlin, Germany	1.7	240	1998	
European Synchrotron Radiation Facility (ESRF)	Grenoble, France	6	844	1988	
MAX-I	MAX-lab, Sweden	0.55	30	1986	
MAX-II	MAX-lab, Sweden	1.5	90	1997	
MAX-III	MAX-lab, Sweden	0.7	36	2008	
ELETTRA	Trieste, Italy	2-2.4	260	1993	
Diamond Light Source	Oxfordshire, UK	3	561.6	2002	
DORIS III	DESY, Germany	4.5	289	1980	
PETRA II	DESY, Germany	12	2304	1995	2007
Canadian Light Source	University of Saskatchewan, Canada	2.9	171	2002	

SPRing-8	RIKEN, Japan	8	1436	1997	
Taiwanese National Synchrotron Radiation Research Center	Hsinchu Science Park, Taiwan	3.3	518.4	2008	
Synchrotron Light Research Institute (SLRI)	Nakhon Ratchasima, Thailand	1.2	81.4	2004	
Indus 1	Raja Ramanna Centre for Advanced Technology, Indore, India	0.45		1999	
Indus 2	Raja Ramanna Centre for Advanced Technology, Indore, India	2.5	36	2005	
Synchrophasotron	JINR, Dubna, USSR	10	180	1957	2005
U-70	IHEP, Protvino, USSR	70		1967	
CAMD	LSU, Louisiana, US	1.5	-	-	

- Note: in the case of colliders, the quoted power is often double what is shown here. The above table shows the power of one beam but if two opposing beams collide head on, the effective power is doubled.

Applications

- Life sciences: protein and large molecule crystallography
- Drug discovery and research
- "Burning" computer chip designs into metal wafers
- Studying molecule shapes and protein crystals
- Analyzing chemicals to determine their composition
- Observing the reaction of living cells to drugs
- Inorganic material crystallography and microanalysis
- Fluorescence studies
- Semiconductor material analysis and structural studies
- Geological material analysis
- Medical imaging
- Proton therapy to treat some forms of cancer

See also

- List of synchrotron radiation facilities
- Synchrotron X-ray tomographic microscopy
- Energy amplifier
- Superconducting Radio Frequency

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- [1] Nature 407, 468 (28 September 2000) (<http://www.nature.com/nature/journal/v407/n6803/full/407468a0.html>).
- [2] The Cosmotron (<http://www.bnl.gov/bnlweb/history/cosmotron.asp>)

External links

- Australian Synchrotron (<http://www.synchrotron.org.au>)
- Diamond UK Synchrotron (<http://www.diamond.ac.uk>)
- Lightsources.org (<http://www.lightsources.org/cms/>)
- CERN Large Hadron Collider (<http://lhc-new-homepage.web.cern.ch/lhc-new-homepage>)
- Synchrotron Light Sources of the World (http://www-als.lbl.gov/als/synchrotron_sources.html)
- A Miniature Synchrotron: (<http://www.technologyreview.com/Biotech/20149/>) room-size synchrotron offers scientists a new way to perform high-quality x-ray experiments in their own labs, *Technology Review*, February 04, 2008
- Brazilian Synchrotron Light Laboratory (http://www.lnls.br/lnls/cgi/cgilua.exe/sys/start.htm?UserActiveTemplate=lnls_2007_english&tpl=home)
- Podcast interview (<http://omegataupodcast.net/2009/03/28/11-synchrotron-radiation-science-at-esrf/>) with a scientist at the European Synchrotron Radiation Facility

ISIS neutron source

ISIS is a world leading pulsed neutron and muon source. It is situated at the Rutherford Appleton Laboratory in Oxfordshire, United Kingdom and is part of the Science and Technology Facilities Council. It uses the techniques muon spectroscopy and neutron scattering to probe the structure and dynamics of condensed matter on a microscopic scale ranging from the subatomic to the macromolecular.

Hundreds of experiments are performed annually at ISIS by visiting researchers from around the world, in diverse science areas including physics, chemistry, materials engineering, earth sciences, biology and archaeology.

Neutrons and muons

Neutrons are uncharged constituents of atoms and penetrate materials well, deflecting only from the nuclei of atoms. The statistical accumulation of deflected neutrons at different positions beyond the sample can be used to find the structure of a material, and the loss or gain of energy by neutrons can reveal the dynamic behaviour of parts of a sample, for example diffusive processes in solids. At ISIS the neutrons are created by accelerating 'bunches' of protons in a synchrotron, then colliding these with a heavy tantalum metal target, under a constant cooling load to dissipate the heat from the 160 kW proton beam. The tantalum atoms slough off neutrons, and these are channelled through guides, or beamlines, to about 20 instruments, individually optimised for the study of different types of matter. The target station and most of the instruments are set in a large hall. The penetrating neutrons are a dangerous form of radiation so the target and beamlines are heavily shielded with concrete.

ISIS produces muons by colliding a fraction of the proton beam with a graphite target, producing pions which decay rapidly into muons, delivered in a spin-polarised beam to sample stations.



ISIS Logo



ISIS experimental hall for Target Station 1

Science at ISIS

ISIS is administered and operated by the Science and Technology Facilities Council (previously CCLRC). Experimental time is open to academic users from funding countries and is applied for through a twice-yearly 'call for proposals'. Research allocation, or 'beam-time', is allotted to



Another view of the ISIS experimental hall for Target Station 1

applicants via a peer-review process. Users and their parent institutions do not pay for the running costs of the facility, which are as much as £11,000 per instrument per day. Their transport and living costs are also refunded whilst carrying out the experiment. Most users stay in Ridgeway House, a hotel near the site, or at Cosener's House, an STFC-run conference centre in Abingdon. Over 600 experiments by 1600 users are completed every year.

A large number of support staff operate the facility, aid users, and carry out research, the control room is staffed 24 hours a day, every day of the year. Instrument scientists oversee the running of each instrument and liaise with users, and other divisions provide sample environment, data analysis and computing expertise, maintain the accelerator, and run education programmes.

Among the important and pioneering work carried out was the discovery of the structure of high-temperature superconductors and the solid phase of buckminsterfullerene.

Construction for a second target station started in 2003, and the first neutrons were delivered to the target on December 14 2007^[1]. It will use low-energy neutrons to study soft condensed matter, biological systems, advanced composites and nanomaterials. To supply the extra protons for this, the accelerator is being upgraded.

History and background of ISIS

The source was approved in 1977 for the RAL site on the Harwell campus and recycled components from earlier UK science programmes including the accelerator hall which had previously been occupied by the Nimrod accelerator. The first beam was produced in 1984, and the facility was formally opened by the then Prime Minister Margaret Thatcher in October 1985.^[2]

The name ISIS is not an acronym: it refers to the Ancient Egyptian goddess and the local name for the River Thames. The name was selected for the official opening of the facility in 1985, prior to this it was known as the SNS, or Spallation Neutron Source. The name was considered appropriate as Isis was a goddess who could restore life to the dead, and ISIS made use of equipment previously constructed for the Nimrod and Nina accelerators^[3].

External links

- ISIS facility ^[22]
- ISIS Second Target Station ^[4]
- The Science and Technology Facilities Council ^[5]

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- [2] Linacs at the Rutherford Appleton Laboratory (<http://epubs.cclrc.ac.uk/bitstream/692/linacplahistory.pdf>)
- [3] Explanation of the name of ISIS (<http://www.isis.rl.ac.uk/aboutIsis/index.htm>)
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Geographical coordinates: 51°34′18″N 1°19′12″W

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